1	STATE OF MAINE				
2	COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES				
3	AUGUSTA, MAINE				
4	IN RE:				
5) November 9, 2005 Julia St. James, Sarah Trundy)				
6	& Biddeford Special Election)				
7					
8	INVESTIGATORY HEARING REGARDING CAMPAIGNS OF JULIA ST. JAMES, SARAH TRUNDY,				
9	AND LITERATURE IN BIDDEFORD SPECIAL ELECTION				
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11	APPEARANCES: Jonathan Wayne, Executive Director Phyllis Gardiner, Commission Counsel Jean Ginn Marvin, Commission Chair Michael Bigos, Commission Member				
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14	Andrew Ketterer, Commission Member				
15	Witnesses:				
16	Daniel Rogers Stavros Mendros				
17	Julia St. James				
18	Jessica Larlee Sarah Trundy				
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1	HEARING COMMENCED (November 9, 2005, 10:33 A.M.)
2	FEMALE: Item five is an investigative hearing.
3	Jonathan, you want to get things started?
4	MR. WAYNE: Sure. I just thought I'd give
5	Mr. Rogers a description of where we stand since he
6	wasn't able to join us for our last meeting. Let me
7	just first inquire, Phyllis, whether the attorneys can
8	make any kind of (inaudible) at this point.
9	MS. GARDINER: It might just be helpful to recap
10	of the procedural nature
11	CHAIR: And also the last time, you gave me some
12	information and I had to (leave) prior
13	MS. GARDINER: Which I don't have with me.
14	CHAIR: Okay.
15	(MR. KETTERER): It's a continuation of the same
16	hearing.
17	MS. GARDINER: Exactly. It's a continuation of
18	the same hearing.
19	CHAIR: Okay.
20	MS. GARDINER: And the subject matter is
21	referenced in the transcript which you have in your
22	packet of item five.
23	CHAIR: All right.
24	MR. WAYNE: Mr. Rogers, thank you for coming.
25	Just to give you a little description about where we

stand, we're holding a hearing that's going to be in three parts, and the hearing was commenced on October 12 and it's going to continue today and I'm hopeful it will -- that the investigative hearing portion of this matter will conclude today, but that will develop as it does. The three topics for the hearing are the expenditures of the Maine Clean Election Act funds by the campaign to elect Julia St. James for Senate District 14 and the expenditures of the Maine Clean Election Act funds by the campaign to elect Sarah Trundy to the House of Representatives, District 96, in 2004, and also the preparation and distribution of certain literature that was distributed in connection with the special election for House District 18 in Biddeford in 2004. Just to clarify who is present and what our roles are, I'm Jonathan Wayne. executive director of the Commission. This is Phyllis Gardiner; she's the Commission Counsel and we are on the staff of the Commission. I'm full-time staff on the Commission. Ms. Gardiner is a staff attorney at the State Attorney General's Office. And these are three of our four Commission members. They will be making any final decisions in this matter, and unfortunately, one of our Commission members, Vinton Cassidy, was unable to be here today. But I want to

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1 reassure you that no decisions have been made in this The Commission members and the staff are 2 3 open-minded. We understand that some of the testimony you provide here today may be different than the testimony we heard at the October meeting, and the 5 Commission may be in the position of having to weigh 6 7 differing testimony, and that shouldn't surprise 8 anyone. So I want to thank you very much for your 9 cooperation in being here today. Just to get some 10 basic facts here about where events took place during 11 the 2004 election, where did you reside at the 12 beginning of Julia St. James' campaign? 13 (MR. KETTERER): Excuse me one second, Jonathan. 14 I'd like to have the witness sworn. 15 MR. WAYNE: Oh, I'm sorry. I regret that. 16 Do you swear or affirm that the testimony 17 you are about to give will be the truth, the whole 18 truth and nothing but the truth, so help you God? 19 MR. ROGERS: I do. 20 CHAIR: Thank you so much. Thanks. 21 MR. WAYNE: Thank you. 22 TESTIMONY OF DANIEL ROGERS, WHO WAS CALLED AS A 23 WITNESS, HAVING FIRST BEEN DULY SWORN, WAS EXAMINED

EXAMINATION BY MR. WAYNE:

AND TESTIFIED AS FOLLOWS:

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Q: So where did you reside at the beginning of Julia St.

James' campaign?

MR. ROGERS: Before we can proceed, can I bring up my motion, please?

MR. WAYNE: Absolutely.

MR. ROGERS: At this point, I'd like to move the Commissioner Ketterer recuse himself from these proceedings based on the violation of Commission Ethics Rule Section 8, which states that Commission members shall not discuss any specific case under investigation or any case which may reasonably expect to be subject of investigation as long as the matter is pending before the Commission and, where applicable, until anybody to whom the Commission renders an advisory opinion has concluded its action and any appeals therefrom have been exhausted. submitted this section of the rules with my exhibit and, in addition, I have attached a newspaper article from the Sun Journal of Lewiston published Friday, November 4 in which Mr. Ketterer makes numerous -- is quoted numerous times, and it says in the article that he was interviewed by telephone on Thursday. assuming that's Thursday, November 3, 2005. like to submit that request to the Commission at this

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point. I wanted to be on record as submitting it at this time.

CHAIR: Phyllis?

MS. GARDINER: I just wanted to ask Mr. Rogers for a point of clarification, if I might, as to the nature of his request. Mr. Rogers, is your request that Mr. Ketterer -- when you say recuse himself, are you asking that he not hear your testimony, or that you ask that he not participate in any decisions made after the Commission has heard your testimony and heard any other testimony that they may hear in this matter?

MR. ROGERS: Well, I think -- I guess my request for a recusal would be for him to not participate. I personally don't have any problems with any questions he'd like to ask me. I -- to me, the violation of this section speaks to his impartiality in this and his ability to maintain impartiality. I just -- I haven't found anywhere where there's any specific penalty that's listed for a violation of this section. However, to me it feels like the Ethics Commission is sitting as a jury and, just like a jury, would not want to be talking to the media at a time while they're deliberating a case. I draw a parallel here that Mr. Attorney General was speaking to the media at

1 a time where he should have been deliberating the Certainly, I don't think this means that they 2 3 should not discuss the case among themselves, because that seems to be exactly what they're here to do, but 5 rather that they should not be discussing it in public 6 with someone outside the Commission at this point. 7 I guess my request would be that he recuse himself 8 from deliberating in the decision of penalties in 9 regard to this case, and it being a public hearing and 10 he certainly obviously has concerns he wants to ask 11 about, I have absolutely no problem with him 12 participating in the hearing. 13 MS. GARDINER: Thank you for that clarification. 14 MR. ROGERS: Yes, ma'am. You have a recommendation? 15 CHATR: 16 MS. GARDINER: I think that -- I mean, you can 17 decide how to respond to at this point, give 18 Mr. Ketterer a chance to respond or take it under 19

advisement since you're not going to be, I don't believe, deliberating anything today but, rather, listening to testimony and taking additional evidence.

MR. BIGOS: I have a motion, Madam Chair, if I may?

CHAIR: Yep.

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1 MR. BIGOS: I move that we table Mr. Rogers' motion and take it under advisement. I also -- I'm 2 3 not sure that the remedy for any purported violation is a motion like this. I'll second that motion. 5 6 Is a vote necessary on the motion MR. WAYNE: 7 before we continue? 8 All in favor? CHAIR: 9 MR. KETTERER: I guess I'm not going to vote. 10 Unanimous. CHAIR: 11 MR. ROGERS: At this point, I'm willing to 12 proceed with the hearing. 13 Where did you reside at the beginning of Julia St. 14 James' campaign? 15 That would be approximately April or May of 2004. 16 was residing at 10 Aron Drive in Auburn. 17 Q: And when did you stop residing at 10 Aron Drive in 18 Auburn? 19 That would have been approximately -- I suppose it was 20 September or October of 2004, somewhere in there. 21 0: Okay. And where did you live immediately after you 22 left 10 Aron Drive? 23 I was actually not living anywhere. I was staying a 24 bunch of places. I had moved out of there. My mother 25 had moved out into a nursing home. She subsequently

1	died. She and I were living together at that place at
2	Aron Drive, and so I was kind of couch surfing, as you
3	say, for a few months until after the election, so
4	that's kind of the answer.

- Q: What was the next residence that you had that you'd say was a regular (residence)?
- A: Well, I then went out to California for a few months
 and was staying at a hotel and then I came back here
 and I've now moved back into Lewiston, so I would say
 the next residence would be where I live now in
 Lewiston.
- 12 | Q: And where in California were you?
- 13 | A: I was in Sacramento.
- 14 | Q: And when did you get to Sacramento?
- 15 | A: That would have been in approximately January of 2005.
- 16 Q: And when did you return to Maine?
- 17 | A: That would have been sometime during May of 2005.
- 18 ||Q: And where are you residing now?
- 19 | A: In Lewiston.
- 20 || O: Where in Lewiston?
- 21 | A: 617 Main Street, Lewiston.
- 22 Q: And have you lived at 10 Aron Drive since September of
- 23 | 2004?
- 24 | A: No.

1	Q:	Do you know where this may be difficult to answer,
2		given your testimony, but do you know where you were
3		residing on the date of the 2004 general election,
4		which was November 2?
5	A:	Well, like I said, I was staying at various places.
б		mean, I was staying quite a bit at Jessica Larlee's
7		place. I wasn't living there but I was staying there
8		a lot.
9	Q:	The Commission may be interested in sending you
10		written correspondence in the next few weeks about
11		this matter. If we send it to 617 Main Street, will
12		you receive it?
13	A:	Yes.
14	Q:	If we send it by certified mail, will you sign for the
15		
16	A:	If I'm there, yeah. As I said as I think I stated
17		to you in previous communications, I'm out of state
18		working a lot right now, so when I'm here very
19		infrequently.
20	Q:	We were trying to figure out the chronology of where
21		you were living and we took the liberty of obtaining
22		voter registration applications

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A:

Uh-huh.

- Q: -- and at our October meeting, we entered a number of documents into the record and we've been numbering them St. James 1, St. James 2 and so forth.
- $4 \parallel A$: Uh-huh.
- So this is -- I'd like to show you, if you wouldn't mind --
 - A: Sure.

- 8 | Q: -- St. James Exhibit Number 18 --
- 9 | A: Uh-huh.
- Q: And you only need to look at the top page. If you could just take a second to look at it.
- 12 | A: Uh-huh.
- 13 ||Q: Can you tell me what that document is?
- 14 | A: That's a voter registration card.
- 15 | Q: And did you give that to a governmental office?
- 16 A: Yes, I did.
- 17 ||Q:|| Which office was that?
- A: I'm assuming, if I was registering to vote in Auburn, that it would have been the municipal clerk in Auburn.
- 20 ||Q:|| And did you fill out the application?
- 21 A: Well, it's funny because -- you know, I'm not saying
- 22 that I didn't but it does not look like my
- | 23 | | handwriting. It does look like my signature on the
- voter card. That does not look like my handwriting on
- 25 the front of the card, and I don't have any

recollection of how there could have been different handwriting on there.

Q: But do you remember registering to vote with the Auburn Clerk?

A: I do.

Q: And what's the address on the front side of that?

A: It's 10 Aron Drive, number two.

Q: But your testimony a few minutes ago was that you hadn't lived at 10 Aron Drive since (inaudible) - -

A: September of 2004 -- that's correct.

Q: And this is dated May of 2005, and I was wondering is that 10 Aron Drive address accurate, or --

Well, I had an intent to return to -- I was hoping to return to 10 Aron Drive, number two. I heard through word of mouth that there was a vacancy there, I was hoping to return there. I registered to vote the exact day that I returned from California, and the reason I did is because I was going to be circulating petitions, and it was my intent to return to that address. I had no other address. I had absolutely no address where I was residing. And so it was my -- in anticipation of my intent to return to that place, I filled out this card and then I subsequently reregistered only a couple of days later, when I had a

1 place that I was staying at slightly more permanently, at least for a few weeks. 2 3 Where did you re-register? 4 Α: I re-registered in Minot. 5 0: Just a couple of days later? 6 Α: Uh-huh. 7 But -- and just to be accurate, although it says 0: 8 10 Aron Drive on the application card, that -- you 9 were not residing there? 10 **A**: No. It was my intent to reside there at the time. 11 0: Did you leave 10 Aron Drive, apartment number two, 12 voluntarily? 13 I don't see how that is relevant to the -- to the 14 items listed in the subpoena. 15 But nevertheless, regardless of the circumstances of 16 how you left 10 Aron Drive, your testimony is that you 17 did have some intention to return to living in that 18 very same apartment? 19 **A**: That was my intent. 20 Did you come to learn that the Lewiston Police 21 Department had attempted to serve a subpoena on you by 22 coming to the 617 Main Street address? 23 A: That's correct. I was (notified) --

How did -- I'm sorry, I didn't mean to cut you off.

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A:

No --

- ||Q: How did you come to learn that?
- 2 | A: I was told. I was told that -- I was contacted by
- 3 somebody who was at the residence when they came
- 4 | looking, and they notified me, and I went down to
- 5 | (become served).

- 6 | Q: How did they notify you?
 - A: They notified me by telephone.
- 8 | Q: So do you own a phone?
- 9 A: Not at the present time, I do not.
- 10 ||Q: At that time, did you own a phone?
- 11 | A: At that present time, I did not. At this present
- 12 time, I do. At that present time, I -- at that past
- 13 | time, I did not.
- 14 $\|Q$: Oh, right now you do?
- 15 | A: Yes, I do now.
- 16 | Q: Oh. What is your phone number, if you don't mind?
- 17 | A: Well, it -- excuse me while I look it up, because I'm
- not sure exactly what it is. I left it in the car. I
- can give you that later when you go on break or
- whatever. I'd be happy to give you that.
- 21 $\|Q$: We very much would appreciate that. In fact, just to
- be clear about it, we're requesting that we get that
- 23 phone number.
- 24 | A: Sure.

- Q: Is there a phone number at 617 Main Street? Is there a telephone there?
 - A: There is. It's not my phone, though, and I don't use it.
- 5 | Q: If we want -- if we wanted to reach you, you would 6 | prefer we used your cell phone?
 - A: Yes, yes. I specifically do not use it because I

 don't -- it's in the name of another resident -
 person who lives there and I don't use it and I don't

 contribute to the bill for it and I don't use it.
 - Q: And when the police department attempted to serve you a subpoena on October 13, you did own a phone? I just didn't understand your testimony.
 - A: October 13? At that point, I did not -- well, October 13 -- I'm not clear as to whether I had a phone at that point or not.
 - Q: Up until now, as you know, we've been corresponding only by e-mail, and I wanted to enter our e-mail correspondence into the record.
 - A: Sure.

Q: This is St. James Exhibit Number 19. On September 26, you sent me an e-mail, which I very much appreciated, saying that it brought to your attention that you were -- that I was looking for some information about, you know, some campaigns, and you stated in that e-mail

that -- I'm sorry, I'm having trouble finding -- here it is, here's the sentence. As I'm traveling out of state almost all the time right now, this -- it, meaning this e-mail address, is the best way to contact me.

A: Uh-huh.

- Q: Where were you when you sent that e-mail?
 - A: I'm not sure that that's relevant to the items listed in the subpoena.
 - Q: Is it your testimony that you have been -- that you were traveling out of state almost all the time right around that September 26 e-mail?
- A: A significant amount of time; that's correct.
- ||Q: During the previous week, were you in Maine?
- 15 | A: During the previous week before September 26th?
- 16 | O: Right.
 - A: Well, I'm having trouble seeing the relevance with regard to the items listed in the subpoena, and I can -- but I will answer the question and say I do not recall whether I was in the state anytime during the week of September 26. It was not uncommon for me to come back on occasion. There was a project I was working on in the state at the time, but I was working on it part-time.

- Q: Were you circulating petitions on the water dividend trust -- petition the previous week (inaudible)?

 A: It is certainly conceivable that I was. I cannot tell
- you specifically whether I was. I don't have a calendar to look and see what days I was doing that, but that is something that I was working on.
- 7 MR. WAYNE: I want to enter something into the
 8 record which has not been marked as an exhibit, so I'm
 9 going to be marking it as Exhibit -- Exhibit Number
 10 19A. I'm just going to handwrite it onto this
 11 document.
- 12 ||Q|: Do you recognize that -- that top page of Exhibit 19A?
- 13 | A: Yep --

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- 14 | 0: What is it?
- 15 ||A: -- it's a copy of a petition.
- Q: And do you see a circulator's oath at the bottom of the page?
- 18 || A: I do.
- 19 ||Q:|| And did you sign that?
- 20 | A: It appears to be my signature.
- 21 ||Q: And what's the date of the signature?
- A: The signature appears to be dated, according to the notary, 9/23/05.
- Q: And that was just three days before you sent me the email saying you are out of town almost all the time?

A: That is three days before the e-mail that I sent you that it says I am out of town almost all the time.

That's correct. If the e-mail is dated September 26, then --

Was marked as Exhibit 19, and I don't know if it's necessary for you to look at it, but I had sent you an e-mail on October 5th inviting you to participate in our last meeting on October 12th, and I invited you to participate by telephone if it was impossible for you to be there, and I didn't hear back from you regarding that request. How come you didn't participate at our last meeting?

- A: At the last meeting?
- 15 || O: Yeah.

- A: I hadn't been served with a subpoena. You had -there was a request to participate; however, I was out
 of this jurisdiction, doing work, most likely on that
 day, and was not able to attend.
- Q: When did you first start working on political campaigns?
- 22 | A: The first political campaign -- as a volunteer or --
- 23 | Q: Volunteer or paid.
- A: I would say that the first political campaign that I worked on as a volunteer was in 1978.

- 1 ||Q: Just for our curiosity, what was the campaign?
- $2 \parallel A$: It was Olympia Snowe's campaign for Congress.
- Q: When did you first start working as a paid staff member on campaigns?
- 5 A: I think the first paid position I had was probably in 1988.
 - Q: And who employed you?
- A: Since I was paid for that, I don't see how it's relevant to -- to the items listed in the subpoena to answer that.
- Q: I won't prolong this too much but were you a paid -we're really just looking for your general campaign
 experience --
- 14 || A: Sure.

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- Q: -- and your familiarity with campaigning and campaign finance reporting in the State of Maine.
- A: Sure. I have an interest in protecting clients as well, so --
- Q: Okay. Were you a paid staff member for the State
 Democratic Party?
 - A: I was never paid by the State Democratic Party.
- $22 \parallel Q$: Were -- I think you understand what I'm getting at.
- A: I was on the executive committee of the State

 Committee in 1992 as the chair of the campaign

 committee --

- Q: Really I'm just --
- $2 \parallel A$: -- for a very brief period of time.
- 3 | Q: Were you paid by the legislative councils of --
 - A: I was not -- there was not a paid position at that time.
- 6 Q: I'm really just interested in your paid campaign 7 experience --
- 8 | A: Okay.

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- 9 $\|Q$: -- going back, you know, in that time period.
- 10 | A: Uh-huh.
- 11 Q: I think you understand what I'm getting at. I just

 12 want to understand about -- we heard testimony at our

 13 last hearing that you had considerable campaign

 14 experience and we just -- we're not looking for any

 15 particular campaigns, or I'm not -- perhaps one of my

 16 Commission members will want to ask you about that --
- 17 | A: Uh-huh.
- Q: -- but I just would like to know whether you were a paid campaign worker for democratic campaigns, you know, in the past 20 years.
- 21 | A: For democratic campaigns or by the party?
- 22 ||O: Either.
- 23 | A: Yes.
- Q: Can you describe that work?

A: I'd prefer not to, since it's not relevant to the items listed in the subpoena.

MR. WAYNE: If you want to jump in at any time, if you feel you'd like to get other information from the witness, I'm -- I'm just going to keep continuing, but you might want to --

(Comments off the record.)

Q: Were you ever a paid staff member for the Republican Party?

A: No.

Q: Any of the republican legislative council -- caucuses?

A: No.

CHAIR: Excuse me, Jonathan.

MR. BIGOS: I think Commission members were actually like to allow -- ask for him to answer your questions and give responsive answers and to the extent he would like to object to the question and preserve some sort of rights that he might have if he chooses to appeal this, this is -- we don't have a specific charge on right now. This is investigatory in nature, and we really do want to have it be openended, and we -- to the extent these -- we feel like these questions are very relevant, so if he can preserve his objections, that's what I think we'd like to see.

1 CHAIR: I'd like, Jonathan, if you could restate 2 the questions. 3 MR. WAYNE: Sure. 4 Q: Have you worked as a paid staff member for any 5 candidate? 6 A: Yes. 7 What was the first candidate that you worked for as a 0: 8 paid staff member? 9 I would object to that question based on relevance. A: 10 0: Are you refusing to answer the question? 11 I'm objecting to the question based on relevance. 12 plan on not answering it. 13 Sir, I'd like to request an answer from 14 you, please, on that question. I do think it is 15 relevant to this case. 16 MR. ROGERS: I didn't -- can I make a point of 17 inquiry? 18 (CHAIR): Sure. 19 MR. ROGERS: Could the Chair state the nature of 20 the relevance of that question, because I have a hard 21 time understanding how paid campaign work for a 22 candidate as much as 17 years ago could somehow relate 23 to the items that are listed in the subpoena today. 24 Several people testified at our last

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meeting and discussed the reason that they hired you

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to do the work is because of your background, and so we just wanted to know what is your background. We want to hear it from you because it's very important that we hear from you things from your perspective as opposed to other people's perspective on you.

MR. ROGERS: Well, I would -- thank you. I would understand that there would be -- establishing my experience would be relevant, but I think the objection -- the specific objection I have is with regard to the relevance of me listing specific clients. I mean, I can answer this question in a broad general that I did -- this is the type of work I've done, this is the period of time that I have done it, but to go and name specific clients just seems to me to be not only irrelevant but a little bit punitive in that suddenly, we're dragging names into people who are absolutely tangentially involved in this case, and it happened to Mr. Mendros, who is tangentially involved in this case, has not been involved in this case, and suddenly he's in here testifying, and I'm concerned about that happening to every single client that I have had. So that's why I'm objecting to the relevance of that question.

(Inaudible discussion.)

1 CHAIR: Well, I think we've made our point clear 2 that we really do want to know your background and 3 experience, and that's an important part in our deliberations, and, you know, we again respectfully 5 request that you answer the question. 6 MR. ROGERS: Thank you, and once again, I 7 reiterate that I think I can -- I can adequately 8 answer the question to the pleasure of the Ethics 9 Commission, or to the purpose of the Ethics 10 Commission's need to know this information without 11 divulging the names of individual clients that have 12 worked -- that I've worked for for the past 17 years. 13 Should I proceed with asking some MR. WAYNE: 14 general questions about --15 CHAIR: Please do, Jonathan. 16 About how many legislative candidates would you say 17 you've worked for? 18 Α: Legislative candidates? 19 0: Yep. 20 In a -- as a paid consultant? **A**: 21 0: Let's say paid or volunteer; both. 22 A: I'll give you a rough estimate. Over what period of 23 time?

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A:

Since the 70s.

Maybe 50.

1	Q:	About how many gubernatorial candidates?
2	A:	Umm
3	Q:	A ballpark number's fine.
4	A:	I'm thinking thinking who was running for governor.
5		I would say three.
6	Q:	Of those 50 legislative candidates, on how many of
7		those campaigns were you paid, approximately?
8	A:	Approximately maybe ten.
9	Q:	And of those 50 candidates, about how many of them
10		were Maine Clean Election Ad candidates, whether you
11		were paid or unpaid? So of the 50, how many were
12		Maine Clean Election?
13	A:	This is an approximation since the law is pretty new.
14		Maybe five to six.
15	Q:	Would you say that you are familiar with the kinds of
16		campaign finance reports that legislative candidates
17		have to submit to the Ethics Commission?
18	A:	I don't have a lot of experience filling them out, but
19		I think that I'm able to understand how a campaign
20		report is filled out.
21	Q:	About how many reports would you say you've filled out
22		on behalf of candidates?
23	A:	Maybe five or six. On behalf of Clean Election Act?

- Q: Well, first of all, let me change the question. How many candidates do you suppose you've filled out reports for?
- A: Maybe five or six.

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- Q: And do you have any idea how many reports that would total for those five to six?
 - A: No, I'm saying five or six reports, total. That was the question, wasn't it?
- 9 Q: Yes. Are you familiar with the amounts of payments
 10 that legislative candidates typically pay to
 11 consultants?
- 12 | A: Yes, I'm very familiar with that --
- 13 || Q: And -- I'm sorry --
- 14 | A: Can I --
- 15 Q: Yeah, please. Yep.
- A: Like, for instance, I noticed in this last election

 cycle that Senator Mike Brennan, the majority leader,

 paid \$2,200 to Josh Kaplan to run his uncontested

 senate race, and I guess \$2,200 for an uncontested

 senate race would be about the going rate --
 - Q: Mr. Rogers, I don't mean to interrupt you but I find it curious that you're so reluctant to talk about candidates that are not the subject of this hearing and yet you're interested in going into the amounts that have been paid to other candidates --

A: Well --

Q: -- who actually have no relation to this hearing whatsoever.

A: Well, I'm not the one who started talking about candidates who aren't related to this hearing. I'm being asked questions about them, over my objections, I might add.

Q: What's the basis for you knowing what political consultants are paid by legislative campaigns?

A: Based on such examples as Peter (Chennenvert), who
Senator Ken Gagnon spent \$6,000 on from his Clean
Election Act campaign in 2002, that would be a
guideline that I would use, for instance, for
establishing the market rate for consulting fees.
Like the \$3,100 that (Corey) Haskell was given by
Senator Ethan Strimling to run his campaign, which he
ended a month before the election, and she went on to
work for Ed (Suslavich). Those are the kinds of
things that I use to establish the market rate of what
a political consultant would get paid.

Q: Let me just say I understand what you're getting at,
Mr. Rogers, and if you want to submit anything in
writing about the relative amount you were paid
compared to other political consultants --

A: Uh-huh.

- Q: -- either -- you know, we'd be happy to receive that --
- $3 \parallel A: Uh-huh.$

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- Q: -- especially if there are any future meetings or hearings.
 - A: I think testifying is perfectly fine. I mean, that's

 -- you asked how I come to these numbers. These are

 the types of numbers I use to establish a market rate

 for how a political consultant -- to get paid for an

 equivalent type of campaign.
- 11 | 0: I understand.
- 12 | A: Okay.
- Q: Did you work on Dorothy Lafortune's campaign in the February 2004 special election?
- 15 | A: I didn't work as a paid consultant.
- 16 | Q: Did you work as a volunteer?
- 17 || A: I did.
- Q: Did you receive any payment at all by the Lafortune campaign?
- 20 A: I believe I was given some expense money for gas for
 21 traveling or something like that, but I was not paid
 22 in any other way.
- |Q: What work did you do --
- 24 | A: I don't recall exactly how much and what it was --
- 25 | Q: I'm sorry. I didn't mean to --

- $\|A$: That's okay. That's okay.
- $2 \parallel Q$: What did you do for the Lafortune campaign?
 - ||A: I made phone calls.

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- $4 \parallel Q$: What kind -- what kind of phone calls?
- 5 | A: Voter ID and Get out the Vote phone calls.
 - Q: Is that all of the work you performed?
 - A: On behalf of the Lafortune campaign? Yeah -- yep.
- 8 Q: Did Jessica Larlee work on the Dorothy Lafortune
 9 campaign?
- 10 ||A: She did as well.
- 11 || 0: What did she do?
 - A: She made phone calls as well, and -- oh, we also -I'm sorry, but we did also collect absentee ballots
 because that was -- that was part of the voter ID was
 to identify people who would support or not support
 Dorothy Lafortune who would do absentee -- who would
 want a absentee ballot filled out, and so some of
 those, we did execute.
- 19 Q: Were you and Jessica paid for your work regarding
 20 absentee ballots?
 - A: I think I already testified I wasn't paid for doing any work for Dorothy Lafortune.
- Q: Other than the phone calls and the absentee ballots, did you do any other work for the Lafortune campaign?

- A: Not that I can recall on behalf of the Lafortune campaign.
- Q: Same question for Jessica Larlee. Any other work other than phone calls and absentee ballots?
- A: Not to my knowledge. I mean, I don't -- I wasn't -- probably wasn't with her the entire time, so I can't speak to times that I wasn't in her presence.
- Q: How did you come to work on that campaign?
- $\|A$: I was asked by Ben Chipman to come work on it.
- || Q: And who is Ben Chipman?

- A: Ben Chipman is -- I guess I would -- I don't know what his title is but he does a lot of work for the Green Party. Does a lot of work for the Green Party campaigns, candidates, and I believe he was the campaign manager for Dorothy Lafortune, although that title may be incorrect.
- Q: Do you know whether the Lafortune campaign mailed out campaign literature promoting Dorothy Lafortune?
- A: I wouldn't have any knowledge of whether they did or not, although I do remember hearing some complaints from people who were more related to the Lafortune campaign complaining that a lot of their mail did not get delivered, and come to think of it, the -- this is probably -- I don't know if I've ever seen this mail.
- Q: Can I just clarify --

- A: I have seen it in the evidence, but I don't know if at the time I had seen this mail, but -- I guess I have knowledge that there was mail sent because there was a lot of complaints about the mail not being sent.
- Q: Let me just clarify for the record --
- A: Delivered.

- Q: -- I have handed you Exhibit Number 20, which is campaign literature in support of Dorothy Lafortune.

 It has her picture on it. I believe it says (04) -- well, it's sent from the Committee to Elect Dorothy Lafortune. Other than seeing it on our web site or as part of our investigation, have you ever seen that before?
 - A: I don't believe -- I don't recall. I may have seen them at -- at the place where they were doing the campaign. I'm not sure whose house that was doing the campaign.
- 18 | Q: Do you know who designed it?
- $\|A:$ I have no idea.
- ||Q:|| Do you know how it was printed?
- $21 \parallel A$: I have no idea.
- Q: I'd like to hand you Exhibit -- St. James Exhibit

 Number 16, which was entered into the record at our

 last hearing. Have you seen that literature before?
 - A: Yes, I have.

- $1 \parallel 0$: What is it?
- $2 \parallel A$: It is a postcard, oversized postcard.
- 3 | Q: When did you see it?
 - A: I saw it -- I've seen it on numerous occasions.
- 5 ||Q: Did you design that?
- 6 A: Yes, I did.

- 7 ||Q:|| How did you go about designing that?
- 8 A: I designed it on a computer.
- 9 ||Q: Were you involved in the printing of that literature?
- 10 | A: Yes, I was.
- 11 || Q: How was it printed?
- 12 || A: It was printed -- I don't recall how it was printed,
- actually. I really don't. I'm not sure. Generally,
- my -- generally, the way I print is to run things
- 15 || through a computer -- through a printer. I use
- 16 Lexmark printers and I refill the print cartridges and
- I run them off. This does not look like it was done
- 18 || that way. It looks like it was photocopied, but I
- don't specifically recall how it was printed.
- 20 $\|Q$: I don't mean to belabor this by looking at our
- 21 || unofficial transcript that we created of our October
- 22 hearing, but I believe Jessica Larlee's testimony was
- that you had printed that at the Auburn Kinko's.
- 24 | A: Uh-huh.

25

Q: Does that sound correct to you?

- A: It's possible. I have no recollection of it, though, but it is possible.
 - Q: Do you remember how many copies were printed?
- A: I would guess that there was probably about 50, somewhere in that neighborhood.
 - Q: What makes you say 50?

- A: Because of -- because -- I guess that's an estimate of

 -- it's just an estimate. I would say clearly no more

 than -- no more than -- probably no more than 50.
 - Q: Any idea how much it would have cost to print that at the Auburn Kinko's, if that's where it was printed?
 - A: At six cents apiece and 50 copies, that would be -- I don't know. Not sure of the math of that. It wasn't -- it's not very much.
 - Q: I'm sorry, one more time? How many cents per copy do you suppose?
 - A: Six or seven cents per copy. These look like they'd be (two up) on card stock, which we may or may not have provided. It would be more likely that we would have provided -- we brought the -- times when I've copied it Kinko's in Auburn before, when I want to run something off on card stock, I'd usually bring my own card stock and they allow you to put it into the bin and run it off and -- so I may or may have not brought card stock to do that.

- 1 ||Q: Who was involved in the designing of the literature?
- 2 | A: Me.
- 3 | Q: Anybody else?
- $4 \parallel A$: Not to my recollection, no.
- $5 \parallel Q$: Who was involved in the printing of it?
- 6 | A: Me.
- $7 \parallel Q$: Earlier, you said we. You made a reference to we in
- 8 connection with my question on Auburn, and I was
- 9 wondering --
- 10 | A: Auburn?
- 11 | 0: The Auburn Kinko's.
- 12 | A: Uh-huh.
- 13 ||Q: I was wondering who else you might be referring to --
- 14 | A: In what context did I say we?
- 15 | Q: We might have brought the card stock to the Auburn
- 16 | Kinko's.

- 17 | A: Hmm -- we is --
- 18 | Q: Do you remember anyone else being involved in the
- 19 printing of that?
- $20 \parallel A$: Well, since I don't have any recollection of actually
- 21 going to Kinko's and doing it, I guess I wouldn't have
- 22 any recollection of who was with me if I was doing it.
- 23 ||Q:|| Do you know how it was mailed?
- 24 | A: I do recall how it was mailed.
 - Q: Can you describe that?

- A: Yep. It was mailed in Portland.
- Q: Where in Portland?

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- A: At the Forest Avenue post office, at the outside post
- office, at the mailbox outside. It was dropped in
- 5 | there because it happened extremely late at night,
- 6 when that's the only post office box that you can drop
- 7 in that time of night.
- 8 ||Q:| And what postage did you use?
- 9 A: I used stamps from my own inventory.
- 10 $\|Q$: And what do you estimate would have been the cost of
- mailing those?
- 12 | A: I would say the total cost of the entire thing was no
- 13 more than \$20 -- \$30 dollars --
- 14 || O: And I --
- 15 | A: \$20, \$30 range.
- 16 | Q: Do you know exactly how much it cost or are you going
- to approximate how much it cost?
- 18 | A: I don't know exactly how much.
- 19 || 0: That's an estimate?
- $20 \parallel A$: I can tell you it's under the threshold and it was
- 21 done purposely so, that it was under the threshold for
- 22 reporting.
- 23 Q: Which threshold are you referring to?
- 24 | A: I'm referring to anything under \$50. I don't know if
- 25 there's a -- there's a reporting threshold for \$100;

1		is that correct? I'm not sure, but I know we spent
2		under \$50. Yep.
3	Q:	Are you referring to the \$50 threshold for reporting
4		of an independent expenditure by a third party
5		relating to a candidate's campaign?
6	A:	That's correct.
7	Q:	Can you tell us what the postage would have been for
8		each individual card, based on your long campaign
9		experience?
10	A:	Thirty-seven cents. It's an oversized postcard, so it
11		would be normal price.
12	Q:	And was your testimony that you consciously kept the
13		cost of reproducing and mailing them
14	A:	Extremely low in order to be under any reporting
15		threshold.
16	Q:	Just I'll try not to step on your answers
17	A:	Sorry.
18	Q:	if you don't step on my questions. Thank you.
19		Just could you repeat that testimony again?
20	A:	Uh-huh.
21	Q:	You consciously kept it under \$50? Did you say that?
22	A:	Yes.

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BROWN & MEYERS

Uh-huh.

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On the back of the card --

- Q: -- that is the copy that says we deserve adoption rights, we deserve custody rights?
- $3 \parallel A$: Uh-huh.
- $4 \parallel Q$: At the bottom of that, there appears some language?
- 5 | A: Uh-huh.
- 6 $\|Q$: Paid for by Coalition for Homosexual Marriage in
- 7 Maine, Portland, M E. Is that a particular
- 8 organization?
- 9 A: I would say it is -- I would say that the organization
- existed for the purpose of this mail.
- 11 ||Q: Who was in that organization?
- 12 | A: There was numerous -- there was -- there was more than
- one person who shared my interest in this issue.
- 14 | Q: Could you identify those people?
- 15 A: No, I would choose not to --
- 16 | O: This --
- 17 | A: -- because this act was done by me alone.
- 18 \parallel Q: What act (inaudible) --
- 19 $\|A$: On behalf of the -- a coalition.
- $20 \parallel Q$: Who had knowledge of this postcard before it was sent?
- $21 \parallel A$: Myself. I was the designer of it.
- 22 | Q: Did anyone else have knowledge of the postcard?
- 23 | A: Not to my recollection.
- $24 \parallel Q$: Did Benjamin Meiklejohn, for example, the campaign
- treasurer, have any knowledge of this postcard?

1 A: Not to my knowledge.

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- Q: Did Ben Chipman, who you described as perhaps the campaign manager, have knowledge of this postcard?
- A: Not to my knowledge.
- 5 Q: Did Jessica Larlee have knowledge of this postcard 6 before it was printed?
- $7 \parallel A$: Not to my knowledge.
- 8 Q: Has (HOMO MIM) been involved in any activities other 9 than sending out this literature?
- 10 ||A: Ahhh -- I would decline to answer that question.
- 11 ||Q: On what grounds?
- 12 | A: On my Fifth Amendment right.
- Q: Were you involved in sending out similar literature attributing many of those same statements but about Howard Dean?
- 16 A: I would decline to answer that question.
 - Q: I don't understand. I mean, you just told us that you designed piece of literature. The Commission did receive a complaint about very similar literature except it involved the then U.S. Presidential candidate Howard Dean instead of Stephen Beaudette.
- 22 Why wouldn't you answer that question?
 - A: I choose not to answer that question based on my Fifth

 Amendment right.

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1 Did Dorothy Lafortune have any knowledge of that 0: 2 literature at the time it was mailed? 3 Not to my knowledge. 4 0: What was the purpose in sending that out? 5 The purpose in sending that out was to hit a nerve. 6 0: What nerve? The nerve that in my opinion is exposed by the 7 8 democrats' duplicitousness with regard to this issue. 9 0: And by this issue, you're referring to? 10 The issue of homosexual marriage, of which I'm a big 11 supporter. 12 Q: And you were paid money by the Dorothy Lafortune 13 campaign for some expenses, you testified? 14 I was reimbursed for some expenses. **A**: 15 0: Did you feel that you had some loyalty to the Dorothy 16 Lafortune campaign as a worker on that campaign? 17 I would say that, I suppose. 18 Do you think she was well served by this literature? 19 Α: I don't think it necessarily affected her one way or 20 the other. It doesn't mention her anywhere in the 21 mailing. 22 0: Other than the (HOMO MIM) literature and the 23 literature that I brought up regarding Howard Dean, 24 has (HOMO MIM) been involved in any other activities?

25

A:

No.

1	Q:	Is it registered as a political organization with the
2		Commission
3	A:	No.
4	Q:	or anywhere else, that you're aware of?
5	A:	No.
6	Q:	So the full extent of the activities of this
7		organization were sending out two pieces of
8		literature; is that right?
9	A:	The purpose of the organization was to bring attention
10		to the democrats' position on gay marriage.
11	Q:	I'm just asking whether there were any other specific
12		activities
13	A:	There were no other activity was done (by mail).
14	Q:	Would it be fair for the Commission to conclude that
15		this was an organization that was made up for the
16		purpose of sending out this literature?
17	A:	It would be fair to make that assumption.
18		MR. WAYNE: I was going to move on to Julia St.
19		James' campaign unless you have any other questions.
20		CHAIR: Go ahead.
21	EXAM:	INATION OF MR. ROGERS BY MR. BIGOS:
22	Q:	Did you testify that you're a big supporter of gay
23		marriage?
24	A:	Yes, that's correct.

1 And you were also trying to point out the -- a 0: 2 duplicitous, I think was the word you used, position 3 from democrats? 4 **A**: Yes. 5 On the issue of whether gay marriage should be legal 6 or something? 7 That's correct. **A**: 8 And you were trying to hit a nerve? 0: 9 Α: That's correct. 10 So you were trying to -- was this political speech? 11 Are you claiming this was political speech? 12 I would absolutely say it's political speech. 13 So you were trying to help the Lafortune campaign? 14 **A**: I don't think it affected or was intended to affect 15 the outcome of any election. It was done basically 16 because I was there and I was noticing the 17 duplicitousness of this candidate with regard to the 18 issue, and other democratic candidates. 19 0: So you were trying to hurt the Beaudette campaign? 20 I don't think that the I wouldn't say that, either. 21 issue of gay marriage was necessarily a big one in the 22 campaign. I don't think it was really meant to do

either. I think it was -- it was meant to strike a

nerve with all the democrats across the state, and I

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1		think it probably achieved that mission. It seems
2		like it did, anyway.
3	Q:	Why didn't you choose to just say that democrats have
4		a duplicitous position on this issue?
5	A:	Because I don't think it would have had the same
6		impact as it did.
7	Q:	From where did you obtain the photographs that appear
8		are depicted in the exhibit?
9	A:	I do not recall.
10	Q:	No further questions.
11		CHAIR: (Go ahead.)
12	EXAM	INATION OF MR. ROGERS BY MR. WAYNE:
13	Q:	Did you doctor those photographs using any software?
14	A:	Ummm
15	Q:	Or let me say did you modify those photographs using
16		any software?
17	A:	I don't recall.
18	Q:	What names and addresses did you use for the
19		recipients of that literature?
20	A:	Names and addresses
21	Q:	Where did you
22	A:	To
23	Q:	What was the source of the names and the addresses.
24	A:	I scribbled down names on a notepad from people that I

was calling during those telephone calls.

- 1 0: And are you aware of a requirement that literature 2 regarding political candidates has to include 3 disclosure about who paid for the cost of that 4 literature? To some degree, yes.
- 5
 - 0: And the disclosure on this literature says paid for by the Coalition for Homosexual Marriage in Maine. Why -- your testimony was that you paid for the postage, correct?
- 10 **A**: That's correct.

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- 11 So why didn't you write paid for by Dan Rogers on the 12 literature?
- 13 I guess I'm going to refuse to answer that question 14 based on my Fifth Amendment right. There's a criminal 15 implication in that question which I'm not aware of, 16 but I will use the Fifth.
- 17 Q: How did you select the names of the people who would 18 receive the literature?
- 19 Α: From names -- they were names that I had scribbled 20 down from telephone conversations.
- 21 Was there any particular importance to these people 22 that you selected?
- 23 **A**: Ummm -- they were democrats.
- 24 0: Any other criteria that you used to select who would 25 receive them?

1	A:	Not really. They were democrat.
2	Q:	And I'm going to try to not ask you the same question
3		twice
4	A:	Okay.
5	Q:	but remind me how what is the basis for your
6		belief that you only sent about 50 of these cards?
7	A:	Because I don't think that I was I'd filled up
8		one page of papers with names, there was (no) more
9		than 50 on there. They were basically written on a
10		notepad. The names were scribbled on a notepad, and
11		there couldn't possibly have been more than 50 on
12		that.
13	Q:	Thank you very much.
14		MR. WAYNE: I was going to move on to Julia St.
15		James unless you
16		CHAIR: I just have one question.
17		MR. ROGERS: Sure. Thank you.
18		CHAIR: The (HOMO MIM) group; who else was in
19		that?
20		MR. ROGERS: I'd decline to answer that question
21		CHAIR: On what basis?
22		MR. ROGERS: On my Fifth Amendment rights.
23	EXAM	INATION OF MR. ROGERS BY MR. BIGOS:
24	Q:	Was there anybody else besides yourself involved with
25		this organization?

- A: I would decline to answer that question based on my Fifth Amendment rights.
- Q: Is the organization still in existence?
- $\|A:$ No.

- Q: When did it -- when did it begin?
- A: I would say the Coalition, being loosely formed, I don't know when you could really say that it began.

 If you're sitting around with a bunch of people having a conversation about an issue, is that the beginning of an organization? If you expressly say that you are starting an organization, I don't know, but it certainly was never filed as a pact, it was never -- was it named? I don't know exactly when -- when that formation would have occurred.
- - A: Umm -- I guess the same answer would apply. When did it really end? It had not engaged in any activity for -- since February of 2004.
- ||Q|: Is it likely to reactivate?
 - A: I would say that if it reactivated in the future, then

 -- obviously, you're limited by -- some of the people

 who are interested in this issue and interested in

 this tactic are people who have sexual preference of

 being homosexual and are closeted homosexuals, and as

 part of the duplicitousness of this, they don't like --

1		- they don't want to come out and they don't want to
2		say that I'm a homosexual, and that's why mailings
3		like this take place without having to report names,
4		and that's why it happens, okay? So with an
5		organization that wants to limit itself under the
6		reporting threshold, I'd say there's not a whole lot
7		of political activity that can take place and stay
8		under the reporting threshold to remain anonymous.
9	Q:	If you stay involved with the Coalition, will you
10		cooperate with us in terms of further inquiries?
11	A:	If you choose if you choose to identify people who
12		might not want to be disclosed because of their sexual
13		preference, then I'd I would want to withhold that
14		information, as is our right. Otherwise, I'd be happy
15		to admit exactly what it is (being done).
16	Q:	Great.
17		CHAIR: I have a couple of background questions.
18		Is that kind of what we're doing, Jonathan, is moving
19		from background to the St. James at this point?
20	EXAM:	INATION BY COMMISSION CHAIR:
21	Q:	Sir, you said that you were out of state a good
22		portion of the time working. What kind of work were
23		you doing?

Q: And what states were you working in?

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I was working on initiatives -- ballot initiatives.

- A: I guess I would -- I guess I would decline to answer that based on the relevance argument that I made before that it may lead to disclosing clients.
- Q: Well, again it's -- the information I'm trying to ascertain is, you know, people were paying you to do campaign work, and so I'm wondering about your background --
- A: Uh-huh.

- Q: -- so I can understand why they would have chosen you out of all the people who live in Maine.
- A: Over the past 13 years or so, I've worked on numerous ballot initiatives, maybe as many as a hundred ballot initiatives in the last 13 years, and do that work primarily. In fact, campaign work is probably a smaller portion of the work that I do than my work on ballot initiatives.
- Q: And can you give me some indication of some states you've worked in lately?
- A: I've probably worked -- well, I've spent a significant amount of time in California, and do, because there's a lot of ballot initiative activity that goes on there. Spent a significant amount of time in Massachusetts, doing work there and -- among other states but I would say the majority of the work that

1 I've done in the past 13 years has been in those three 2 states. 3 What else about your background would make you 4 qualified to be a person one would pay to be a 5 consultant to their campaign? 6 **A**: I think the fact that I was chair of the campaign 7 committee of the Maine State Democratic Party would be 8 a qualification, albeit it was a short period of time. 9 0: How short? 10 Umm probably about three -- three months, something to 11 that effect, but if -- if the State Democratic Party 12 thought enough of my campaign skills to put me in that 13 position, I guess that would hopefully answer your 14 question as to why people would think I was qualified 15 to run campaigns. 16 And what prior to that three-month position did you 17 have that was campaign-related? 18 I was working on numerous campaigns statewide, some 19 legislative campaigns, presidential campaign -- more 20 than one presidential campaign. 21 And were those on a paid or a non-paid basis? 22 Α: Both. 23 Can you give me some of the campaigns you worked for 24 on a paid basis?

1 **A**: I'd prefer not to based on my relevance argument 2 before. 3 And you stated that you were on maybe five or six 0: 4 legislative campaigns that you filled out forms for. 5 Can you tell us who any of those campaigns are? 6 **A**: Once again, I guess I would decline to answer that 7 based on the relevance argument. 8 Well, it's just really hard for me to understand why 9 someone would hire you if I don't know your 10 background. 11 Α: Uh-huh. 12 Q: So your refusal to give me that information is making 13 it hard for me to --14 **A**: Well, I hope I can answer that terms in general terms, 15 to tell you that I've worked on numerous legislative 16 campaigns, I've worked on some governors' campaigns, 17 I've worked on some congressional campaigns, some 18 presidential campaigns, I've worked on numerous, 19 numerous, numerous ballot initiatives. I would hope -20 21 0: But this all doesn't mean anything to me. 22 know the names of the people you were working for. 23 Α: Well, if you're interested in hiring me as a

consultant, well then maybe we could share that

conversation during our interview, but for this

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purpose, I just don't feel -- I feel, as an obligation to past clients, that not divulging the name of clients is in their best interest, and quite frankly, in my opinion, is not relevant to the items that were listed in the subpoena.

Q: Well, I guess I'd have to disagree with you. It's certainly -- I will be very clear with you that if you don't give the information I need, it's going to make it very difficult for me to make a decision. I'm only trying to find out information about you so that I can put that together with the information the other people have given me. When I only can work from their testimony and not yours, it's going to make it difficult for us to be understanding of your position.

EXAMINATION OF MR. ROGERS BY MR. BIGOS:

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certainly want to respect your procedural rights, if any. If you want to preserve objections for some future proceeding, I -- you know, that's fine with me but it really affects your credibility when you refuse to answer something, and if you choose to plead the Fifth Amendment, we can't force you to answer anything here today but we really would like to be cooperative. We'd learn an awful lot about your involvement with some of these campaigns and we really would appreciate

your honest and forthright answers to help make our 1 2 job a little bit easier and to help us make some of 3 these determinations. You've described some of the 4 democratic campaigns or candidates for which you have 5 worked, and have you worked with any republican 6 campaigns? 7 I have. **A**: 8

- Which ones? 0:
- 9 **A**: I decline to answer that based on my previous 10 relevance argument.
- 11 Were you a volunteer for republican candidates?
- 12 I believe I have. My first campaign ever that I said 13 was for Olympia Snowe at the age of 14.
- 14 0: And you said that was for Congress?
- 15 That was for I believe -- yeah, it was her first 16 congressional campaign. I may be mistaken, but I 17 believe it was.
- 18 Republican legislative candidate?
- 19 Α: Yeah, I'd say that's a fair assessment.
- 20 Were you ever paid for republican legislative 0: 21 candidates also?
- 22 Paid for republican legislative candidates?
- 23 0: Yes.
- 24 Not to my knowledge.

- 1 0: Were you ever a volunteer for legislative candidates 2 that were enrolled in neither democratic or republican 3 party? 4
 - **A**: Did you say a paid worker for --
- 5 Were you ever a volunteer for legislative candidates 6 that were neither democrat or republican?
 - A: Yes.

- 8 0: Which ones?
- 9 **A:** With the exception of the two that are listed in the 10 subpoena, I would decline to argue that based on my 11 relevance argument.
- 12 Q: Were you ever paid for any legislative campaign work 13 that you did for any candidates that weren't either 14 democrat or republican?
- 15 **A**: Yes.
- 16 0: Which ones?
- 17 Other than the two that are mentioned in the subpoena, 18 which you already know about, I would decline to 19 answer that based on the relevance argument.
 - Q: Okay. Thanks.
- 21 EXAMINATION BY MR. WAYNE:
- 22 0: What campaigns, without identifying any names --
- 23 Α: Sure.

20

24 -- have you managed or been the lead consultant for? 25 I guess I would say how many.

A: How many? I would say at least a half a dozen.

- Q: Keeping in mind that any expenditures by campaigns are publicly disclosed, can't you identify at least those for whom you were paid as a campaign manager? That record -- that information should also be a matter of public record.
- A: Well, it should be but once again, I don't want to breach the relationship between myself and clients, or former clients, even, so based on that -- based on my previous relevance argument, I -- I quite honestly don't think that the question is relevant to the subject that's -- the items that are listed in the subpoena.

EXAMINATION OF MR. ROGERS BY MS. GARDINER:

- Q: If I could maybe just follow up, Mr. Rogers, and see if I can help get some information that's useful without raising your objection, you mentioned that you were the -- you were either managing or the lead consultant for perhaps six candidate campaigns?
- A: Perhaps. I mean it's --
- Q: That's your estimate?
- 22 | A: -- it's hard to give you an exact number.
- Q: I understand that's an estimate. Can you tell us what

 types of campaigns they were, i.e. legislative, county

 office, presidential, congressional, whatever, the

- nature of the office, without the name, but the nature of the office.
 - A: Legislative, congressional?
 - ||Q: Senate? House? Can you be at least that specific?
- 5 | A: Senate and House.
- 6 | O: Which -- how many Senate -- state Senate?
- $7 \parallel A$: One. The one listed in the subpoena.
- 8 | Q: And how many for the Maine House?
- 9 A: Possibly four or five, maybe.
- Over roughly what period of time? How far back in time are you going (inaudible)?
- 12 | A: Fifteen years.
- Q: Any other elective offices for which you've managed a campaign or served as a lead consultant?
- 15 || A: Yes.

- 16 | Q: Can you tell us the nature of those offices?
- 17 A: The nature of the offices? I'm not clear what you mean.
- Q: In other words, was it a raise for Congress, was it a race for President, was it an election for county office, was it an election -- we've already covered the legislature.
- 23 | A: Uh-huh.
- Q: I'm asking you -- you don't have to name the name of the candidate but I'm asking you what office was the

1 person running for when you either managed their 2 campaign or served as their lead consultant? 3 can tell us approximately how many and what office. 4 Α: I would say as a campaign manager or a lead 5 consultant, the answer would be zero. However, have 6 been some where I have been consultant. 7 consultant is a term that I guess I'm familiar with 8 but sometimes it's not all together definable in the 9 context (inaudible). Sometimes you'll have multiple 10 consultants working together and none of them really 11 consider themselves lead consultant. 12 What -- how would you describe the nature of the 13 consulting work that you've done for which you've been 14 paid in candidate campaigns? 15 Most of it would be with regard to mail. Α: 16 0: And can you just describe for us a little bit more 17 what you mean by that? 18 Mail and voter list management because I do maintain 19 my own voter list and update it frequently and it's a 20 marketable product to a lot of candidates, obviously. 21 0: And beyond perhaps determining what list -- actually 22 producing the list to be used for mailing when you've 23 served as what you describe as a mail consultant, what

else does that entail?

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A:	In my case, one reason that candidates like to use me
	is because I design and generate and I you know, I
	print the mail and I send the mail. I do it all, and
	I do it all extremely cost-effectively because I do it
	basically with a home computer system but I don't use
	a we don't outsource it to printers and it works
	very well.

- Q: And can you tell us approximately -- for approximately how many candidate campaigns, that's distinct from initiative campaigns, how many candidate campaigns you have worked as a paid consultant to do mail, as you describe it?
- A: I would say at least a half dozen political jobs that I've done.
- Q: And again, of those half dozen, can you tell us what was the nature of the office the candidate was running?
- A: Legislative campaign.
- 19 Q: Okay. House or Senate?
- A: Well, both. You have one of each on the subpoena here.
- Q: And apart -- so you're including the ones (inaudible) on those?
- 24 | A: Sure.

- Q: And of the other four, can you tell us whether they were House or Senate?
 - ||A: House. Only --

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- $4 \parallel Q$: Just the one Senate? Okay.
 - ||A: Yeah. I only remember working on one Senate campaign.
 - 0: Okay. Thank you.
- 7 | EXAMINATION BY MR. WAYNE:
 - Q: If anyone in this -- just getting back to the HOMO MIM literature --
- 10 | A: Uh-huh.
- Q: -- anyone in this room were tempted to conclude that
 you put paid for by HOMO MIM at the bottom of that
 literature in order to conceal the fact that you had
 actually paid for the cost of that literature, would
 that conclusion be wrong?
 - A: Since I'm not entirely clear the implication in your question, I'm going to decline to answer that based on my Fifth Amendment rights.
 - Q: Sure. I'll try to clarify it. Did you use the HOMO

 MIM name in order to conceal your involvement in that

 literature?
 - A: Once again, based on your -- based on the fact that

 I'm not sure what your implication is, I'm going to

 plead the Fifth Amendment.

Q: Now I really am going to move on to Julia St. James.

Do you know how Julia St. James came to be a candidate for the state Senate in 2004?

A: Jessica Larlee told me about her and said that she had been contacted, I guess, by Julia. (Inaudible).

Q: Could you --

Apparently through a third party, who was a friend of Julia's, who let her know that there was some kind of -- you know, that we were interested in finding candidates that were interested -- that were generally interested in running, candidates that would be independent, candidates that would -- I like to find candidates in this particular whole thing. I really wanted to find candidates that had a niche issue that was something of concern to them that I didn't feel was being addressed by the two parties, and she clearly had one of those, and the legalization of marijuana was something that she was very passionate about. And she contacted Jessica, I guess, or -- and I was made aware of her through Jessica.

Q: When you stated a second that we were looking for candidates, who do you mean by we?

A: Jessica and I.

Q: I didn't quite understand the testimony. If you could just go over it one more time.

A: Sure. Uh-huh.

- 2 Q: Do you know how Julia St. James came to become a candidate?
 - A: She came to become a candidate because after it was brought to my attention that she was interested in running and I, along with Jessica, went to essentially interview her as a candidate, that it was my judgment that she was an earnest candidate, that she had the intention of running a campaign and was very vehement about her issue, and I -- and that was kind of the type of thing that I was looking for.
 - Q: Do you know whether someone suggested to her running for office?
- 14 | A: Someone suggested to her?
 - Q: I'm asking do you know whether anyone suggested to Julia St. James that she run for office.
 - A: The day that I met Julia St. James, she already had it in her mind that she was going to be running for the State Senate, so I don't know how that came to be that it was in her mind, but that was clearly the purpose of my meeting her.
- Q: Do know how she came to decide to run for State Senate in particular?
- 24 | A: Probably because -- I probably advised her.
 - Q: You suggested State Senate?

A: Probably.

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- Q: Do you remember for sure whether you did?
- 3 I don't know why -- I don't know what my thinking Α: 4 was at the time but it probably had to do just with 5 the dynamics of the race that was going on. 6 remember what the House race was in that district but 7 I think because -- because of the recent election that 8 -- that had taken place before with Bruce Bryant and 9 Bob Cameron, that there was an impact -- there was an 10 opportunity to make a greater impact in that election 11 because you have two candidates -- one candidate --12 two candidates repeating a race that and that, in my 13 opinion, provides an opportunity for somebody new to 14 step in and make more headway than they would -- as a 15 third-party candidate as they would in a special 16 election.
 - Q: This is one of those occasions that I mentioned earlier where we heard testimony at the October hearing that is a little bit different than what we're hearing from you now --
 - ∥A: Sure.

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- Q: -- and I think it might be helpful to Commission

 members if I just quickly read through some testimony

 by Julia St. James --
 - A: Sure.

Q: -- she gave us and your reaction from you, if you wouldn't mind.

A: Sure.

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Question is how did the idea of running for office first occur to you. Well, first of all, I was arrested for two felonies of marijuana cultivation and alleged trafficking. At that point, I was so outraged at the unfairness of the law that when Jessica called, I was visiting her aunt and asked if I wanted to run for office. I thought yeah, because I did not want to sit down and shut up. Who was the friend whose house you were at that day? Cathy Lelansky. Whose idea was it to run for office? That was Jessica's. She phoned up and we were all partying over at Cathy's house and she asked if I wanted to run for office. And I'm going to omit a little bit here. Actually, I'll go on. Just to clarify, who was on the phone and who was in the room? Jessica Larlee was? Answer: Jessica was on the phone with me. I believe we were in the garage at that time. Cathy was there, Jessica's cousin, Jenna, was there. I think it was about 1:30. And you mentioned someone named Dan. What was his full name? Dan Rogers. Was he there? No, he wasn't there. Did you have a followup conversation with them about the idea of running for office? Yeah, I think I

talked to them on the phone and we met up at my house. Did you mean Dan Rogers -- did you meet Dan Rogers later on? Oh, yeah. He came over. That same day? No, a couple of days later. So who came over? Rogers and Jessica Larlee. How was it that you decided to run for office in the State Senate? I didn't, actually. They just sort of asked do you want to run. We were at a party, so I wasn't really paying A few days later when we did get together, attention. I asked them what exactly was I running for? She viewed it as an opportunity to talk more about the marijuana laws and give her input. So the testimony we heard in October was that the idea came from Jessica Larlee and perhaps you at that party and that there were a lot of discussions a few days later. Could you explain --

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A: I guess my interpretation of that testimony is that there was some kind of phone conversation between Cathy and Julia and Jenna and Jessica in which they came up with this, and then I was told about it later. I mean, this is -- I can't testify to that. I mean, I wasn't there. That's hearsay. I wasn't there. I don't know. I know it was brought to my attention and was told that Julia was interested in running, and so then we did go up and interview her at that time.

1 Do you think that Julia St. James would have been a 0: 2 candidate for State Senate if she had not known you 3 and Jessica Larlee? 4 Α: If she had not known the fact that we were interested in finding candidates to run? I would say probably 5 6 not, although maybe she was -- she said -- she 7 testified herself that she was very upset at the 8 system and wanted to do something and I guess we 9 provided that avenue at the right time but, you know, 10 maybe she would have run for office. I don't know. 11 You'd have to ask Julia about that. 12 Q: Did you suggest to her that she could fund her 13 campaign through the Maine Clean Election Act? 14 Yes, I did. **A**: 15 What, if anything, did you tell Julia St. James about 16 the Ethics Commission's oversight of the Maine Clean 17 Election Act? 18 I guess you'd have to be more specific than that. 19 0: Did you say anything to Julia St. James about the 20 Commission's enforcement of the Maine Clean Election 21 Act? 22 Not to my knowledge. 23 Did you say anything to her about how the Ethics

Commission administered the Maine Clean Election Act?

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A:

Not to my knowledge.

- Q: Let me mention some other testimony, and I won't have a lot of occasions to do this --
- ||A: Sure.

- Q: -- because I know it's time consuming but this is from the testimony of Jessica Larlee. She was asked a question by Commission Member Bigos.
- A: Uh-huh.
- Q: Did Mr. Rogers ever make any statements regarding whether the Ethics Commission would, ah, make any gestures of an enforcement regarding the spending of finances of the St. James campaign, and Jessica replied I believe he told Julia that they would not, meaning the Ethics Commission.
- A: They would not do it? Okay.
- Q: Because they did not want to make the Clean Election thing look like it was being taken advantage of in case the voters decided they didn't want it anymore.

 Do you think you made that statement?
- A: I don't think I would have made a statement like that, no. It's not in my recollection, certainly, and it's not my opinion.
- Q: Let me just mention one other piece of evidence that came our way. In March of this year, I received an unsolicited phone call from Julia St. James' husband, Robert Campbell.

- A: March of 2005?
- 2 ||Q: Yep.

- A: Uh-huh.
- 4 Q: And this was before the Commission had undertaken any investigation of this matter --
 - A: Uh-huh.
- 7 | Q: -- And I -- he described to me his understanding of how Julia St. James came to be a candidate --
 - A: Uh-huh.
 - conversation. He said that he had heard stories that there were ways to live off the Maine Clean Election Act and that one can harvest candidates in order to receive Maine Clean Election Act funds. He said that Dan Rogers and Jessica Larlee have created a fourth branch party. I asked what other candidates had been recruited, and he mentioned that there was a candidate for the House in his area who had also run under the name of fourth branch party. Julia St. James told Mr. Campbell that one can skim money from the Maine Clean Election Act. She said that people -- and this is what I'm interested in your reaction to --
 - A: Uh-huh.
- Q: -- although if you care to react to anything else I
 write, I'd be eager to hear it. She said that people

believe they will not get caught because the Ethics

Commission has a small staff and doesn't want any bad

publicity for the Maine Clean Election Act. That kind

of coincides with the testimony from Jessica Larlee

and I was wondering did you make that statement?

A: I'm not sure in what way it would coincide with the testimony that Jessica said.

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- Q: The question is did you make that statement to Julia St. James.
 - No, I did not make a statement such as that in my recollection. Absolutely not. However, there are elements of that that's accurate, I believe. I think that the recruitment of candidates who have specific issues in mind is something that is, I think, acceptable use of the Maine Clean Election Fund, especially candidates that have things that aren't generally discussed very often in the public forum. think that's a legitimate use of the Maine Clean Election Fund. And I think that going out and finding candidates and encouraging them to run under the Maine Clean Election Fund is also perfectly legitimate, and I think sometimes, you can end up with candidates that don't meet your expectations, as that's possible to happen as well. I don't think it's improper for somebody like myself to go out and encourage people to

run and have them even hire me as a consultant and promote their campaigns that way. I don't see anything wrong with that. So --

Q: Are you saying --

A: -- I think some of that -- that attitude, I think, was reflected in the testimony, but I think to say that you can -- you know, you can scam money or something out of the Maine Clean Election Act, I don't think that that was the intent of what I was trying to say.

Maybe I was misunderstood, but that certainly isn't the intent of what I was trying to say.

Q: Any idea what the intent was?

A: Yeah. The intent was to say that there are lots of -that I think a legitimate use of the clean election
fund is to -- for people, not just political parties,
democrats, republicans or greens, to recruit
candidates. I think that if you want to start a
movement, such as Jessica did with their fourth
branch, if you want to find -- if you want to promote
your political movement, that's a perfectly legitimate
use of the Maine Clean Election Fund, to recruit
candidates that have issues that want to be heard. I
think that's the purpose of the Clean Election Fund,
in my opinion. So I hope that helps.

Q: It does.

- $1 \mid A$: (Square it).
- 2 | Q: Thank you very much. I wanted to enter another
- document into the record. It's St. James Exhibit
- 4 Number 21.
- 5 | A: Sure.
- 6 | 0: That exhibit includes three different documents that
- 7 | were filed with the Ethics Commission on behalf of
- 8 Major Pike.
- 9 | A: Uh-huh.
- 10 ||Q: The first page is a registration of Mr. Pike as a
- candidate in 2004.
- 12 | A: Uh-huh.
- 13 ||Q: Are you acquainted with Major Pike?
- 14 | A: I've met -- I've met Mr. Pike.
- 15 ||Q: How did you meet him?
- 16 | A: I was introduced to him by Jessica, who has known him
- 17 | for a long time.
- 18 | Q: Do you know how long she's known him?
- 19 | A: No.
- 20 ||Q: But it's a long time?
- 21 A: Longer than I've known her.
- 22 | Q: Do you know how he came to file papers with the
- 23 Commission as a candidate?
- $^{24} \parallel A$: I do.
- 25 | Q: Could you describe that?

A: Yes. He was met with and interviewed by us and encouraged to run. He -- although he lives in an apartment, he has -- spends a lot of time with and hangs out with a lot of people who are homeless and the issue of homelessness and is very important to him and he consented to run for State Senate using that issue as a platform.

- Q: Did he take steps to qualify as a candidate (inaudible)?
- 10 || A: No.

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- 11 ||Q: Did you -- but you intended for him to be a candidate?
- 12 ||A: Yep. Well -- yeah, sure.
- Q: And what made you think he'd be a good candidate, other than the homeless issue?
 - A: Well, after filing his papers and interviewing him and then seeing the fact that he really didn't have much intention to follow through on his candidacy, I didn't have much confidence in his candidacy and that's why we didn't file the papers for it.
 - Q: Did you go out and collect any five dollar qualifying contributions for Mr. Pike?
- 22 | A: No.
- |Q: How long after he registered --
- A: I like to see a little effort on the candidate's

 behalf before I take that next step and assist them.

- Q: Were you aware that Mr. Pike has a number of criminal convictions?
 - A: I was not aware at the time, and probably should have been part of my interview process.
- 5 Q: Did you assist Mr. Pike in filling out any of this paperwork?
- 7 | A: No, I don't believe I did.
- Q: Let me just direct your attention -- I recognize some time has gone by but (this is the) second document, entitled Declaration of Intent.
- 11 | A: Uh-huh.

- 12 | Q: The top part of there -- that document --
- 13 || A: Uh-huh.
- 14 ||Q: -- there's a candidate's name and address --
- 15 || A: Uh-huh.
- Q: -- recognizing that some time has gone by, does that appear to be your handwriting?
- A: It does appear to be my handwriting in the Declaration of Intent, not the candidate registration.
- Q: Did he take any other actions to become a candidate or as a candidate?
- $22 \parallel A$: After filing this paperwork?
- 23 || O: Yeah.
- A: None to my knowledge. He may have done something with

 Jessica but to my knowledge, he did basically nothing

1		and which is why I (tended) to let him not qualify
2		and moved on.
3	Q:	Did you suggest to him qualifying for Maine Clean
4		Election Act funding?
5	A:	If he was going to run, that's exactly how he would
6		have done it.
7	Q:	At the but at the time you met with him and
8		encouraged him to run, did you suggest to him Maine
9		Clean Election Act funding as a way to finance his
10		campaign?
11	A:	I personally may have, yeah. I don't know if it was
12		me or if Jessica had but certainly we would have told
13		him that's exactly how it would have been done.
14	Q:	Do you know whether he filed a response to a candidate
15		survey with the Maine Economic Research Institute?
16	A:	I don't know that.
17	Q:	Did you help him fill out the survey?
18	A:	No.
19	Q:	The Commission heard testimony of two witnesses at the
20		October proceeding, and I don't want to my
21		intention is not to say anything negative about
22		Mr. Pike.
23	A:	Uh-huh.

Q: But they described him as a bum who lives under a bridge, and there was -- there's an article in today's Lewiston Sun Journal --

A: I read it this morning.

Q: -- in which he was described by Lieutenant Michael

McGonagle as a transient.

A: Uh-huh.

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Α:

Q: Do you think those statements about him are accurate?

I think there might have been a little bit of poetic license going on with that description to make the story look a little better. My impression of Major Pike was that he does spend a lot of time with transients. Living under a bridge, I think, is obviously inaccurate since he does have an apartment at 122 Holland Street. I know he spends a lot of time with transients and I know it's a concern of his. also found him to be quite articulate, and seemed to be, I guess you would say, a leader among the transient population. I would characterize him as a leader among the transient population. So therefore, those were kind of the qualities that I saw that made me even go to the extent of filing him and say, you know, maybe this is an interesting thing to pursue. And so that's the best I can give you as far as Major goes.

- Q: Sounds like there was an admission that some of the description might have a grain of truth in it.
- A: Well --
- $4 \parallel Q$: Let me strike that question.
- 5 | A: Okay.

- 6 Q: Let me just say in light of all that that we just 7 discussed --
 - ||A: Uh-huh.
 - Q: Again I want to ask you what would make you think he'd be a good candidate for the State Senate of Maine?
 - A: Because he -- I would qualify him as an absolute expert in the issue of homelessness and transients because he spends nearly all his time with them, and I think that -- I don't think that the state would be ill served by having somebody in the Senate who had a lot of experience with that type of population because it's clearly a problem that confronts our state, and people like us don't have quite as much grasp of what the problems are that are facing the homeless population as somebody who is so involved with them as Major is.
 - Q: We heard testimony in October that Sarah Trundy, this is from Sarah Trundy herself --
- 24 | A: Uh-huh.
- 25 ||Q: -- really didn't campaign much at all --

- A: That's correct.
- Q: -- in the last six months of the campaign.
- A: Yep.

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- Q: We heard testimony regarding Julia St. James from her husband that at the time she was a candidate, she had trouble with alcohol. Now we see Mr. Pike, who is described as a transient and so forth.
 - A: He has a home. He has an apartment.
 - Q: Is there a pattern here that you were recruiting candidates who were not serious?
 - Oh, I would say that's not true at all. I don't know if Julia is testifying to the fact that she was not a serious candidate but I think she would argue that point. Sarah Trundy is very concerned about the situation with the family farms, the loss of the family farms, and expressly stated to me that she had absolutely no interest in the campaign portion of the -- that she had no interested in involving herself in campaigns. She didn't want to go out there and campaign. She didn't want to spend the time. afraid to do it, quite frankly. She didn't know what -- but she was a Green, so if she got elected, she would have a (Green minority) office to help her in the House, and she expressly stated to me that if she was elected, that she would love to go up to Augusta

and do what she could, serve on the Agriculture

Committee and do what she could to help the family

farmers. She comes from a farming family. They have

direct experience in farming. And that's -- that is

the type of candidate I was looking for, somebody who

had hands-on experience in their niche issue that are

issues that, quite frankly, I don't believe are

adequately addressed here in Augusta.

- Q: Who initially was the treasurer of the Julia St. James campaign?
- A: That would have been Jessica.
- Q: Was she the treasurer for the entire campaign?
- A: No. She decided that she didn't want to be treasurer anymore.
- ||O: When was that?

- A: It was probably about the time that she -- I think at the time when Julia opened the bank account and didn't want to have Jessica's name on the bank account. At that point, I think Jessica was very concerned that she would be signing treasurer's reports with not direct knowledge of how that money was being spent, which I agreed with. I thought that was probably a good judgment.
- Q: After Jessica ceased that role, who was keeping records of the expenditures on behalf of the campaign?

A: To my knowledge, it would have been Julia and Rob, I guess. I don't -- I'm not even entirely sure what role Rob's was in the preparation of the documents or whatever.

- Q: Are you aware whether anyone was? You said to my knowledge and you qualified your answer. Are you aware whether anyone was keeping records of the expenditures by the candidate?
- A: Well, I'm sure whoever had the checkbook, I assume, was keeping track of those expenditures.
- Q: Were you aware of anyone keeping receipts on behalf of the campaign?
 - A: I don't have any direct knowledge of it, so I can speculate that it -- either Julia or Rob was keeping receipts.
- Q: Is it fair to say you were the campaign manager?
 - A: I don't think that's fair to say at all. I'd say I
 was a consultant, and I was consulted -- I was a
 consultant to provide general campaign consultation
 and to act as a vendor for providing specific products
 that the campaign needed, and obviously since she's a
 novice candidate -- I mean, I had numerous clients and
 I was working on numerous campaigns. To say that I
 would be hands on managing any of these campaigns, I'd
 say, would be inaccurate. I was providing (inaudible)

- 1 -- and obviously, in a novice campaign, there is
 2 deficiencies that require consultation, and to I tried
 3 to provide that consultation where necessary in the
 4 circumstances regarding all the campaigns I was
 5 working on.
- 6 | Q: How was Jessica Larlee paid by the campaign?
- 7 | A: By Julia's campaign?
- 8 | 0: Yeah.
- 9 A: She was paid -- I don't think she was paid directly by
 10 Julia. She was paid by me.
- 11 ||Q: How much did you pay her?
- 12 A: I paid her half of the consulting fee that I made from Julia.
- 14 \parallel Q: And how much was that consulting fee?
- 15 | A: Five thousand dollars.
- 16 | Q: So how much did you pay Jessica Larlee?
- 17 A: That would have been \$2,500 for the duration of the campaign.
- Q: Did you pay that to her in one single payment or multiple payments?
- 21 | A: It was paid to her as a weekly stipend.
- 22 ||Q: So you made weekly payments to Jessica Larlee?
- 23 | A: Uh-huh.
- 24 ||Q: Is your testimony that you made, then --
- 25 | A: Two -- \$200 a week.

- ||O: Two hundred dollars a week --
- $2 \parallel A$: Uh-huh.

- 3 || 0: -- until that --
- $4 \parallel A$: Until it was -- until it ran out. Until it ran out.
- 5 Q: Jessica Larlee testified that she received only a 6 single payment from you of 2,500 because she was on
- 7 Section 8 housing --
- 8 | A: Uh-huh.
- 9 Q: -- and she felt it was -- in order to report that

 10 accurately to the Section 8 housing authorities --
- 11 | A: Uh-huh.
- Q: -- it was beneficial for her to receive one payment of 2,500 so that her income was not increased over time, there was this --
- 15 || A: Uh-huh.
- 16 | Q: -- I'm paraphrasing but there was a spike in increase.
- A: Uh-huh. My recollection is paying her \$200 per week.

 Now, there was -- she was involved in working with me

 with other projects before that time in which I was

 also paying her \$200 a week and she was -- she was

 getting paid as well, so maybe there's a -- there's a

 confusion either on my part or on her part that the

 \$200 a week I was paying her for projects before that

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did not -- you know, was continuing through St. James'

- campaign or whatever. I don't know. But my recollection is paying her \$200 a week.
- Q: I want to refer you to an exhibit from our last hearing. It's Exhibit Number 12.
- 5 | A: Uh-huh.
- 6 | Q: Have you seen that before?
- 7 A: Yes, I saw it in the testimony and it's posted on the web site.
- 9 Q: Were you aware that the Commission had sent an audit,
 10 you know, a letter with certain questions to Jessica
 11 Larlee?
- 12 | A: Yeah.
- 13 | Q: And that she had responded?
- 14 | A: Uh-huh.
- Q: And did that -- we received that as Ms. Larlee's response.
- 17 | A: Uh-huh.
- 18 ||Q: Did you assist her in preparing that?
- A: I probably had -- she wrote it. I may have reviewed

 it before she sent it. She often gives me things to

 review before she does them.
- 22 | Q: Did you write it for her?
- 23 | A: No, I did not.
- $24 \parallel Q$: Did you have a title in Julia St. James' campaign?

A: I wouldn't say I had a specific title. I mean, I was serving as a consultant. Maybe Ms. Gardiner's characterization of lead consultant maybe would apply here since there wasn't any others.

Q: What did you agree with the candidate would be your duties?

Political campaign, to provide her with certain things that she needed to run her campaign, such as -- I gave her a walking list real early in the campaign, back in June or July or whenever it was she qualified, provided her with certain products, for instance the palm cards and the newspaper and the mailing and the other things that I have some evidence of at this point, and basically do what a consultant does, and that is to address deficiencies in whatever campaign organization exists.

Q: Did you agree to assist her in advertising?

A: Advertising was discussed when -- during the initial part of the campaign, we were discussing all the various possibilities. Advertising was discussed as one possibility, and I think probably at that point, it was something was the plan that was going to be -- that towards the end of the campaign that advertising would be bought. A lot of other possibilities were

1 talked about but I don't -- but there's certainly no agreement that advertising -- this type of advertising 2 3 is going to take place on this date or what kind or 4 It was talked in general terms as something -when. 5 as a possibility that something could be done during 6 the campaign. 7 Did the campaign ultimately purchase any advertising 0: 8 in any media? 9 **A**: I wouldn't know. Certainly not to my knowledge. 10 I don't know -- I had very little involvement in any 11 of the campaign expenditures other than the money that 12 was directly given to me, so I wouldn't really know. 13 Would you say you performed the duties of a campaign 14 consultant? 15 I would say I did to the extent that they were 16 requested or required. 17 Q: Did you suggest to her that perhaps advertising might 18 be (inaudible) --19 Α: On a few occasions, sure. 20 0: Did the campaign purchase any advertising? 21 A: Not to my knowledge. I've looked through the campaign 22 reports and I don't see any. 23 0: Do you know about how much was spent by the Julia

St. James campaign?

About how much total --

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A:

- ||Q: Expenditures.
- $2 \parallel A$: -- they spent? I -- in the neighborhood of \$36,000.
- Q: So do you find it curious that a \$36,000 campaign for State Senate would not conduct any advertising in any
- 5 | medium?

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- 6 | A: I would characterize it as uncommon.
- 7 | Q: Was there a decision made not to conduct any advertising?
- 9 | A: Not that I know of.
- Q: But would you testify that the Julia St. James campaign was a real campaign, ultimately?
 - A: I think it was a real campaign. I think it was a extremely poorly executed campaign, in retrospect, but I -- but it was clearly -- I mean, there was clearly an intent on behalf of Julia, I think, to run a legitimate campaign.
 - Q: You feel you have any responsibility for the poor execution?
 - A: Yeah, I think I do. I think I have some responsibility for it. I think I have a responsibility and I think -- I probably made some poor judgments in thinking that Julia would be a more serious candidate.
 - Q: But in terms of your own responsibilities, would you say you performed adequately?

- A: I'd say I performed adequately as far as delivering the services that were promised. I think I probably could have made a lot more effort to encourage her to do more activities.
- O: Did you discuss with Julia St. James that any newsletters would be published by the campaign?
 - A: Yeah. I think initially there was a plan to do three of them.
 - Q: How many did the campaign ultimately publish?
 - A: Two. One small, little newsletter that was -- there was an eight-page newspaper and then there was a small newsletter that kind of made -- was a mock version of the legislative updates that the senators send out, and we did -- and we produced them and did some distribution of them but it was never mailed. There was a little bit of lid dropping going on mostly in the town of Jay.
 - Q: But it was never mailed?
- 19 ||A: No, it was never.
- 20 ||Q: How many would you say were handed out?
- $21 \parallel A$: Less than 500. Less than 300.
- 22 | Q: Just for our future reference --
- 23 || A: Sure.

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- 24 | 0: (Inaudible) St. James --
- 25 || A: Uh-huh.

|Q: -- newspaper (inaudible) newsletter.

A: Uh-huh.

Q: So why wasn't a third newsletter mailed out?

A: There was only one newsletter in the format that we were discussing, and that's this one. The other one was kind of a separate -- the other one was kind of a separate project. The three newsletters that you're referring to as these three newsletters are going to get mailed out, only one was produced, and it's this one right here.

Q: Why was only one produced?

A: Because -- the idea was for Julia to be able to -almost like a, kind of, a monthly or a biweekly
whatever -- ideally, what I would have liked to see
happen is in the last six weeks of the campaign, you
sent one out every two weeks so that it was kind of a
news -- a new edition with information that came
ostensibly from Julia, her words, her context and what
she wanted to let the constituency know, and the
reason three weren't made is because I didn't have
enough content.

Q: I'm sorry?

A: I didn't get enough content to publish three. Most of the content in this newspaper I made, and Jessica did.

Q: Yeah, could you allocate how much you believe, approximately, of that content you made versus Jessica?

A: Want me to look and tell you?

Q: Please.

A: I'd say I wrote -- I wrote three articles that I can see for sure. Julia wrote the back one. Jessica wrote -- I wrote that. Jessica wrote at least two of these articles that I -- to my knowledge.

Q: Could you just identify by title the ones that you had

A: The ones that I authored and the ones that Jessica authored --

Q: Yeah.

-- and the ones that Julia authored? Yes, I can.

What is the Fourth Branch was authored by Jessica.

Julia on the Reform of Marijuana Laws; that was -- I

believe I finished that and the first two sentences in

it were written by Julia. She kind of gave me a

little mission statement or whatever, what her -- this

is -- I support marijuana laws, blah, blah, I

said oh, that's nice, and then I finished it. The

Ralph Nader article was obviously written by Ralph

Nader. The Why Shouldn't We Reelect Bruce Bryant to

the Maine Senate article was written by me. Bruce

Bryant and John Baldacci Playing Fast and Loose with Big Checks; that was written by me. Fibromyalgia article was written by Jessica. The Paleski Proposal. I think -- I don't know who wrote that. I have no idea. Probably -- probably me. And I don't know about the people stuff. The tax cap thing was an excerpt from the Portland Press Herald and the back one was written, I believe, by Julia, and maybe Julia and Jessica wrote it together some time, but I had nothing to do with the back, and so I don't know for sure.

- Q: Who delivered that newsletter to the printers?
- 13 || A: I did.

- ||Q: When did you do that?
- 15 A: I did it on Friday before the -- Thursday or Friday
 16 before the election. One of those two days.
- 17 || Q: So --
- 18 | A: Thursday, I think. Thursday.
- Q: -- that was the only newsletter of that format published by the --
- 21 | A: Uh-huh.
 - Q: And you only delivered it four to five days, to the printer -- you only delivered it to the printer four or five days before the election?

- A: That's not uncommon to send mail that late. I mean, the later you send it, the better it is. Always send the mail the last -- I mean, not to arrive election day but if you send it Saturday and it arrives Monday, that's exactly when you want it. You don't want it arriving Saturday, you want it arriving Monday.
- Q: But in terms of delivering it to the printer, that's my question, did the candidate consent to it being delivered to the printer so late?
- A: I think that she would have liked to have it early but

 -- earlier, maybe, but I don't -- I'm not even sure -
 at that point, I'm not even sure that we were

 expecting to produce a newsletter.
- Q: Why wouldn't --
- A: Because they didn't have enough content from anywhere.

 I mean, I -- it -- if you write the content and design a eight-page newsletter, if you go to a place like

 Turner Publishing, they'll quote you a price of \$1,500 and it takes them a considerable amount of time

 (inaudible) --
- Q: In your initial agreement -- I'm sorry, I didn't mean to interrupt --
- 23 || A: Yes.

- 24 | 0: In your initial with Ms. St. James --
- 25 | A: Uh-huh.

- Q: -- who was going to supply the content for the three newsletters you just mentioned?
- A: My understanding was that it was going to be her issues and that she was going to provide the content to some degree, with me giving maybe some editorial overview of the thing. My -- my understanding is certainly that I'm not going to be sitting there, writing the newsletter. I don't think I ever would have said that.
- Q: Were you involved in putting the mailing labels or the postage on those newsletters?
 - $\|A: No, I was not.$

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- 13 ||Q: Why? What were you doing at that time?
- A: We were all assembled at Jessica's house doing a lot of mailings for a lot of different people.
- Q: And when did you mail that second newsletter out, the one that you mentioned, the legislative update?
- 18 || A: We lid dropped that real early in the campaign.
- Q: And what was your testimony about how many you believe you --
- 21 | A: I'm guessing it was around 300.
- Q: Any -- can you give us a better estimate than earlier in the campaign?
- A: I can probably tell you exactly if I have a little
 more time to review -- to review stuff, and I can get

back to you on it (a little) closer but I'm guessing it was about 300.

Q: Before this hearing began and when I was first introduced to you, you mentioned that you had trouble getting access to come of the documents that we requested.

A: Uh-huh.

Q: Why have you had trouble getting access to those documents?

Well, there's two reasons. Basically, there's three computers that were involved in -- actually, four computers that were involved in all these -- in the creation of everything with regard to Julia's campaign, and one of them would have been Julia's computer, one of them would have been Jessica's laptop computer. There had been a computer that is at Jessica's house that belongs to my daughter which she uses, and then my computer, which is no longer containing any information because the hard drive was reformatted by somebody who was trying to fix it.

Q: So are you saying it would be impossible to get any documents that were (inaudible) --

A: That were on my computer. That's correct. I can provide testimony of the person who did it, if you like, but -- I mean, some of it -- you'll be able to

things that were on my computer. A lot of the -basically, how things would normally go is I'd be at
Jessica's house, something would conceptually be
devised between the two of us and we'd start laying
the ground work for the design of that piece or
whatever. I'd e-mail it to myself and then I'd go
home and finish it. So a lot of the real finish-type
products were on my computer. Like this one, for
instance, would have been on there and huge amounts of
information from campaigns I've worked on for the last
ten years. I was not very happy about it. That has
been lost on that hard drive.

Q: When you say we would be able to get access to most, or you would be able to get access to most of the documents --

A: Uh-huh.

Q: Do you mean through the computers other than the reformatted one?

A: Uh-huh. Yeah. I have since recently been able to, through a third party, get access to those computers, and I just copied the hard drives, and going through them to assemble that information, obviously, is pretty time consuming and it just has not happened yet. It was only not that long ago that it happened.

1 What -- and when you say you've had access to the 0: 2 other computers, are you referring to Jessica's 3 computers? 4 **A**: Uh-huh. The laptop. 5 0: Jessica's laptop? 6 Α: Uh-huh. 7 And the other one, which belongs to your daughter? 0: 8 **A**: That's correct. 9 0: When Jessica was here in October, she testified that 10 she had a laptop and another computer she called a 11 tower. 12 A: Yes. 13 Is that the one you're referring to --14 That's correct. **A**: 15 0: -- that is owned by your daughter? 16 A: That's correct. 17 Q: So you have had access to those two computers? 18 I have but I haven't -- it's only been fairly 19 recently, within the last ten days. I've been busy 20 with elections and -- I've tried to get through some 21 of it, the more important stuff. 22 0: One of the main things that we're -- the Commission is 23 trying to determine is whether the Maine Clean

> BROWN & MEYERS 1-800-785-7505

paid to you for real campaign goods and services.

Election Act funds received by Julia St. James were

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1	A:	Uh-huh.
2	Q:	So the Commission would be very interested in
3		receiving any other documents, whether it's campaign
4		literature
5	A:	Uh-huh.
6	Q:	anything else, electronic or in writing, that
7		evidences the services you provided to Julia St.
8		James, we would request.
9	A:	Uh-huh. I think when it becomes if I could go
10		through the invoices, I could probably tell you almost
11		exactly what I can provide to you, if you'd like.
12	Q:	Yeah, I'd like to do that. Could we just I sort of
13		have an order and
14	A:	Okay, sure.
15	Q:	it's got a little bit more in it, but I do have a
16		section on your invoice.
17	A:	Okay.
18		MR. WAYNE: Let me just say to Commission members
19		if you want to break for lunch, this is taking a lot
20		longer than I anticipated. Let me know, but I'm just
21		going to keep going until I hear otherwise from you.
22		Is that fair?

MALE SPEAKER: That's fair.

MR. WAYNE: Okay.

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- Q: Were you involved with collecting five dollar qualifying checks for Julia St. James?
- 3 | A: Yes.
- 4 Q: About how many checks would you say you helped collect?
- 6 A: Me personally?
- 7 || Q: Yeah.
- 8 | A: Zero.
- 9 Q: So in what sense were you involved in the collection of the five dollar qualifying checks?
- A: Helping Jessica get around to collect them, and Julia, and going with them.
- 13 | Q: Getting -- meaning prodding them to do it?
- 14 | A: Yeah, kind of --
- Q: I don't mean to put words in your mouth. I'm just trying to understanding what you mean.
- A: Jessica is usually the one collecting the checks.

 She's real good at it, so it's kind of the --
- something she does very well and she doesn't mind doing it, so --
- 21 | Q: So what was your involvement?
- 22 | A: So her and Julia were both involved with it.
- 23 $\|Q$: But what was your involvement?
- 24 || A: Oh. Basically, just -- yeah, cheering them on.
- 25 Exactly.

- Q: Did you collect any petition signatures for Julia St.
 James to qualify (inaudible) --
 - | A: Yes, I did. That I did.
- 4 ||O: Where was that?

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- 5 A: I think a lot of it was done at the Rumford post office.
 - Q: Did you do it on more than one occasion?
- 8 A: Uh-huh, I did. I did some at the Dixfield post office, too.
- 10 Q: Any idea how many occasions you were collecting petition signatures?
 - A: Well, you only need 200, right? I don't imagine it was more than twice. I mean, I probably got most of them one day in Rumford and then I remember topping them off at Dixfield on the last day.
- Q: And did you prepare any advertising for the campaign that would be going into media?
 - A: No, not to my recollection, and had there been any,

 I'm sure I would have been involved in it.
 - Q: Could you describe, then, any campaign literature which the campaign made other than the legislative update you mentioned and that eight-page newsletter.
 - A: Yes. There was a push card, palm card, I don't know what you folks call them, that was produced, which was one circumstance under which I think that Julia was

1 slightly overcharged for my services, but I think in 2 other places that I made up for it. The intent was to 3 print up a lot more than I did. I made about 1,500 of them, and I clearly intended to make a lot more, but -5 - I mean, she didn't go -- she didn't do any doors. There wasn't any real reason to produce more at that 6 7 I mean, those are the -- palm cards are the point. 8 things that you use to go do doors, and I spent one 9 day running off -- they're four up, you know? You run 10 off about four hundred pages of them and then cut them 11 and you get about -- I guess it was probably more like 12 1,600. You estimate 1,500 because there's some waste. 13 But I ran off about 400 of those and gave them to 14 Julia, and I think they lasted the entire campaign. I 15 don't think they all got distributed, so there was no 16 need to print more. There was the intent to print 17 more.

- Q: And you've given us some pieces of campaign literature here today.
- A: Uh-huh.
- Q: Could you run through them with us?
- 22 | A: Sure.
- Q: I'm particularly interested in whether voters actually received these or whether they were --

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- A: Yeah, they received them. Well, the palm cards I can't testify as to the extent they received them.
- 3 ||Q: Could you identify them by exhibit?
- 4 | A: Sure.
- $5 \parallel 0$: Thanks.
- 6 A: The first one after the invoices, you mean?
- 7 || 0: Yes.
- 8 A: That is the -- that's the back of the palm card, and
 9 this one would have been --
- 10 ||Q|: What's the exhibit number? I'm sorry.
- 11 | A: It's Number 26.
- 12 || Q: Yep.
- 13 A: That's the back of the palm card. It also ended up
 14 being -- well, the finished product ended up being the
 15 back of the four-and-a-half by six postcard as well,
 16 with only minor modifications.
- 17 | Q: Was that mailed, the postcard?
- 18 | A: Yes.

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- 19 || O: Do you know how many were sent out?
 - A: It was district-wide and it was a four-and-a-half by six postcard. Small one. About 16,000, and they were -- there's three separate -- there's -- basically what I do, and I did with the Trundy campaign, too, and I've done in previous campaigns, I'll make a postcard that has -- it's like a template that the first two

paragraphs are generally the same and the last paragraph I target (inaudible) sending to. If I send it to a democrat, a republican or an independent, there's a different paragraph on there. So that's how I target. I just target the mail that way. And -- so the -- this invoice would itemize who those were broken out, and I think this first one right here would be basically the template. That's probably some slight modification, differences that were made on the postcard.

- Q: Could you -- I'm sorry to interrupt. I'm just having trouble following which -- which language appeared on that four-and-a-half by six --
- A: Number 26. I would say at least the first two paragraphs of that appeared on the postcard. I'm sure the first two paragraphs did.
- Q: Yeah.
- A: The third paragraph probably was moved down, with the targeted paragraph stuck in the middle. This would be the template that I developed on Jessica's computer before I e-mailed it to myself and finished it off and produced them down in my house, where the printers were.
- O: And then the --

- 1 Put the push card itself, now -- this is the back of **A**: 2 the push card, right, which later evolved into a 3 postcard. The back -- and the reason I thought I could do it is because I knew that the push cards 5 weren't very widely distributed, so I figured, you 6 know, this is the brunt of the message right here, 7 let's just get this out on a postcard, and it was done 8 hastily as well, and it was the quickest thing I could 9 get out. The -- I'm sorry, I forgot what I was about 10 to say.
- 11 ||Q: Let me just sum up.
- 12 | A: Okay.
- Q: You did the item that you called push card. Is that did you refer to it also as the palm card?
- 15 A: Same thing, interchangeable.
- Q: And you made 400 of those, which you think were not fully distributed?
- A: I made 400 pages of those, which are four up, so it equates to 1,500 palm cards if you count waste.
- 20 | Q: I see. Thank you.
- 21 | A: Yep.

- Q: And then there was the four-and-a-half -- I -- I'm hoping to move this along a little bit.
- 24 A: Yeah, I understand. I know.
 - Q: There's a four-and-a-half by six postcard.

A: Uh-huh.

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- 2 \parallel Q: You believe maybe 16,000 were mailed?
- 3 A: Yeah, I know there were 16,000 mailed.
- $4 \parallel 0$: And what about Exhibit 27?
- A: That's the front of the push card, and the postcard

 was not much different than that. It was just

 slightly modified in order to, you know, allow for

 stamp room and addressing room. For some reason, that

 was (pulled out).
- 10 ||Q:| And what is St. James Number 28?
- A: St. James Number 28 was the beginning, and I believe
 this was -- actually we started developing this at
 Julia's, on Julia's computer, but then I e-mailed it
 to myself and did more work on it. 28, you said?
- 15 || O: Yeah.

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- 16 A: That's the front page of the legislative update that
 17 we kind of made to look like the ones that the State
 18 Senators send out.
 - Q: Okay. Just help me out here. I'm going to run through what I understand to be the sum total of printed communications that were either mailed or distributed to voters.
- 23 | A: Okay.
- $24 \parallel Q$: And I -- there's the eight-page newsletter.
- 25 | A: That's correct.

- 1 ||Q: There's the legislative update newsletter.
- 2 | A: That's correct.
- 3 | Q: There are the 16 -- 1,500 or 1,600 palm cards or push
- 4 | cards.

- 5 | A: That's correct.
- 6 ||Q: And then there's the four-and-a-half by six postcard.
- 7 || A: That's correct.
 - Q: Was there any other printed literature distributed to
- 9 voters by the St. James campaign?
- 10 $\|A$: None that was distributed to voters in -- to my
- 11 knowledge. There may have been things that Julia
- purchased or designed or did, whatever, and
- distributed to voters that I have no knowledge of.
- That is all that I was responsible for. $14 \parallel$
- 15 | Q: Did the campaign purchase any professionally printed
- 16 signs?
- 17 $\|A$: I believe that she did.
- 18 Q: She did, meaning?
- 19 || A: Julia did.
- 20 $\|Q$: Did you assist at all in the purchase of those signs?
- 21 ||A: Other than I designed the signs, but I -- I did not
- 22 | take the check to the store or call them up and price
- $23 \parallel$ them.
- $24 \parallel Q$: Who determined what printer would be used for those
- 25 | things?

- I assume Julia did. I don't know for sure. **A**:
- 2 I have to say, I'm hearing that you were the general 3 consultant for the campaign and yet you didn't promote any advertising other than the design of the sign.
- 5 I'm not --
- 6 **A**: Okay. Go ahead --
- 7 -- I'm just trying to understand. Q:
- 8 Α: Okay.

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- 9 0: The basic duties that I would normally associate as 10 the political consultant are assisting the candidate 11 in purchasing advertising --
- 12 I would -- go ahead --
- 13 -- signs, and it sounds like you weren't involved in 14 some of these basic responsibilities.
 - I would characterize most of those things you mentioned as something that a campaign manager would do rather than a consultant.
 - 0: Did you assist the candidate in any door-to-door campaigning?
 - I didn't. Jessica did, I believe. I was not there, so I don't know what took place, but I believe Jessica did. I don't think a lot of it ended up taking place. I think there was an initial period of door to door, of doors, and then I don't think -- after the falling

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1 out between Julia and Jessica, I don't know what 2 really took (happened) after that. 3 Okay. Did you want an opportunity to go through these 4 invoices? 5 Α: Sure. 6 You know, keeping in mind the time, why don't you just 7 -- if you wouldn't mind flipping through them and 8 telling the Commission anything you think they need to 9 know about these. 10 **A**: Sure. 11 (Inaudible comments.) 12 Okay. The first one, this is Number 25 now. 13 Maybe if you could -- I'm sorry to keep giving you 14 instructions. If you could refer to the invoice 15 number, that would help us out. 16 This is invoice number -- I'll give the last two 17 numbers, number 71, 18 0: Uh-huh. 19 This is travel reimbursement for -- during the period 20 -- these -- 8/20 and 8/31, the invoice after it, this 21 was a period of time for maybe three to four weeks 22 where I was spending a lot of time up at Julia's. 23 was going up there basically every day. And then 24 towards the last two months of the campaign, I had

very little contact whatsoever with her. But during

- this period of time, I was spending a lot of time
 there, and this is a reimbursement for -- basically,
 for gas money for going up there, and -- as is the
 8/31 invoice, the -- invoice number 77.

 Q: And are you certain that these two payments of \$100
 - A: For -- yeah, for a month of going up there, I think --

yeah. Yeah, I think they're very fair. Okay.

- 9 Q: What would you say is the mileage between Auburn and Canton?
- 11 | A: And Canton?

are fair?

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- 12 Q: Yeah, the amount of miles.
- 13 | A: I guess it's maybe 25, 30.
- 14 ||Q: And so in that two-month period, about how many trips
 15 || would you estimate you made?
 - A: I'd say at least four days a week for a month, and then after that, I would -- you know, after that, the time I wasn't reimbursed, I'd say I went up there, at the most, once a week after August 31.
- 20 | Q: Okay. Thank you.
- 21 | A: Uh-huh.
- $22 \parallel Q$: Turning to the next one, number 87.
- 23 | A: Uh-huh.
- $24 \parallel 0$: What work was that for?
- 25 ||A: That was -- that was a down payment for the mail.

BROWN & MEYERS 1-800-785-7505 Q: What's --

- A: And that was -- that was -- that is -- at first it was intended to be a scaled down mail piece that was actually going to be delivering nail files that she had made to -- targeted to democratic women in the district, and that never materialized.
 - Q: Why didn't it materialize?
 - A: Because of a lack of communication between Julia and myself for an extremely long period of time through September and October.
 - Q: How did -- what caused that lack of communication?
 - A: The lack of communication is -- if I would call Julia,

 I wouldn't get a call back, and I went up there a few

 times looking for her and I probably did -- I probably

 did see her through, you know, a few times here and

 there, but, I mean, just -- a lot of these campaign

 plans just never seemed to gel. I just never seemed

 to get enough time with Julia to develop and make them

 happen.
- 20 ||Q: Okay, so --
- 21 A: That's all. There was efforts made to contact her.
 22 Sorry.
 - Q: No, I apologize for cutting you off. Specifically, what mail pieces were going to be supplied for this \$3,000?

1 **A**: Well, the original intent was to send the nail file 2 mail and then a targeted hit piece, as you would say, 3 that was probably almost to the level of this. so nasty, and I made a decision at the time that it --5 probably pushing that code of ethics that you sign, 6 and we should probably do something a little more 7 vanilla, like the push card, and so was what 8 ultimately got delivered. The nail file thing never 9 happened. It ended up, rather than, you know, what I 10 had hoped to be a more targeted delivery of mail and 11 more -- and pieces that were associated with a little 12 more voter ID, which never took place, instead, they 13 ended up getting a -- just a kind of generic district 14 wide mail that was targeted to democrats, independents 15 and republicans, with the one paragraph in the back 16 (inaudible).

- Q: I guess the big picture here, Mr. Rogers, is that you were paid a lot of money --
- ||A: Uh-huh.

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- Q: -- for a lot of campaign services that never developed, it seems, and so as we focus on these invoices --
- A: Uh-huh.
- Q: -- I wonder if you could tell the Commission what specifically they got for each one of these large

1 payments, for example this \$3,000 payment, you're 2 saying --3 I'm saying that with -- from the \$3,000 and the next 4 invoice, which is the rest of the payment there, she got a district-wide mailing cheaper than any other 5 candidate did in the entire State of Maine. 6 7 you that right now. 8 And the two invoices you're referring to are 87 and 9 95? 10 Α: That's correct. 11 So that -- the sum total of those two payments is 12 \$5,000, and in return for that, you delivered the --13 District wide postcard --14 0: -- postcard. The four-and-a-half --15 **A**: -- by six postcard. 16 0: And do you think that was a fair price? 17 Α: I do. I think it's more than fair. I think it was 18 undervalued. I think -- I think that -- I think that 19 it was -- I think I lost money on it, to be honest 20 with you, on producing it and sending it, and I think 21 with the palm cards, I think they were overvalued and 22 I think the postcard was undervalued. 23 Now, you had already received a \$5,000 payment from 24 Ms. St. James, and you testified that half of that

ultimately was paid to Jessica?

A: Uh-huh.

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- $2 \parallel Q$: So you received two thousand and five hundred --
- 3 | A: Uh-huh.
- $4 \parallel Q$: -- dollars from the June payment.
- 5 | A: Uh-huh.
 - Q: Now here's another three thousand and another two thousand dollars to you. What did you supply for the initial two thousand, five hundred, would you say --

A: Well, look. You spend \$900 to do the design work for your palm card and your mail piece. A legitimate expenditure for any democrat candidate who is running for Senate to spend 900 -- it's not uncommon to spend \$900 just to design the palm card and the mail piece, okay? And not only did we design the palm card and mail piece, but she also got an eight-page newspaper with the text written that if you ask Turner Publishing or a place like that, or the Sun Journal, if they would make this for you, just to print -- just to design and to write the content for this is a \$1,500 quote, okay? So, I mean, there's your \$2,500 right there, not counting any other general consultations at all, you know? Any other -- going up and spending time with Julia, talking about this or that or making plans for what she is going to do -you know, all that time involved in doing that, that

1 doesn't even count. Just the market value for the 2 design work of those three pieces is worth the \$2,500 3 on the market. 4 Q: How is the postcard printed? 5 Α: The postcard was printed; it was printed by me. 6 0: On your --7 Α: On my Lexmarks. 8 And did you buy postage for those -- for that mailing? 0: 9 Α: I had most of that postage in my inventory. I bought 10 some. Yep. Yep. I -- by the time that mailing was 11 done, I'd run out and we had to buy postage for 12 Trundy, but I had a significant amount of card stock, 13 and I had a significant amount of ink. I had big -- I 14 have big things of ink. And I had a significant 15 amount of postage in my inventory. 16 Invoice 95 refers to republican mail, independent 17 mail, democratic green mail. Where there ultimately 18 three different --19 Three separate mails, but there were -- like I said, 20 they were the same with the distinct paragraph, that's 21 I mean, they looked identically the same. 22 you were to put them together, you'd think they were

Q: And turning to invoice number 66 --

paragraph.

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the same mail unless you read that one targeted

- A: That's the initial --
- \parallel 0: -- in the amount of 872.51 --
- 3 A: That's the palm cards that I (feel like) --
- ||Q|: How did you determine that amount?
- A: Going rate. When we had palm cards printed for another campaign that I was working on, that was their price for palm cards.
 - Q: So you're saying you multiplied 1,500 by 58 cents and you arrived at that?
- 10 | A: Uh-huh.

- ||Q:| The number of \$872.51?
 - A: That's right, yeah, and I guess -- and I guess had I printed up more, I probably would not have charged her for it because to be honest, the cost of me printing a palm card is so much less than 58 cents that I feel like, you know, there is profit made there, unlike the postcard, where you're just breaking even for that cost. The palm card, there was -- I mean, whoever's charging people for these palm cards are making a lot of money because I -- it didn't cost me nearly that much to produce those 1,500 palm cards. I would -- had there been a need for more palm cards, I would have produced them for free.

0: Are there any other services to the campaign that you made other than the ones you've described so far that you want to the tell the Commission about? Α: The design work, the writing of the (inaudible) -assisting with five dollar contributions just by getting them up there and getting them planned and organized and all of that. Designing the sign, designing the mail piece, designing the newsletter, designing the palm card. Spending a lot of time consulting with Julia, hanging around with Julia and consulting with her. That's -- that's about the extent of it. I mean, that's pretty full value. mean, Jessica can speak to what she did but that's what I did. Julia St. James testified regarding that \$3,000 payment, and if I had it handy, I'd read you her testimony but I don't, so I'll just paraphrase it. Α: Sure. 0: She said she paid you that \$3,000 specifically for the three newspaper format newsletters, only one of which

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was ever produced.

A: I think that's probably inaccurate. I don't think -I don't know if, you know, there was a
misunderstanding or not but that's clearly inaccurate.
The \$3,000 that was made -- are you referring to the

1 \$3,000 that was made on the down payment for the mail 2 pieces? 3 Yeah. 0: 4 The specific intention of that expenditure was Yeah. 5 to send out the nail files. 6 0: When did you create these invoices? 7 They were created back during the campaign. They were **A**: 8 given to Julia in a different format that didn't have 9 as much itemization on them, and then --10 Q: When you -- can I --11 Α: Sure --12 Q: -- (qualify) what you said so far? When they were 13 created during the campaign, do you mean prior to the 14 November general election? 15 **A**: Yes. 16 0: Were they created -- there are a number of dates on 17 each invoice. Were they created on the individual 18 dates that appear on each invoice? 19 Uh-huh. Α: 20 So invoice number 87 was created on September 20th? 0: 21 **A**: Uh-huh, yeah. 22 0: And did you supply them to Julia St. James at that 23 time?

don't think --

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I gave them to Julia, I gave the invoices to Julia.

- 1 || 0: Around --
- ² | A: I didn't give them all on the dates that they were
- generated.
- $4 \parallel Q$: Within what period of time would you say --
- $5 \parallel A$: Before the end of the election.
- 6 ||Q: Is that your usual practice with other candidates?
- 7 A: Yeah, and in some cases, it'd be even later, before reports are due.
- 9 Q: And did you provide these to Julia St. James earlier this year?
- A: By e-mail. At the Commission's request. You
 requested further information from Julia and Jessica.
 I received a certified letter from Julia that she
 wanted them. I put more itemization into those, and
- then I e-mailed them to her on July 11th.
- 16 0: So the invoices that --
- A: And followed up with a phone call, telling her that I e-mailed them.
- Q: So the invoices that you provided to her in 2004 were similar to this, but with less detail?
- 21 A: Yeah, with less detail. Yep.
- 22 ||Q: Did you -- how did you give them to her?
- 23 | A: How did I give them to her?
- Q: The invoices to her in -- during the campaign. Did
 you hand them to her?

- 1 | A: Yeah.
- 2 | Q: Did you mail them to her?
- 3 | A: Yeah.
- $4 \parallel Q$: So are you sure that she saw them at that time?
- 5 | A: Yeah, I'm sure she saw them. Sure.
- 6 Q: (Here's a credit chart) of all the payments campaign
 7 (inaudible) marked as Exhibit 22.
- 8 | A: Okay.
- 9 $| 0 \rangle$: The total is \$11,072.51?
- 10 || A: Uh-huh.

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- Q: Would you say that your -- that you provided that value of services to the campaign?
- 13 A: Yeah, I would say so.
 - Q: Do you have any estimate of the value of the services you did provide? In other words -- let me just strike that. Would you say that the value of your services were more than 11,000?
 - A: I wouldn't go that far, because, I mean, I -- I don't feel like because of the -- because of the result of the campaign and the time I ultimately ended up putting into the campaign for two basic reasons that I had a lot of campaigns that I was involved in and I had difficulty getting in touch with Julia for long periods of time that I don't think -- that I think that -- ultimately, I don't think that it was -- that

- I gave more value than this, but I do feel that it was

 -- it would have been possible to do a lot more with

 this campaign. Yeah.
- 4 Q: One other specific payment I wanted to ask you about is --
- 6 | A: Sure.
- $7 \parallel Q$: -- We photocopied as Exhibit Number 23.
- 8 | A: Uh-huh.
- $9 \parallel Q$: It's a payment from the campaign of Jessica Larlee.
- 10 || A: Uh-huh.
- 11 ||Q|: Do you know what that payment was for?
- 12 | A: I don't. I'm not sure.
- Q: It was written -- just to try to jog your memory, it was written on the same day --
- 15 | A: Same day --
- 16 | 0 : -- as the \$872.51.
- 17 | A: Oh, I remember being at Julia's that day.
- Q: And there just seemed to be a coincidence in those two amounts, \$872.51 and \$272.51.
 - A: I don't -- I think it was more of a -- I think it was more of a coincidence. I'm not sure exactly what that was for. I really am not. I don't know. I don't remember exactly what -- Jessica would have to account for what that expenditure was for.

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- Q: Did you ever discuss with Julia St. James that the campaign would make automated telephone calls to voters?
- A: That was probably in the same conversation that we were talking about, you know, doing possible voter ID, doing advertising and doing everything else. I'm sure it was mentioned as a possibility but there was certainly never any promise to deliver that because a further arrangement had never been made. It was talked of as a possibility, just like probably every other possible campaign --
- Q: You're absolutely certain that there was no firm agreement about automated telephone calls?
- A: Yes. Firm agreement? No.

Q: She provided a lot of testimony about automated telephone calls she was expecting. I'll just read a snippet real quickly. I expected that there were going to be newspapers sent out, palm cards and there was potentially going to be people advertising -- oh, yeah, a lot of mailings, and supposedly there was going to be a telephone thing that we were doing through supposedly the Republican Party where they have a recording message that asks us something like, Hi, this is Juia St. James and I'm running for State Senate, calling to see if you have any concerns or

whatever. It actually -- it only actually happened if 1 it got a person's answering machine picked up. 2 3 live person picked up, it would hang up, so everyone in the district would be called, annoying them. 5 didn't like it but he assured me it was effective. 6 And one other piece of testimony she said. On your 7 campaign finance report, there's a payment made to Dan 8 Rogers in the amount of \$2,000 and the reported date 9 was October 25th. What was that payment for? 10 was for the mystery phone calls. It didn't happen. 11 What did he tell you was going to be provided? 12 the obnoxious phone calls would only leave a message 13 if they got an answering machine. It would hang up if 14 it was a live person. I wrote the check and I said 15 who do I make the check to. He said to me, well, make 16 it to me. You can't very well make it out to the 17 Republican Party, can you? Those were his exact 18 words. I'm a little confused about the reference to 19 the republican party. That was my question. Well, 20 supposedly the Republican Party had all of the 21 wherewithal to do such a thing as this and he, I don't 22 know -- sounds like one of his sleazy deals, that he 23 had some in with them that they were going to do it 24 for \$2,000, so just make the check to him and he was 25 going to make it all happen. I don't mean to -- I

don't say that to embarrass you or to upset you,

Mr. Rogers. I really don't.

A: I'm not upset.

Okay. But just going back to invoice number 95, in the amount of \$2,000, your testimony was that was -- she specifically paid you that for automated telephone calls. Is that accurate?

A: That is not accurate. That is not what the intention of that payment was.

Q: What was the intention?

When she first qualified for Clean Election funding, that she was going to pay \$5,000 consulting fee, and then there would be an additional \$2,000 fee if she got matched and there was going to be additional work that needed to be done, and that's exactly -- because if she got matched, there would be a lot more, you know, media buys and things like that, and that was the agreement that we had arranged, and that payment was based on when she got matched.

Q: Did any automated telephone calls ever take place?

A: Nope, and certainly no relationship with the republicans, as far as that goes. I do know people who make automated phone calls but they aren't the Republican Party. I don't think -- the Republican

Party probably farms out their phone calls to another party to do them for them, to a vendor to do them for them, and I'm sure I have a relationship with a similar vendor but I wouldn't know -- but they don't do them.

Q: Was the possible plan to make automated telephone calls fleshed out at all after the initial conversation?

- A: You mean after we talked about the possibility of how it works? I'm sure I probably told her about, you know, how they hang up if somebody answers and they deliver messages to a machine. I'm sure I -- in the conversation, I gave her the details of how an auto call works, but there was no specific arrangement to make x number of calls on this date for x number of dollars.
- Q: But did you have any further discussions with her about the prospect of making those calls after the initial agreement with her for your services?
- A: It is possible that we did. I'm sure we talked about every possibility more than once.
- Q: Did you ever have any discussions about -- with

 Stavros Mendros about purchasing automated phone calls

 for the Julia St. James campaign?
- A: Not in relation to this campaign, no.

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1	Q:	Are you aware of any other assistance provided by the
2		Republican Party to the Julia or any assistance
3		provided by the Republican Party to the Julia St.
4		James campaign?
5	A:	No. Not to my knowledge, no.
6	Q:	Are you aware of any assistance provided by republican
7		political consultants to Julia St. James' campaign.
8	A:	Not to my knowledge.
9	Q:	Have you ever had any conversations with anyone
10		associated with the Republican Party regarding Julia
11		St. James' campaign?
12	A:	Somebody who is a registered republican or somebody
13		who is an official (capacity)?
14	Q:	I'd say an elected officer, a consultant, on behalf of
15		(inaudible)
16	A:	Somebody who works for the Republican Party?
17	Q:	Yes.
18	A:	No.
19	Q:	Did you provide a user name and password for the
20		republican voter vault to Robert Campbell?
21	A:	Not to my knowledge.
22	Q:	The question is just did you give it to Robert
23		Campbell?

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Not to my knowledge.

Q: Is there any way you could have done it without knowing you were doing it? I'm just -- your answer is not to my knowledge. It seems that it's a simple yes or no question. Did you give the user name and password to Robert Campbell?

A: Not to my recollection (inaudible).

Q: The Commission received testimony from a number of sources that they had these newsletters and they needed to get them out, it was the weekend before the election.

A: Uh-huh.

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Q:

They had not received any names or mailing addresses from you and that -- what occurred was -- actually, I'll read you right from Robert Campbell's testimony. What -- this is me asking Robert Campbell questions. What did you actually do to try to get a mailing list for the newsletter. Oh, right. Okay. Julia had been provided with, umm, or she had gotten a batch of mailing labels and a printer that was supposedly going to do the labels off, and Dan said he had a mailing list electronically and that John (Crowhurst) was going to take and manipulate and print up the labels so we'd be able to stick them on them very easily. What ended up happening was that, umm, Dan never came up with the mailing list early enough. When Dan

finally did come up with the mailing list, somehow he got it. He, ah, I think it was a Sunday but I can't say for sure. I can get the phone records and look at exactly what date it was because I have only talked to Dan, like, two or three times on the phone, umm, but I called him and I said Dan, we've got four days, we need this. Julia said we can't it, to call Dan and try to lean on him a bit. I said, this is all Mr. Campbell's testimony, all right, I'll do that, and he said we don't -- I don't have it, we have to go on to the web site. So I went on to the web site and I needed a password and a login ID. So I called Dan back and said Dan, these things cost thousands of dollars and I don't think Julia has that money left in her account. What are we supposed to do? And he said let me call you back, and he called me back and he gave me a password and a log on user ID. And I didn't think I would be able to come up with a name and I went back in my old e-mails and found an old e-mail that was Mead. Mead -- that was my question. remember the password but Mead was the user name. think it was team Mead or -- I don't recall but it was in there. And Mr. Campbell forwarded to me an e-mail which I'd be happy to share with you some other time, I'm sorry, I just don't have it here, in which she

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provided the user name and password of team Mead, who, I understand now, is Tom Mead, a republican consultant.

A: Yeah.

Q: And Robert Campbell provided that to Julia St. James and her friend, John Crowhurst and that's ultimately, according to the testimony we heard, how they printed out mailing labels. So I just want to go over it again. Did you provide the republican voter vault a republican voter vault user name and password to Robert Campbell?

that Julia had a district-wide voter list on her laptop, she probably still does, that I had left there when I gave her the walking list in July, and it's a better -- I mean, I've seen the republican voter vault's list that Stavros, my friend, Stavros Mendros, has one -- had one. They give you access to just your tenant district or whatever. And I've seen his list. I've compared it to the one I had, and mine's better. I have a better list than the republicans to. And Julia had that for a walking list on her computer.

But if we -- the Commission has received testimony from a number of sources that they got -- received access through you to this -- to Tom Mead's user name,

1		which is a state-wide, not just congressional or
2		senate-wide, state-wide voter list, and they it
3		came from you. You're saying that testimony is not
4		accurate?
5	A:	Yeah, I'm saying that's not accurate. I
6	Q:	Have you ever had access to Tom Mead's user name and
7		password?
8	A:	I'm not going to discuss that based on my Fifth
9		Amendment rights.
10	Q:	Based on your Fifth Amendment rights? You're
11		referring to the right not to incriminate yourself
12		criminally?
13	A:	I don't know the reason being I don't know what
14		type of implication you said and I received advice
15		from a counsel that if there's a question that you
16		don't understand what the possible criminal
17		implications are, that you should plead the Fifth
18		Amendment, and that's what I'm going in this case,
19		because I'm not aware of what type of criminal
20		implications you might be making.
21	Q:	Have you ever had access to any republican state-wide
22		voter list through the republican voter vault?
23	A:	Through the republican voter vault.

1 Jessica Larlee testified to the Commission that a 0: 2 state-wide republican voter vault database was on her 3 laptop computer. Do you know how it got there? 4 **A**: There was no voter vault list on her --5 0: Do you know what she --6 Α: -- computer that I know of. 7 Do you know what she's referring to? Q: 8 She's probably referring to my own voter list. No. 9 0: Did you or Jessica Larlee arrange for Julia St. James 10 to go on a date with Stavros Mendros? 11 I had nothing to do with that. I wouldn't know if --12 you know, I know they went on a date but I don't know 13 14 0: It was arranged by you? 15 **A**: No. 16 0: Do you know whether it was arranged by Jessica Larlee? 17 **A**: I don't know. 18 Do you know Tom Mead? 19 Α: No, I don't know Tom Mead. 20 0: Have you ever met Tom Mead? 21 Α: Not to my knowledge, no. 22 Have you ever talked to him? 0:

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don't know why he'd give me a list, a key to the

I know who he is, but I don't believe -- I don't

recall ever having a conversation with Tom Mead, and I

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No.

- state-wide -- I'm not even a registered republican,
 understand.
 - Q: What was your plan for how the newsletters would get mailed?
- $5 \parallel A$: The news --
- 6 \parallel 0: The newsletters.
- $7 \parallel A:$ The newsletters?
 - Q: Yeah.

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- 9 A: With my voter list, which I have, or had, but it's 10 been a long time developing. I did purchase some 11 voter lists during the campaign to update my voter 12 list in spots where it was weak. I purchased the Town 13 of Jay's voter list, I got one from Hebron and 14 Buckfield and I believe Rumford. I may have not purchased Rumford because they wanted \$70 for it or 15 16 something but I purchased a number of voter lists to 17 update my voter list to make Julia's voter list 18 better.
 - Q: Did anyone in the Republican Party authorize you to provide voter information to Julia St. James' campaign?
- 22 | A: No.

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- |Q:Did the campaign buy a digital camera?
- 24 | A: Yes, I believe it did.
- 25 $\|Q$: Was it used to take any campaign-related pictures?

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- 1 **A**: I have no knowledge of that. It may have. I have no 2 direct knowledge of it being used for that purpose. 3 Do you know where the camera is now? 4
- Α: I do not.

- 0: Do you know what happened to it?
- 6 **A**: I don't know what happened to it.
- 7 Did -- were you -- did you hear that it was stolen? 0:
- 8 Α: I was told that, yes.
- 9 0: Do you know who stole it?
- 10 **A**: I do not know who stole it.
- 11 0: Earlier, you, and this is my last topic for myself, 12 did you have -- earlier, you testified that you had 13 gained access to Jessica Larlee's computers?
- 14 **A**: Uh-huh.
- 15 0: Could you describe what efforts you made to do that?
- 16 I had intermediaries contact her to ask her to borrow 17 those computers so that I could get information off 18 from that that I needed that was requested of me in 19 the subpoena.
- 20 Q: And did you return those computers to her?
- 21 I copied the hard drive.
- 22 Q: Did you delete any information from those computers?
- 23 No, I didn't. All I did was copy the hard drives.
- 24 Jonathan, I think it might be a good time 25 for a lunch break.

MR. WAYNE: Thank you. We were going to proceed with the Trundy campaign?

CHAIR: Yeah, we'll take 30 minutes. We'll reconvene at quarter to two.

(Recess.)

CHAIR: Okay. We're ready to go back at it.
We're going to pick up where we left off. We were
meeting with Mr. Rogers, and I believe Phyllis was
about to ask him questions. Is that correct?

MS. GARDINER: Yes.

CHAIR: Okay.

MS. GARDINER: I'm just going to ask a few wrapup questions about the St. James campaign and then we'll get to the Trundy campaign.

EXAMINATION BY PHYLLIS GARDINER:

Q: Mr. Rogers, you mentioned that your role in the St.

James campaign was, in your view, as a consultant and not as the campaign manager. Who did you understand to be serving as the campaign manager for St. James?

Well, I guess I would characterize Julia as her own campaign manager. I mean, I guess -- I mean, I've worked in numerous campaigns where these terms are just loosely defined, they just don't have titles, and in fact, most of the campaigns I've worked in, it just seems like everybody just kind of avoids titles

because, you know, I don't know, titles just don't
seem necessary, so -- I mean -
I'm not concerned about the title as much as the

notion that there would be someone running the campaign --

A: Right.

Q: -- in charge of it --

A: Right. Well, when it --

Q: -- and in your view, that was the candidate?

A: I think in most House and Legislative campaigns, the person who serves as the treasurer is, as long as they are, you know, actively involved in the candidate, be it the candidate or somebody who is serving the treasurer who is actively involved in the campaign is probably in de facto that campaign manager because they're making the decisions about where the money's spent and presumably negotiating prices with vendors and things like that. To me, that seems like the role of the campaign manager, somebody who's got the principal responsibility of the campaign in a small campaign, like a House or a Senate campaign.

Q: And what would you describe Jessica Larlee's role as having been in the St. James --

A: In the St. James campaign? I would say she served, similarly, as a consultant. I think --

BROWN & MEYERS 1-800-785-7505 Q: But doing what activities?

A: I think with her, she pretty much more consulted with Julia about the message of the campaign, of, you know, what the key issues were that they wanted to express and how those messages would be delivered and developed and that kind of thing, and she -- I think she initially set out to play a big role in escorting Julia door to door to provide her with the resources she needed to get things done in that regard, I guess.

Q: Was that your understanding of what her role would be when you made the agreement to pay the \$2,500, the initial fee?

A: Uh-huh.

Q: Apart from the fact that I guess the door-to-door campaigning didn't go as planned, did she follow through on everything else you expected her to?

Well, I feel that there was a long period of time in the middle of the campaign where there was very little -- where we had very little contact with Julia, and I think it was, probably as much as anything, was due to a personality conflict between Jessica and Julia that developed, and so I think some of the things that Jessica would have liked to perform for Julia she was kind of unable to because of the strained personal relationship. That's the best way I can put it, I

quess, but, I mean, she did perform functions, she did provide the content for that newsletter in the end, she -- she basically put together and organized the mailing room that was supposed to be mailing Julia's newsletter out, and Julia chose not to use that because, I guess, she didn't like the fact that we were doing multiple candidates at once. problems with, I guess, the clutter in the household, (as) all the activity that was going on in the household. Maybe she had some reservations as to all this might not get done. We had a mail room set up. We were doing multiple candidates at the time at Jessica's apartment, and Julia just decided that she didn't want to be mailing her newsletter in this environment that was set up for her, and she took everything and left, and --

Q: And that happened on the last weekend?

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A: That happened on the Saturday that the mail -- all the mail was going out, yep.

Q: So how were you set up to get the newsletter out if you'd been -- Jessica -- you and Jessica had been allowed to proceed with that plan? What was the plan?

A: The plan was -- well, we had already at that point generated the mail pieces. We had the postage, we had a bunch of Jessica's friends there who were on a

1 volunteer basis who were stamping the mail and I was 2 pretty much generating mailing labels and -- or, in 3 the case of many postcards was -- usually, when I have postcards and, you know, I just run them through and 5 mail merge them through the printer and don't use 6 labels. 7 Right. We're not talking about the postcard now. Q: 8 We're talking about Exhibit 13, which is the 9 newsletter? 10 Α: Right. That's correct. 11 0: And which -- on the box, the space available to put 12 address information, there's no bulk mail (inaudible) 13 14 **A**: No. Those would have been done with stamps and There -- she --15 mailing labels. 16 0: You were intending to use first-class stamps on the 17 newsletters? 18 Uh-huh, that's correct, due to the late nature of the 19 mailing and the fact that I don't have a bulk permit 20 and I always use stamps, that was the plan, to use 21 first-class stamps. 22 So you had the -- you had the personnel to do it? 0: 23 many other campaigns were you trying to do mailings 24 for that weekend with Jessica Larlee?

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A:

A total of four.

- 1 0: And those were legislative races? 2 Α: One was, yep. Well, there was two House races, a 3 Senate race and another race. 4 Q: Another federal race? 5 Α: Yeah, another race, but -- another race, yes. 6 0: Not a legislative race? 7 Not a legislative race. A: That's correct. 8 Was it for a state-wide effort? 0: 9 **A:** It was a state-wide effort. Q: 10 Which printer did you have print the newsletters when 11 you --12 **A**: The newsletters? Those? 13 This newsletter. 0: 14 **A**: That was not printed with a printer. That was printed 15 at the Lewiston Sun Journal. 16 And you delivered it there? 17 Α: I delivered it via e-mail. I delivered the design, 18 and then they printed it. I ended up picking up half 19 of them. I think Rob -- don't remember his last name
- 21 || Q: Campbell?
- A: Campbell picked up the rest of them, and we took them to Jessica's apartment.

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- Q: Did most of the campaign activity, office activity, that you were involved in or observe happen at Jessica's apartment or house on --
- A: A large part of it. I mean, a lot of the production of material would take place at my apartment, but, you know, a lot of -- you know, when we were sitting around designing things or whatever -- you know, I'll be designing things and consulting with her and that kind of thing.
- Q: So you were either at Jessica's house, apartment, your apartment --
- 12 || A: Or my own.

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- 13 ||Q: -- in Auburn or Julia's house?
- 14 A: Uh-huh. Yeah, some of that would be done -- like, for

 15 instance, the palm card, I believe, was -- the

 16 beginning of it I developed at Jessica's house, e
 17 mailed it to myself, finished it and actually produced

 18 it at Julia's house.
- Q: There wasn't any other location, though, that -- where you would have done campaign work --
- 21 || A: Uh-uh.
- 22 | Q: -- in the St. James campaign?
- 23 || A: Uh-uh.
- $24 \parallel Q$: Did you do the layout of this newsletter, Exhibit 13?
- $25 \parallel A$: Yeah. Oh, yeah. I did the whole thing.

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- Q: And you mentioned that Turner -- an organization such as Turner Publishing --
- $3 \parallel A: Uh-huh.$
- Q: -- you had mentioned that as an example, you said they would charge \$1,500 for this size newsletter. What
- 6 would that --
- 7 | A: Including developing the --
- 8 ||Q: -- include -- printing?
- 9 | A: -- content.
- 10 $\|Q$: So that would be to write it or to take --
- 11 | A: To write it and to design it, that's correct.
- 12 || Q: But not to print it?
- 13 ||A: No, not to print it.
- 14 || O: Okay.
- A: Keep in mind, I haven't gotten a quote. I just, from experience, know that -- I feel that it's in that
- 17 | range.

18 Q: You mentioned the other mailing that was not mailed

out -- sorry, the other piece of literature that you

- referred to as similar to a legislative update?
- 21 || A: Uh-huh.
- 22 | Q: That's done as a lit drop.
- 23 | A: Uh-huh.
- $24 \parallel Q$: In the Rumford area, I think you said --
- 25 | A: Jay.

|Q: -- or Jay, sorry.

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- 2 | A: That was the new --
- Q: Do you know what -- was that in the summer, in the fall? Can you give us any timeframe?
 - A: It was approximately -- I can tell you about when. It was very early in the campaign. Now, the -- I can't remember if it's June or July when the -- when the money is usually received. Is it July?
 - Q: I believe the reports indicate that June 22nd, I think, was the first (inaudible) --
 - A: Probably would have been in the --
- 12 ||Q: -- or July 1st. It's on the report as July 1st.
- A: Would have been sometime around -- it would be

 sometime around two to three weeks after the senate

 had sent out their legislative updates, because the

 whole concept of doing that was inspired by receiving

 one.
- 18 || Q: Okay.
- A: And once again, it was -- it was a much broader plan
 that ended up being kind of miniscule because of lack
 of effort on, you know --
- 22 $\|Q$: And who was supposed to organize the literature drop?
- 23 | A: Jessica and myself. Yep.
- Q: And so why did that not -- why did your plans not happen?

Well, because we -- well, we did Jay. We had -- at A: that point, I think it was about the point at which we were having very little contact with Julia, and in order to finance a lit drop that would be districtwide or even more than that -- I mean, Jay was a targeted area because it was a new town to the district, and so we just thought that it would be a good idea to introduce her as well as, you know, I'm assuming Bruce Bryant was sending into Jay as well to kind of do a compare and contrast to a candidate -and we thought well, in the future, maybe we'll either update the legislative update, do more of them, do -do the same one and do a district-wide. It's just the plan never materialized but, I mean, Jay was basically executed.

- Q: And which of your invoices included the work that you did on this particular piece of literature?
- A: I would say that none of them do except for maybe the initial five thousand dollar one. I mean, any -- all the paper -- I mean, the size of it was like a -- was like this, right?
- Q: An eight-and-a-half --
- 23 | A: Eight-and-a-half by eleven.
- 24 | 0: Just a front and back sheet?

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- A: Yeah, just a front and back sheet, and it was -- then you tri-fold it and then just lit drop it, and -- I'm sorry, I forgot your question. I don't want to ramble away from --
- 5 ||Q: Well, I'm interested in the cost of producing it and 6 || also --
 - A: Oh. The cost of producing it on my part was zero because I had all the things to do and (ways) to do it and, I mean, the cost is so negligible of producing something like this. I mean, there's time and labor going into it but, I mean, I'm already -- not charging for time and labor. That's kind of part of the consulting fee. I guess there was a negligible charge for the paper and ink but it was all in my inventory and I just, you know --
- 16 | 0: Okay.

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- 17 | A: Pretty negligible, really.
- Q: So just to kind of summarize, make sure we've got the right understanding about how the -- what you did relates to your invoices and what you were paid --
- 21 | A: Sure.
- Q: -- you explained that the \$872.51 was to produce 1,500 palm cards?
- $24 \parallel A$: That's correct.
 - Q: At about 51 cents apiece is how you figured it?

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- A: Whatever the price has to be. I think I remember 58 cents being the going rate for palm cards.
 - Q: Fifty-eight?

- 4 | A: I don't know what it says on the invoice.
- Q: And the \$3,000 payment followed by the \$2,000 payment;
 you said those two payments together were actually for
 mailing out the 16,000 postcards?
- 8 A: That's correct.
- 9 Q: When were the 16,000 postcards mailed out?
- A: About two weeks before Election Day, or maybe -- it

 was -- I think it was earlier in the week before

 election -- say, eight days or seven days before

 Election Day, maybe.
- 14 \parallel Q: Okay. And where did you --
- 15 | A: It was before the last weekend push.
- 16 | Q: And where did you produce that mailing?
- 17 | A: I produced that at Jessica's.
- Q: I'm sorry this is taking you back a minute but the \$872.51 for the palm cards; the date of that invoice is July 19th.
- 21 | A: Uh-huh. That sounds -- that sounds correct.
- $22 \parallel Q$: So you would have done the work approximately then?
- 23 | A: Somewhere around there, yeah.
- $24 \parallel Q$: And 10/25 is the date of your invoice on the --
- 25 | A: That sounds --

- 1 ||Q: -- postcard mailing?
- 2 A: -- pretty close, too, yeah. It would be sometime in
- that area, I would think. I mean, when that actual
- 4 | mailing was --
- $5 \parallel Q$: And that -- that -- so that \$5,000 payment for the
- 6 mailing would have include the design, card stock, ink
- 7 on your printers --
- 8 | A: Uh-huh.
- 9 ||Q: -- the postage, in order to mail it out?
- 10 || A: Uh-huh.
- 11 ||Q: Okay. Just taking you back briefly, if you could look
- at -- I'll hand you back St. James Exhibit 18.
- 13 || A: Uh-huh.
- 14 | Q: Voter registration material. And you'd indicated that
- that voter registration card on the first page, you
- weren't sure that that was your handwriting on the --
- 17 | A: Yeah.
- | Q: -- cover, on the upper part. You signed it, but
- 19 you're not sure about the handwriting?
- $20 \parallel A$: Yeah. I don't know why that is, but maybe the clerk
- 21 did it or something.
- 22 | Q: Let me just also show you the -- another exhibit that
- was -- the -- Exhibit 21, which is the -- Major Pike's
- 24 | registration materials. Does any of that, the

- handwriting on the Major Pike exhibit, look familiar to you?
- A: I couldn't say. I mean, I don't think it looks like
 the -- it doesn't look familiar. I don't know whose
 handwriting that is. I'm -- I don't know.
- Okay. The -- do you recall where you voted in

 November of 2004, if you voted, in the general
 election?
- 9 A: I don't recall, but I assume it was probably -- I
 10 don't recall, no. I don't recall where I voted in
 11 November 2004.
- 12 | Q: If you'd look at the second page of Exhibit 18.
- 13 | A: Uh-huh. I do recall where I voted now. Thank you.
- Q: That's another voter registration application form, correct?
- 16 || A: Uh-huh.
- 17 ||Q|: And the date on that is?
- 18 || A: 11/1/04.
- 19 ||Q|: So that would have been the day before the election?
- 20 | A: Uh-huh.
- 21 $\|Q$: And is that your handwriting and your signature?
- $22 \parallel A$: That is my handwriting and that is my signature.
- $23 \parallel Q$: Okay. And where did you register on that day?
- 24 | A: In Minot.

Q: In Minot, at 307 Center Hill Road?

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1	A:	Uh-huh.
2	Q:	And is that that is Jessica's home address,
3		correct?
4	A:	Uh-huh. That's yeah.
5	Q:	Were you residing there at the time?
6	A:	Well, I was residing in the household but not right in
7		the apartment. But yeah, I was spending a lot of time
8		there, and I was residing
9	Q:	I'm ready to move to the Trundy campaign. I won't
10		promise that I won't come back with some other
11		question on this, but we'll try to move along. When
12		did you first get involved in this Sarah Trundy's
13		campaign for House District 96?
14	A:	Probably would have been right about the time that
15		there was a decision made for her to run.
16	Q:	And how did that decision come about?
17	A:	I think that Sarah and Jessica discussed it, and
18		Jessica probably convinced her that she'd be an
19		extremely viable candidate.
20	Q:	Did you and Jessica have discussions about Sarah
21		Trundy as a possible candidate
22	A:	Yeah.
23	Q:	before anybody approached Sarah?

1 I don't know when Jessica would have talked to Sarah **A**: 2 about it but, yeah, we had had -- we had discussions 3 about it, about Sarah being a very good possibility. 4 Q: And whose idea was it to ask her to run? 5 Α: I guess probably Jessica's. And you mentioned earlier, I think, that you thought 6 0: 7 she'd be a good candidate because of her farm issues? 8 Because of her farm issue and the -- the Trundy name Α: 9 in Minot is very well known, too, and I think she --10 you know, she had a good prospect for a positive 11 outcome as well, besides for the fact that, you know, 12 she was interested in the farm issue. 13 You mentioned earlier that Sarah Trundy made fairly 14 clear early on that she wasn't really interested in 15 campaigning --16 A: Uh-huh. 17 Q: -- but she'd be interested in serving the legislature. 18 Α: Uh-huh. 19 0: Did that not concern you, that you would have a 20 candidate who wasn't really prepared to campaign? 21 Α: No, not really. Not at all. 22 0: Because you mentioned earlier that --23 Α: Especially in the outset. I mean, if somebody tells

you right off that, you know, I don't -- I wouldn't

mind going to the legislature, I just have no interest

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in the campaign part of it, at that point, you know what you're getting into. You know, you know that your responsibility is to run her campaign for her and to follow through on that. So, I mean, that's a -- that's actually not a bad situation there.

Q: And it doesn't seem to you that a candidate who is running for the legislature ought to have some interest and some involvement in actually persuading people to vote for them?

- A: No. I don't think that that's necessarily true. I

 mean -- I mean, she can talk to people she knows. She

 knows a lot of people, and -- but I don't think it's

 necessary in a lot of circumstances to go out and

 persuade people to vote for you. I mean, there's lots

 of -- there's lots of legislative candidates who don't

 do the doors, they don't do anything particularly,

 they let the House office run their entire campaign

 for them and they barely do anything. There's lots of

 candidates like that. I think our --
- Q: Have you been involved in campaign prior to 2004 that was run entirely by somebody else other than the candidate?
- A: No, but, I mean, I've noticed that candidates seem to have different levels of involvement in their campaigns, certainly. I mean, there's members of the

legislature now who have very little involvement in their campaign.

What did you agree to do for the Trundy campaign?

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To provide general consultation, to design the literature, to -- and in the case of Sarah, to play a way more active role in the designing and making and distributing of all the campaign signs, of which we did a considerable number of, and, you know, the mail and just overseeing what kind of content was developed and what went into what and, of course, the service of using the voter list and, in Sarah's case, actually, I actually went and got the -- I got -- the Turner voter list, they gave me on disk, but the Hebron and Minot, they gave me paper copies of the voter list and I ended up typing that entire town into a computer to -just for the purpose of updating my voter list but also to provider her with an accurate one -- so providing her with an accurate voter list, of course, is a big part of that service, too, especially if you're a third-party candidate that doesn't have the access to the voter list like the major parties do. And what did you consider to be the value of those

0: services?

Well, I quoted it as a thousand dollars before -before the campaign even -- you know, before the money

1		was once the money was there, I said okay, well,
2		this is the services that I'll do for a thousand
3		dollars, and so it seemed like an appropriate amount
4		compared to the size of the campaign.
5	Q:	Did you have a discussion with Sarah Trundy about
6		being paid that much for those services?
7	A:	I think Jessica probably had that discussion with her.
8		I had not a whole lot of conversation with Sarah
9		Trundy about the campaign. Jessica served as a
10		intermediator intermediary in those discussions, I
11		would say.
12	Q:	And Jessica, in fact, had authority to write checks in
13		the campaign
14	A:	That's correct.
15	Q:	and serve as treasurer?
16	A:	Uh-huh.
17	Q:	Did you have involvement in preparing the campaign
18		finance reports (in the campaign)?
19	A:	I did. Uh-huh.
20	Q:	Let me show you what has been marked as Exhibits 2
21		through Exhibit 2 is a request for certification as
22		a Maine Clean Election Act candidate?
23	A:	Uh-huh.
24	Q:	Is that your handwriting on that page?
25	A:	It appears to be at the top, yep.

- 1 ||Q: So, the candidate's name and the office sought?
- 2 | A: Uh-huh.
- Q: And the date down below to the left of Sarah Trundy's signature -- is (inaudible) --
- $5 \parallel A$: Uh-huh. That does as well. Yep.
- 6 Q: When did you first get involved in the campaign? This
 7 is dated April 15, 04.
 - A: Uh-huh.

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- 9 Q: Was this your first involvement, or were you involved
 10 (prior) --
 - A: Well, I probably had been involved in some discussions regarding the potential candidacy, but I guess this is -- usually a certification -- oh, this is a request for certification, so at this point, she had already collected her five dollar contribution. Yeah, I'd say I had some involvement before that.
 - Q: Did you assist in getting the five dollar contributions?
- 19 | A: No. Sarah and Jessica together did that.
 - Q: Okay. So your involvement would have been just in discussions?
- A: Sarah was involved in collecting the signatures and
 the five dollar contributions, and that was pretty
 much the extent of her involvement.

- Q: If you'd look at Trundy Exhibit 4, please, which is six day pre-primary report.
- 3 || A: Uh-huh.
- 4 Q: Is anything other than the date in the lower right
- 5 corner on that first page your handwriting?
- 6 || A: Nope. Nothing on that page is my handwriting.
- $7 \parallel Q$: Okay. The date is not your handwriting?
- 8 | A: No.
- 9 ||Q: 6/2/04?
- 10 || A: Uh-Uh.
- 11 ||Q: Did you have any role in preparing this report?
- 12 | A: This report? No. I don't think so.
- 13 ||Q: This report reflects that the initial payment to the
- 14 | candidate of \$456.00 --
- 15 || A: Uh-huh.
- 16 | Q: -- as a uncontested primary candidate --
- 17 | A: Uh-huh.
- 18 ||Q: -- was paid -- was all paid to Jessica --
- 19 | A: Uh-huh.
- 20 ||Q: -- for her services. Did you have any role on
- 21 deciding what would be the appropriate amount that
- Jessica would be paid for her services?
- 23 $\|A:$ No, I don't. I didn't, really. I think that was --
- $24 \parallel Q$: If you'd look at Trundy Exhibit 5, then, the next one.
- 25 | A: Uh-huh.

- Q: Which is the 42-day post-primary report -Uh-huh.
 - Q: -- and feel free to take a moment to look through all the pages. If you can tell us how much of this report, if any, you were involved in preparing.
 - A: I'd say this appears to be all in my handwriting, with the exception of the signatures at the bottom of page one, of course.
 - Q: So Jessica was the treasurer? How was it that you came to be the one preparing the report?
 - A: Because Jessica was uncomfortable filling out campaign finance reports, she told me, so -- she would keep -- she actually was quite conscientious about her receipt-keeping and she would take her receipts and she'd put them in a jar above her mantle shelf, and when it came time to do reports, she'd come down and give them to me and I would write the reports based on the receipts.
- Q: What did you do with the receipts after you wrote the reports?
- 21 A: I'd give them back to her.
 - Q: And the information about what the expenditures were for, you would have derived that entirely from the receipts?

- A: From receipts and if Jessica would -- I mean, if there was circumstance where it was a payment made to somebody or something like that where there was no receipt, she would verbally tell me what it was for.
- Q: If you'd look at Trundy Exhibit 6, the next one on the pile, which is the six day pre general report --
- A: Uh-huh.

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- Q: Did you prepare this one as well, and take a moment to flip through all the pages if you would, please?
- A: Uh-huh. It appears as if I did.
- Q: On the second page, you've listed a thousand dollar payment to you for consulting work, which you've spoken about a moment ago. Then, a couple of lines below that, there's a \$65 for expenses paid to you.

 What was that -- what were those expenses for?
 - I'm not entirely sure. I don't really remember. It
 was a while ago and -- ten to 12 campaigns ago, so I'm
 not sure but I'm guessing -- usually, expenses is for
 gas money for running around doing this or that. But
 one thing that I did notice as I'm looking through
 here is that it could have been misclassified because
 I did buy -- I believe I bought a big plastic thing to
 -- and the tools to cut a stencil for her for her
 large sign. I seem to have a recollection that that
 may have been a \$65 expenditure, total, and so that

may be slightly misclassified. But that's the best of my recollection, because I don't recall billing Sarah because she was so local, she was the local candidate in the district, billing her for any travel expenses or anything like that. So I'm not entirely sure, but I have a feeling it was for the sign stencil and the tools to cut it.

- Q: Now, a little further down that same page, there's a \$750 payment to Aaron Fuda --
- ||A: Uh-huh.

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- Q: For consulting and mail list. You've explained to us that you had a mailing list for her entire district.
- 13 || A: Uh-huh.
 - Q: What would this mailing list have been used for, or was it used for?
 - Marijuana supporters, and I know that he provided some consulting services as well to Jessica. I didn't have a whole lot of contact with Aaron. He's a friend of Jessica's. He's -- I don't know him particularly well. But I did -- the one part of that consulting, comma, mail list expenditure that I can attest to is that I know he did provide the large list, and it's pretty extensive, and I did actually use it in one of my targeted mailings.

- Q: And you don't have any idea what consulting he provided to Jessica?
- A: She'd be able to clarify that better than I could. I don't have direct knowledge of that.
- 5 | Q: He -- \$111 to U.S. Postal Service --
- 6 || A: Uh-huh.
- 7 | Q: -- for -- it doesn't say stamps here but in some other 8 | place, I think it may have been listed as stamps.
- 9 | A: Uh-huh.
- 10 $\|Q$: Do you know what that was for -- used for?
- 11 A: Yeah. I believe that was probably the targeted
 12 mailing in question. That was the list that Aaron
 13 Fuda provide -- provided. I know there was --
- 14 Q: You did a separate mailing just based on the marijuana supporters listing?
- A: I did, yep, because we had a targeted voter ID list,
 you know, that we were -- that we received, so --
 - Q: And do you know what -- when you were searching for documents in response to the subpoena for this hearing, did you come across the piece that was mailed to that list?
- A: No. It was made -- it was made with the template that

 I did find the piece for.
- 24 || O: Okay.

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A: But no, I don't have -- I didn't come across it yet.

- Q: Let me -- you're referring to the template that you provided this morning?
 - ||A: Yes.

- $4 \parallel Q$: Okay.
- 5 In this case, since it's a vote -- since it's a piece 6 that's generated specifically from a voter ID list, 7 the heading on the template would be different, would 8 be associated with the issue, and then -- but the top 9 -- the part -- the first paragraph (or whatever) would 10 stay the same, and then the rest would be geared 11 towards -- targeted towards the issue. 12 couple of others that were sent in that campaign as 13 well.
 - Q: Well, let me show you what we've marked as Trundy

 Exhibit 14, which is, I believe, one of the documents

 you brought with you this morning.
- 17 | A: Yep.

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- 18 ||Q|: And is that the --
- 19 | A: Yeah --
- 20 ||Q: -- template that you're referring to?
- 21 | A: That's right.
- 22 | Q: And it talks about no new taxes?
- A: That's correct. The heading I was referring to is up

 at the top, where it says support local agriculture

 November 2nd. That heading would have been changed to

1		be topical to the voter ID, and then the balance
2		and then the remainder of this part would be topical
3		as well. This part would always remain the same.
4	Q:	When you say this part, you're talking about two
5		paragraphs (inaudible)
6	A:	Two paragraphs of text, which is I guess it's a
7		little bit of a bio or a personal thing about Sarah.
8	Q:	Do you know how many of these this targeted mailing
9		to the marijuana supporters list, do you know how many
10		were sent out?
11	A:	I'd say approximately 300, but I don't I don't have
12		an exact number, but it would be in that neighborhood.
13	Q:	Okay. On the third page of Trundy Exhibit 6, the
14		campaign finance report we were looking at a moment
15		ago
16	A:	Uh-huh.
17	Q:	Do you have that?
18	A:	I don't. I have
19	Q:	You have it, but it may not be
20	A:	Four, five, seven oh, it's right here. Sorry.
21		Page three, you said?
22	Q:	Yes.
23	A:	Uh-huh.
24	Q:	There are payments towards the bottom there to Wal-
25		Mart and to Home Depot and I'll just tell you that we

have not received any receipts for these, which is why I'm asking you, since you prepared the report, if you know what this was used for.

A: The Home Depot one was obviously for hardboard for signs, and the Wal-Mart could have been anything for - now, in Sarah's case, we did buy all the card stock and stamps rather than to buy them for me. I was depleted of stuff or had it all assigned to one client or another, so the office supplies could have been card stock, ink or whatever else was necessary to do the mailing. That's normally what the expenditure is for Wal-Mart, but I don't know the specifics.

- Q: And Home Depot?
- A: That would have to be hardboard for signs.
- Q: Were you involved in making these or any other purchases of materials for the Trundy campaign?
- 17 | A: Yes. I suspect I was.
 - Q: Well, tell us not what you suspect but what you recall.
 - A: Well, what I recall is making at least an expenditure at Home Depot for signs. I recall -- I'm sure that -- I make a lot of trips to Wal-Mart but I'm sure I was probably involved in the Wal-Mart purchase. If I didn't do it by myself, then I was probably with

1		Jessica when the purchase was made. My recollection
2		is vague as to specifically what it was.
3	Q:	Were you involved at all in the production of signs?
4	A:	Yes, I was.
5	Q:	And what signs did you produce?
6	A:	Probably almost half of the hardboard signs. I
7		designed the sign. I cut the stencil, which is a
8		really long, arduous process. I didn't make the
9		letters but I did transcribe them onto the stencil.
10		And I did a significant amount of painting of the
11		sign, but not all of it, and I put them all out.
12	Q:	How many signs do you think you put out?
13	A:	Big signs?
14	Q:	Well, the signs you're describing that you (inaudible)
15		
16	A:	The large hardboard signs; 50 that I know of.
17	Q:	And were those the same signs that Margaret Larlee was
18		involved in?
19	A:	She was involved as well in designing the large sign,
20		and she created the she created the big paper
21		letters that you put onto the board and then you cut
22		the stencil from those big paper letters. So yeah,
23		she was involved with me in designing that the

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sign.

- 1 If you would look at -- maybe this is part of Trundy 0: 2 Exhibit 14. Does that -- well, does the second page 3 of Trundy Exhibit 14 that you have there, one of the 4 documents you brought in this morning --5 Α: Uh-huh. 6 It has a Vote Trundy with moon and stars, Maine House, 7 support local agriculture. Who designed it? 8 I did. Α: 9 0: And what was this used for? 10 **A**: Those were a small sign. 11 0: Okay. And where did they get printed? 12 Somewhere in Scarborough. I'm not sure what the name 13 of the place was. 14 0: On the -- if you go to Trundy Exhibit 7 --**A**: 15 Uh-huh. 16 Which is the 42-year post general campaign finance
- 18 | A: Uh-huh.
- Q: There is a listing on the second page to Brown Fox Printing.
- 21 | A: That's correct.

report --

- $22 \parallel Q$: Is that the printing for the signs?
- 23 | A: That would be -- yeah, I believe.

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- Q: There are a couple of listings on these reports, one just below Brown Fox Printing, for AOL, for Internet service.
- $4 \parallel A$: Uh-huh.
- $5 \parallel Q$: What did the campaign use Internet for; do you know?
- A: I think Jessica was using the Internet just to
 research issues, to develop content for stuff we were
 using.
- 9 Q: On the next page of Exhibit 7, same report you were just looking at --
- 11 | A: Uh-huh.
- 12 Q: There's another \$740 U.S. postage.
- 13 || A: Uh-huh.
- 14 ||Q: Do you know what that expense was for?
- 15 A: That was for district-wide mailing, some of which was
 16 targeted at the (end).
- 17 ||Q|: And a mailing of what?
- 18 | A: Of oversized postcards.
- Q: And is it the same oversized -- can you show -- do you have the document that shows what the text of that postcard was?
- 22 A: This would be the template that it was developed from.
- 23 $\|Q$: Can you give -- is the -- is that Exhibit 14?
- 24 | A: That is Exhibit 14.
- 25 $\|Q$: 14? So it actually had the no new taxes text on it?

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- Q: And where did you print that?
- $\|A$: That was printed at Jessica's.
- Q: And did you do the mailing?
- 5 A: We did it all together on that day that I was telling 6 you about.
 - Q: The weekend just before the election?
 - A: Yeah. That was one of the mails that was involved in that group of mailings that we did on that day.
 - Q: When you prepared these campaign finance reports, did you, in addition to exhibits that -- I'm sorry, receipts that Jessica Larlee gave you, did you have access to the campaign checkbook for bank statements from campaign checking account?
- 15 | A: No.
- 16 Q: How did -- to what extent was the candidate herself
 17 involved in reviewing these reports?
- 18 A: She wasn't.
- 19 $\|Q$: So you did them and then submitted them?
- A: Well, I basically acted as a scribner. I was given
 receipts and I would take them and I would put them
 in. Anything there wasn't a receipt for that was a
 payment to a person or something like that, Jessica
 would tell me about. I would write them down. She'd
 review them.

- 1 ||Q: She being Jessica?
- 2 | A: Yeah. Yep.
- 3 | Q: Okay.
- $4 \parallel A$: And then she would sign the report.
- 5 | Q: And how did Sarah Trundy's -- how did the candidate's signature line get filled in?
- 7 A: Jessica takes the report to Sarah Trundy and Sarah 8 Trundy signs the report.
- 9 Q: You don't recall ever taking the reports to Sarah
 10 Trundy?
- 11 A: Yeah, I may have taken the reports to Sarah Trundy as well.
- Q: Do you know -- do you remember any occasion on which you did (inaudible) --
 - A: I remember one or two occasions of meeting Sarah

 Trundy at her workplace to have her sign documents. I could not tell you which ones we took to her to sign on what dates. I have a recollection of doing that on a couple of occasions. There may have been other occasions where she did it at her house.
- Q: When -- on the occasions that you remember going to her workplace --
- 23 | A: Uh-huh.

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- $24 \parallel Q$: -- to show her the report and have her sign it --
- 25 | A: Uh-huh.

- 1 ||Q: -- did you go over the report with her?
- $2 \mid \mid A$: Jessica may have. She was the treasurer. I did not
- 3 have any reason to do that.
- $4 \parallel Q$: Okay. So you would just bring them to her to sign?
- 5 | A: Uh-huh.
- 6 | Q: Do you recall Sarah Trundy asking you at any point in
- 7 | the campaign -- asking you or Jessica, if you know,
- 8 | whether she could withdraw as a candidate?
- 9 A: No, I have no recollection of that.
- 10 | Q: Did Jessica ever mention anything to you about Sarah
- asking that question?
- 12 A: Not to my recollection, no.
- 13 ||Q: Do you know what you would have told her if she had
- 14 asked you that question?
- 15 \parallel A: If she had asked me if -- if she had asked me if she
- 16 should withdraw?
- 17 | Q: Or could withdraw.
- 18 | A: Could withdraw? I would say yes, of course you can
- 19 | withdraw.
- 20 $\|Q$: Did you keep any records during the Trundy campaign,
- 21 any contemporaneous records of the time that you were
- spending on the campaign?
- 23 ||A: No. I would say it was a considerable amount.
- 24 \parallel Q: But you don't have the practice of keeping (inaudible)
- 25 || _-

1	A:	Of logging hours or anything? No.
2		MR. WAYNE: I'm just going to hop in with a few
3		questions.
4		MR. ROGERS: Sure.
5	EXAM	INATION OF MR ROGERS BY MR. WAYNE:
6	Q:	Just so that I understand the sum total of cards that
7		were sent out by the campaign, you mentioned the 300
8		cards to marijuana supporters. Do I have that right?
9	A:	Uh-huh.
10	Q:	And you mentioned the cards that you did the weekend
11		before the election?
12	A:	Uh-huh.
13	Q:	And do you remember what towns those were mailed to?
14	A:	To Hebron, Minot and Turner.
15	Q:	Do you have any idea how many were sent out?
16	A:	It was district-wide, and after you purge and marry
17		the voter lists, it's generally in the neighborhood of
18		3,000.
19	Q:	I'm sorry?
20	A:	It would be in the neighborhood of 3,000, probably.
21		Maybe yeah, 3,000. Maybe some less. I don't know
22		exactly.
23	Q:	I know you have a stockpile of postage that you
24		referred to, but you mentioned that there was a

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1		there was that the \$740 expenditure to the U.S.
2		Post Office was for postage for that mailing?
3	A:	Uh-huh.
4	Q:	And we divided it up by 37 cents and we came up with
5		2,300.
6	A:	Uh-huh.
7	Q:	Does that
8	A:	That sounds accurate. Yeah.
9	Q:	And was that different was that just one card sent
10		to all those same people?
11	A:	No, it was targeted. Yeah, because we had a couple
12		other things. I mean, we had the marijuana supporter
13		ones and we had another piece that was geared towards
14		the youth vote, and then we had the basic targeting
15		for there may have been one other, I can't recall
16		But anyway, there was also targeted for democrat,
17		independents and republicans and there always is for
18		every mail. Even a standard mail will have that one
19		targeted paragraph in it.
20	Q:	I just want to be clear whether you're sort of
21		speculating in terms of what you know you did you
22		know, what you think you might have done or what
23	A:	Yeah.

-- you did do.

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- A: Well, you know, it's difficult sometimes to remember exactly what you're doing when you're doing four to five mailings at once.
 - ||Q: Sure.

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- A: And you don't want to say yes, I did this. This is my recollection of what happened, okay?
 - Q: What mailing list did you use for that?
- A: I used my in-house mailing. Actually, I used the one that I had received paper from for Minot and Hebron and the disk from Turner. That one was a very high quality voter list.
- Q: So just -- on that last mailing, there was the youth vote?
- 14 || A: Uh-huh.
- 15 | Q: You're sure there was a youth vote mail --
- 16 | A: Oh, yeah.
- 17 || Q: Version?
- 18 | A: Youth vote version, yeah.
- Q: Yeah. And there was a basic version, which is -- if I can call it that, which was what you brought in today?
 - A: The youth vote one is a small piece, though, because you can only derive that from -- dates registered and things like that, you can only assume that they're youths. It's not a very reliable.

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- Q: Okay. I guess I'm just interested -- among those
 2,300 or 3,000 postcards, any idea how many different
 versions were sent out?
- ||A: Four.

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- Q: And do you have those versions on a computer that you still own?
 - A: No.
 - Q: Is it -- were they done using the one that has been reformatted?
 - A: That's correct. There may be some better versions of this on the hard drive that I haven't fully inspected yet, you know, some further along versions, but any completed versions of anything would have been on that computer because it's more powerful and it runs the printers better and it gets transferred onto that and completed on that and printed on that.
 - Q: There -- either from the campaign finance reports or from the bank account checks, which we also reviewed, there was a payment made to a Scott (Lusler) for writing?
- 21 | A: Uh-huh.
- 22 ||Q: Do you know what that writing was about?
 - A: I'm not clear exactly who wrote what for the people who were put down as writing. I was told who they were and that they wrote pieces. I know there were

1 pieces that were written that I incorporated into the mail but Jessica would be able to answer those 2 3 questions better because she was the one who got that 4 information. 5 Well, if we could just -- from your knowledge, because 6 you created the different versions --7 Α: Sure. 8 -- of the postcard, what writing on those postcards 9 came from people other than yourself? 10 Α: The writing on the postcard that were topical, you 11 know, the youth vote one came from excerpts from a 12 youth vote thing that one of them wrote. I don't know 13 who wrote what. I don't remember. They're not 14 necessarily friends of mine, okay, so I don't know who 15 The marijuana piece came from somebody who wrote. 16 wrote the marijuana piece, and I used excerpts from 17 those and put them into these mailings. 18 0: Okay. Do you know who that was that did the marijuana 19 writing? 20 I don't remember who did it. **A**: 21 0: Was there any other writing from other sources other 22 than yourself on this postcard?

Q: And getting back to the mailing list for Aaron Huda, or is it Fuda?

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A:

Not that I can recall offhand. There may have been.

- 1 | A: Fuda -- Fuda --
- 2 | Q: You mentioned that the card was mailed to 300 people;
- 3 || is that right?
- $4 \parallel A$: Somewhere in that neighborhood, probably.
- $5 \parallel Q$: In what form did you get the mailing information? Was
- 6 | it electronic or printed out?
- 7 | A: What -- the voter list?
- 8 | 0: Yeah.
- 9 A: It was a paper copy.
- 10 ||Q: And do you have an estimate of how many names were on
- 11 | that list?
- 12 | A: On the entire list?
- 13 || Q: Yep.
- 14 | A: There was a lot. I don't know exactly how many.
- 15 | Q: My recollection, and I could be mistaken on this, was
- that there were 7,000 -- that your testimony was there
- was 7,000 names on that. I don't --
- 18 A: Well, there were 7,000 names that were in that
- 19 district. There was names on that list -- we were
- able to get 300 or so names of people that were in
- 21 | that district, okay? There was a lot of names on that
- 22 || list.
- $23 \parallel Q$: More than a thousand?
- 24 | A: Yeah, but I think -- you know -- I mean, you'll have
- 25 to ask Jessica about the services they provided. I'm

not saying -- at least I'm not contending that the \$750 was for 300 names. You understand what I'm saying? I'm saying that I know that he provided a list that is very expensive of a lot of people that are marijuana supporters that were voter ID, and from that, I was able to extract 300 names that I used for the mailing.

- Q: And he's a marijuana legalization advocate?
- 9 A: I guess so.

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- 10 Q: Is Jessica Larlee also an advocate for legalization of marijuana?
- 12 | A: I would say that's accurate.
- Q: Did -- have you retained all of those names and addresses for your records?
- 15 | A: I am not in possession of them, no.
- 16 Q: So you received them, used 300 of them, and then what happened to that mailing list?
 - A: I don't know.
 - Q: Okay. I guess my last question is sort of a general one. The testimony the Commission has heard is that Jessica Trundy -- I'm sorry, pardon me, Sarah Trundy did not campaign in the last six months of the campaign, and there doesn't seem to be any advertising in media by the campaign. Could you describe to the

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Commission in what sense you think this was a real campaign?

It was a campaign because there's an active mail campaign that -- I've been involved in campaigns

campaign that -- I've been involved in campaigns before that have won legislative seats using only mail. It was an active mail campaign. There was a bunch of signs that went up. And I think in almost every respect, it was a very active campaign, and I think you will find that the results of the election proved that to be true. There is no other Green outside of Portland that got 30 percent of the vote in any precinct other than Sarah Trundy.

Q: And one last question on the AOL. Was your testimony that it was done -- that the AOL service was used for research for some of these mailings?

A: For content, for whatever purpose the campaign was using.

Q: Can you tell us which mailing research from the AOL account was used for?

A: I couldn't, no.

Q: I'm just having a hard time understanding, Mr. Rogers, why the Commission should view that AOL service as a campaign service when there's no -- absolutely no evidence that any of them -- you can't point to any evidence in the mailings of any research that was

1		taken from AOL and used on the literature that was
2		mailed out. Do you have any explanation?
3	A:	I don't have an explanation.
4	EXAM	INATION OF MR. ROGERS BY MS. GARDINER:
5	Q:	(Inaudible) show you Trundy Exhibit 9, which is a set
6		of bank records for the Trundy campaign account.
7	A:	Okay.
8	Q:	And I've put to try to save time, feel free to look
9		through any part of it, but I put yellow Post-It notes
10		on three pages that have Xeroxes of checks made out to
11		you.
12	A:	Uh-huh.
13	Q:	The first one is a thousand dollar check for
14		consulting.
15	A:	Uh-huh.
16	Q:	And that you cashed, correct?
17	A:	Uh-huh.
18	Q:	And I think the next one is a check to you in the
19		amount of \$600.
20	A:	Uh-huh.
21	Q:	What was that for? First of all, you endorsed that,
22		you cashed that check?
23	A:	Uh-huh. That was for purchases made probably with

cash. For instance, maybe that Home Depot purchase

was made with that cash, in which case the receipts

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1 would have been gone to Jessica and -- if they're on 2 the report designated that way, I think that's the 3 correct way to report them. At least, that's my understanding, that if she gives me petty cash to go 5 make purchases, I go get them, I provide her the 6 receipts, and that's the way that they're reported on 7 the campaign report. 8 Do you recall when you went to Home Depot whether you 9 used cash?

- A: Yeah. I think I probably did. My recollection is that I probably did use cash to make those purchases because Jessica would have not been with me.
- Q: How would you have known how much cash you were going to need for that purchase?
- A: I have a pretty good idea how many -- how much you need for signs and how much -- I mean it's -- you know, to buy signs and to buy office supplies or whatever else --
- ||O: Well, the --
- A: -- you estimate how much you need and then you cash a check for it and --
- Q: Well, the Home Depot purchase was \$195, as you saw from the other report.
- 24 | A: Okay.

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- Q: We don't have the receipt, we just have what's listed on the campaign finance report.
- 3 | A: Okay.

- Q: This is a check made out to you for \$600?
- 5 | A: Uh-huh.
- 6 Q: So what else besides the Home Depot purchases would
 7 you have made with \$600 in cash?
- A: Well, I know I made a Home Depot purchase. I probably

 made one of the Wal-Mart purchases. I don't know if

 Jessica has receipts for them or not. Hopefully,

 she's found some of them. And I also bought postage

 with the -- with it, too.
- 13 ||Q: At Wal-Mart? I mean, not at Wal-Mart --
- 14 | A: No. At the post --
- 15 ||Q: Which postage did you buy yourself?
- 16 | A: I'm not --

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- 17 | Q: There were two postage listings that we went over --
 - A: I understand, and I'm not entirely sure, so I don't want to answer definitively, but I'd probably provide those answers somehow if I think about it more. I do remember making a cash purchase of postage at some point.
 - ||Q: Okay.
- A: And any purchases I would have made, the receipts would have been given back, if I'm not serving as a

1		vendor, if I'm just doing purchases on behalf of the
2		campaign.
3	Q:	And the third one in that set of bank records is a
4		\$200 check made out to you
5	A:	Uh-huh.
6	Q:	On October 15, which it also appears you cashed,
7		correct?
8	A:	Uh-huh.
9	Q:	That one says big signs in the note at the bottom.
10	A:	Uh-huh.
11	Q:	Do you know what it was used for?
12	A:	I would like to, if I could, look at a corresponding
13		campaign report.
14	Q:	Certainly.
15	A:	Which one would that be?
16	Q:	Let's see.
17	A:	I can probably speculate as to what it was. I don't
18		know if I can give you an accurate
19	Q:	It probably would be Exhibit 6 or 7. October
20		timeframe. Probably be Exhibit 6. Here it is. The
21		Home Depot purchases listed on the campaign finance
22		report (inaudible)
23	A:	Home Depot, 195. Well, I guess that's probably what I

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was for, then.

Q: So you think that -- okay. So the \$600 check which was written on October 4, there aren't any expenses listed on the campaign finance report that I can see in around October 4th.

A: Which one is that, now.

Q: The \$600 check made out to you --

A: Uh-huh.

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Q: -- was -- is dated October 4.

||A: Uh-huh.

Q: And looking at the campaign finance report, Exhibit 6, which is for that time period, I don't see anything in and around October 4th that approaches that amount of money. Do you -- can you shed any light on that, please?

Maybe. Let me just look at -- I want to look at dates for a minute. Well, the August 27th withdraw of cash would be just a withdrawal for petty cash that you have available for having to make cash purchases, and those purchases should be corresponded along the course of the campaign by receipts, okay? And I'm not sure which ones -- which ones I went to purchase, which ones Jessica went to purchase. Whatever -- Jessica hopefully will be able to provide some of that information for you, but that would be a normal petty cash withdrawal that would have been withdrawn and

kept in her jar along with the receipts above the mantle shelf, just like Mr. (Haine) told us to do when we ran the first campaign doing this. He said take some petty cash out, put it in there with your receipts and have that cash (for) purchases that have to be made, take the receipts, put them back in, and report them all as expenditures of the campaign.

That's what that would have been. I know I bought some postage with cash. I remember going to Home

Depot. I believe I also went to Wal-Mart. The \$200 check looks to me like it was probably for the Home

Depot purchase, and that's about as much as I can --
light as I can shed on that.

- Q: Okay.
- 15 | A: Okay?

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- 16 Q: Thank you.
- 17 | EXAMINATION BY MR. WAYNE:
- 18 | Q: Back on the marijuana supporter mailing --
- 19 || A: Uh-huh.
- $20 \parallel 0$: -- and the 300 names and addresses.
- 21 | A: Uh-huh.
 - Q: Just to assist the Commission in understanding did the campaign get \$750 worth of value from Mr. Fuda, could you place a value on -- just on the -- I understand you're saying that there are consulting services that

he provided to the campaign, but just looking at the value of the names and the addresses that you received from him, or the campaign received and that you used, can you place on that? No, I really can't. I mean, voter ID lists are extremely expensive, though, but I don't know what it would cost to voter ID a 300-person list of marijuana

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supporters. I mean, you'd have to do auto calls to develop them and all of that, so I couldn't give you a value of what that was to be worth, but it would be pretty significant. It would be worth probably a couple hundred dollars anyway, a few hundred dollars, or -- I can't even put a value on it because I -- it's just not a way I've ever purchased lists before. it's -- I mean, any voter ID list is extremely valuable information.

MR. WAYNE: I just have one more question regarding Julia St. James that I was wondering if I can jump back to --

CHAIR: Definitely.

(Inaudible) all my questions. MR. WAYNE:

0: The four-and-a-half by six-inch postcard that was mailed to maybe 1,600 voters, Julia St. James, in interviews and testimony, wasn't aware of that postcard.

A: Uh-huh.

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Α:

Q: She didn't tell us about it. I believe we probably covered it and it just didn't come up at all.

A: Uh-huh.

Q: Can you explain that?

Because there was very little communication going on between us and Julia during the time that went on. We told her we were going to -- or I told her that I was going to be sending mail. We had initially agreed that it was going to be the nail files, okay, and then eventually, as time went on, we were going to send a cartoon that -- I kind of made the judgment that that probably wasn't the best idea and we ultimately, as time was running out and there wasn't really a better alternative, we just decided -- and we hadn't had contact with Julia, and I had promised her mail. just sent the palm card, which is literature, incidentally, that was previously authorized by her and the palm card -- I mean, so it wasn't like anything that was, you know, anything that was out of the scope of what she would want coming out of her campaign with campaign literature. In order to fulfill that obligation, we send that -- I sent that mail.

1 Was the cartoon that you just mentioned the hit piece 0: 2 that you referred to earlier? 3 Uh-huh. Α: 4 You had -- just a little bit of a surprise for us 5 having looked at this campaign a lot -- there was this 6 mailing and there's nothing in the campaign finance 7 reports regarding this. 8 Uh-huh. Α: 9 0: The candidate herself was not apparently aware of it 10 or doesn't recollect it, didn't tell us about it. 11 Α: Uh-huh. 12 Q: Do you have anything other than your invoices, and I 13 guess what I'd be referring to is documentary 14 evidence, a receipt from the post office for the 15 postage, you know, ideally something that was 16 contemporaneous that you could (inaudible) --17 I will try to develop what I can. I will try to find 18 whatever I can as far as documentary evidence to give 19 you with regard to that. 20 Thank you. Mr. Bigos, any questions? CHAIR: 21 MR. BIGOS: Yes. 22 EXAMINATION OF MR ROGERS BY MR. BIGOS: 23 I'm trying to get a sense of the demand you were in in 24 2004.

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A:

Uh-huh.

Q: Can you describe what other campaigns you were working on between July and November?

I was working on a few other projects. One of the projects I'm sure Ms. Gardiner knows about was I was tied up for a few weeks, being challenged by the democrats on the petitions to put Ralph Nader on the ballot, and that took up quite a bit of time, and I was involved with, free of charge, trying to defend him from being challenged from the democrats and being knocked off the ballot. I was involved in some other campaigns, to a lesser extent, working -- doing a little vendor work or doing a little bit of work here and there.

Q: Who were those campaigns or clients?

A: Oh, those I would prefer -- prefer not to disclose who they were, due to the relevance argument that we discussed before.

Q: They're relevant because we're trying to get a sense for any -- we're here to see today if there are any potential violations of laws to do an investigation, and to the extent that these campaigns had anything to do with any other campaigns, they're relevant. I'm -- we have -- we have received testimony from other people who suggested that there were some other witnesses that we may or may not have heard from,

indirectly or that we will hear today later on, and it's very relevant to me for how these public funds were used, so I'd like you to tell us, and perhaps we're going to find out anyway, I'd like you to tell us in 2004 who else -- (what are) the legislative candidates you worked with.

Well, I'm sorry, but I really fail to see the relevance here because the subpoena I received asked for documentation information regarding Sarah Trundy and regarding Julia St. James, it's for two of the campaigns it's established I worked on, and the mailing in Biddeford. Now, I don't see how any work that I have done for any other candidate anywhere in the entire -- anywhere, even if it's during that time period, is relevant to any of the work that's done on this campaign, so I'm going to have to decline to answer that question.

Q: I'll give you a second reason why it's relevant and very important to our inquiry. You've opened the door yourself by saying you were busy and a -- some of the actions and memories you have of specific instances were affected by all the other campaigns you were working on, and we need to know how busy you were and what kind of demand you were in.

	A:	Okay. Well, I'd say that in the case of Sarah Trundy,
2		I think I put certainly as much work as was necessary
3		into it, and I think probably even a little bit beyond
4		with the consulting fees, the going rates of
5		consulting fees that I charged. In the case of Julia,
6		I think I probably gave the exact value of what was
7		promised to her, was requested of her, and probably no
8		more just because I didn't see a lot of the input and
9		I had difficulty contacting the candidate. I didn't
10		have a lot input and effort from the candidate,
11		either, so I would say in that respect, I don't think
12		any of the work I did on any of the other campaigns
13		was not was not so demanding of my time that I
14		wasn't able to perform the duties that were necessary
15		in these campaigns.

- So describe -- so what was the relative proportion? What were they?
- 18 **A**: The relative proportion?
 - 0: You were able to perform work on these campaigns because you weren't too busy with the others --
 - **A**: Uh-huh.
- 22 0: -- and I'm just -- I guess I'm just asking you to what extent were you and whose campaign were you involved with?

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- 1 Well, I would say probably if I was to assign a **A**: 2 proportion of my time, then I'd say I probably spent 3 50 percent of my time on these two campaigns. 4 On these two, meaning St. James and Trundy? 5 **A**: Uh-huh. 6 Were you involved with -- so did you say -- did you 0: 7 give me a number of how many other projects you were 8 working on during 2004? 9 **A**: No, I don't believe I did. 10 How many projects were you involved in in 2004, 11 including Trundy and St. James? 12 **A**: Four. 13 Is that total? 0: **A**: 14 Uh-huh. 15 0: Does that include Pike? 16 It wasn't a project. He didn't file for 17 candidacy -- he filed for candidacy but he never got 18 on the ballot. There was no campaign. 19 Were the other two projects for legislative 20 candidates?
- 21 A: One was.
- Q: And what -- was that legislative candidate enrolled in a political party and, if so, which one?
- A: That candidate was enrolled in a political party, and
 I'm not sure that the relevance of his political party

1 -- and I'm not sure that his party enrollment or her 2 party enrollment is a relevant question. It's just 3 another question to try to seek -- try to seek information of me to disclose my clients, which I 5 think I've pretty much established at this point that 6 I am not willing to do because I fail to see the 7 relevance. And I see what's happened with Mr. Mendros 8 being dragged in here when he's tangentially involved 9 in this case, and I'm afraid that that's going to 10 happen to any other client that I divulge. So that is 11 specifically why I fail to -- I refuse to answer that 12 question.

- Q: Which campaigns have you worked for that you've been successful in during the last ten years.
- 15 | A: That have been successful?
- 16 | 0: Yes.

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- 17 | A: How do you measure success?
 - Q: I'd like you to just -- have you measure -- I get to answer the questions, you get to answer them.
 - A: Well, I can't answer a question that I don't have -that I don't know what the answer you're seeking is,
 so --
- ²³ ||Q: Okay. What are you goals when you work on a campaign?
- 24 | A: That would be to win an election.

- Q: How many campaigns have you -- how many elections have your candidates or your services that you rendered resulted in winning an election?
- A: In the past ten years?
- ||Q: Yes.

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- A: Or in the past -- okay, the past ten years? I can think of two, and -- which may not sound like a lot but when you're dealing primarily with independent and third-party candidates, that's very significant. A victory is significant any time.
- Q: Which candidates were those?
- A: Well, once again, I don't -- I choose not to divulge that information because of the confidentiality I'd like to share with former clients.
- Q: Do you have any other goals when you're getting involved with an election?
- A: Sure, absolutely. As I indicated to you, during this whole candidate recruitment process, we were looking for candidates that had niche issues that didn't necessary -- that aren't necessarily issues that the two parties are addressing properly, and this is why we choose -- I mean, listen. The democrats give a lot of lip service to wanting to help farmers but you run the daughter of a farmer and they say oooohhh -- they give a lot of lip service to running -- to helping the

homeless. You run somebody who is directly involved with the homeless population, ooh, spooky, okay? So this is precisely what I -- how I would measure success. I would measure success as being able to put a candidate out there that can address issues that are not necessarily addressed by the two major parties, and I think the Maine Clean Election Fund is an excellent way to be able to do that.

- Q: Do you consider a success to try to run a candidate to try to make one of the other candidates lose or to have that other candidate receive as many votes?
- A: I don't know how that would even be possible.

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- Q: Like, for example, is -- was it a goal of yours to try to take votes away from either a democratic candidate in the St. James race or the republican candidate in the St. James race and to not really be concerned with how well St. James performed?
- A: Well, if you look at the mailing that we sent out for Julia, I don't know how she'd be taking votes from either one side of the other if she's saying no new taxes and she's known to be a marijuana advocate. I mean, what side is she taking votes away from? I'm not -- I mean, the whole purpose of the Clean Election is to gain votes, it's not to take votes from somebody else. I don't even know how that's possible. I don't

even understand the concept of that -- I mean, I've heard it said -- with other, more famous, candidates this happens, but I don't know how you can quantify that and even determine if it's a successful tactic if you were to use it or not, so I -- so my answer to you is no.

- Q: Let me direct your attention to the newsletter that we've talked so much about today. That is Exhibit 13, and you described that you wrote some parts of it,

 Jessica wrote some parts of it --
- 11 | A: Right.

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- 12 | Q: -- and Ms. St. James wrote some parts of it?
- 13 || A: Uh-huh.
- Q: For example, you -- I believe you testified that you
 wrote the two articles Why Shouldn't We Re-Elect Bruce
 Bryant --
- 17 | A: Uh-huh.
- 18 Q: -- and then Bruce Bryant and John Baldacci Playing
 19 Fast and Loose with Big Checks.
- 20 | A: Uh-huh.
- Q: Do -- are those examples of not trying to take away votes from Bruce Bryant?
- A: No. That's an example of pointing out what's wrong

 wit the incumbent candidate and why you would want to

 note vote for the incumbent, and in most cases, people

do because of the name recognition or the -- or in many cases, the incumbent is so much more well funded than the challenger that it's important to point out reasons why it's necessary not to vote for the incumbent. That would be the purpose of an article like that.

Q: Let me just ask you a more abstract question. We're very concerned with how public funds are being used and the taxpayers' demand accountability --

A: Sure. Uh-huh.

Q: And do you -- as an experienced and sophisticated professional, should the Ethics Commission be concerned with campaigns or paid consultants attempting to find campaigns where a third-party candidate, for example, could be filed solely for the purpose of trying one of the other two candidates in a three-party race?

A: If --

Q: Should the Ethics Commission be concerned about that?

A: If -- okay, let me see if I understand what the question you're asking is. You're asking should the Ethics Commission be concerned about candidates running with Clean Election funds specifically to affect the outcome of the election between the two major candidates?

Q: Between one of the two, yes.

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I would say if the sole purpose was to put people in a race to do something like that, then I think yeah, the Ethics Commission should be concerned, but I think -but I say that at the risk of sounding like somehow the election process is created for the democrats and republicans and that for a green candidate or an independent candidate to get in the race, they actually have to even consider that notion. For them to even consider that notion just proves that there's something wrong with the two-party system. somebody wants to run, they should run and they should be out there to spread a message and to get as many votes as they can, and to affect the outcome of the election between two other candidates is an absurd notion for that candidate to have in their head. so if there was a candidate who has that in their head and has the intention of running with that express purpose, I would think yes, the Ethics Commission should definitely be concerned about that.

Q: Who was the republican candidate in the St. James race?

A: It was Bob Cameron.

Q: I don't -- I apologize for asking this but to be thorough in our investigation --

BROWN & MEYERS 1-800-785-7505 A: Sure.

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2 -- I feel an obligation to ask this question, so I 3 apologize if this comes off the wrong way but was there any communication between the Cameron campaign 5 and your -- and the St. James campaign suggesting 6 either officially or unofficially through somebody like you or any other officer -- suggesting that it 7 8 would be helpful to the Cameron campaign to have a 9 third-party candidate to help affect the race and to 10 help candidate Cameron?

- A: None whatsoever. I've never met Bob Cameron. I'd never had a conversation with him.
- Q: Okay. Earlier in the day, we talked about the first communications to candidate St. James.
- 15 || A: Uh-huh.
- Q: And you suggested that Jessica was one of the first people to talk directly with her?
- 18 | A: Uh-huh.
- Q: Did you talk -- did you ask or talk to Jessica about asking Julia St. James to run?
- 21 | A: You mean did I encourage her to encourage Julia?
- 22 | O: Correct.
- A: By the time I had heard about Julia, I was already -I already knew that she had an interest in running.

There was no encouragement necessary.

1 0: Okay. I quess I just have one more question. 2 kind of detailed. With regard to the St. James 3 campaign, you were mentioning a postcard that you were designing and it never was executed or never got 5 produced and, or mailed, and you said it was going to 6 be a nasty piece, and I think you used the word it was 7 almost -- it was going to be almost as nasty as, then 8 you held up another piece of literature.

A: Uh-huh.

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- 10 What -- to what were you referring when you made that 11 reference.
- 12 I'm sorry. I don't recall what you mean. Not sure 13 what you mean.
- 14 0: On the record, you said the piece that was going to go 15 out was almost as nasty as this one --
- 16 **A**: Uh-huh:
- 17 Q: -- and you held up a piece of literature, and I was 18 just trying to make sure we had clear for the record 19 to what you were referring.
 - I was referring to the mail piece in Biddeford. A:
- 21 0: Okay. The (HOMO MIM) mail piece?
- 22 Α: That's correct.
- 23 0: Okay. Thanks.
- 24 All set? Okay. I just have a few CHAIR: 25 things.

EXAMINATION OF MR. ROGERS BY COMMISSION CHAIR:

- Q: With regard to the -- well, just a question in general, in your experience, is it normal for consultants to find candidates to recruit candidates?
- A: I don't think it's particularly normal. I think that

 -- I think that there is some of that going on or

 there is a lot of it going on within the republican

 and democrat parties. They hire people specifically

 for the purpose of recruiting candidates, and the

 Green Party does that, too. They go out and they look

 in areas where they perceive weaknesses in their

 candidates and they go out and look for candidates,

 and they recruit them to run.
- Q: Right, but are they consultants, then, for those candidates? They get paid to run the campaigns?
- A: I don't know what -- if somebody is working for a political party either in an official or an unofficial capacity, I don't know what you'd call them if they're -- I mean, consultant seems like a --
- Q: They're getting paid to work on their campaigns?
- A: They're getting paid to work on their campaigns in many instances as well.
- Q: And so when you say that Sarah Trundy wasn't interested in running for the House --
- ||A: She wasn't interested in campaigning.

BROWN & MEYERS 1-800-785-7505 Q: So she didn't want to work on the campaign; she just wanted to be in the House?

- A: She wouldn't mind serving in the house, that's right.

 She would like -- she thought it would be a fun

 opportunity to go and -- go and serve in the house and

 to -- they have a minority in office here now for the

 Green Party so she would have, you know, some help

 here with John Eder and whoever his assistant is now.

 I'm not sure who that is.
- Q: Well, I'm just intrigued by your conversation that this isn't, you know, an unusual set of circumstances for a candidate. Is that what you said?
- A: Yeah, that's my feeling. I feel it to be just parallel to when the parties go out and recruit candidates, put placeholders in places just so they can have a candidate who has absolutely no intention of running sit there and hold the ticket for somebody while they go out and find somebody who they might be willing to -- who might be willing to run.
- Q: I think that's a very different (inaudible) --
- A: And then -- I don't think it's very different at all, and respectfully disagree, that -- if you put a placeholder into a election while you go out and find somebody who might legitimately run, then you're finding somebody who is a substandard candidate at

that point because they weren't somebody who wanted to run to begin with. They're somebody who had to be recruited. You had to convince them as your republican or your democrat party to run, and then you have to move in as a party and fill in the deficiencies that that candidate is presenting because in a lot of cases with democrats and republicans, they are deficiencies with those candidates.

Q: I guess I'm not understanding the relevance between a candidate who says that I'm not interested in running for office, I'll be a placeholder if you want to find somebody and a candidate who says I'd like to be in office but I don't want to run a campaign.

A: Uh-huh.

Q: That sounds like two really different (situations).

I think you'll find lots of democrats and republicans who rely on their party structure to run their campaigns for them because they have very little interest in running. They have name recognition in the community, people know them. They're busy people, they're working or whatever. They don't have the time to run the campaigns. The campaign professionals run their campaigns for them and then when they're elected, then they serve in the legislature with no real great interest, no experience in campaigns, no

1 interest in running in campaigns, but are willing to 2 provide their name and their face for the campaign so 3 their party can get somebody elected and when, if elected, they do serve. And I don't -- I think that 5 it's entirely relevant to this example here. 6 That surely wasn't my experience when I ran for the 7 legislature, but -- okay. 8 Well, that's why you were such a good legislator. 9 0: Must have been, yes. So who did Sarah run against? 10 She ran against Joan Bryant Deschenes and Kenneth 11 Roberts. 12 Q: Okay. 13 And she beat Kenneth Roberts in Minot, so maybe he 14 should be investigated for being a marginal candidate. 15 0: Okay, and what percentage of the votes did she get 16 overall in that district? 17 I don't know -- she got -- I think she got about 12 18 percent of the vote total, and 30 percent in Minot, 19 and she got creamed in Turner, which anybody does if 20 they run against Joan Bryant-Deschenes. 21 And we have Exhibit 15, I think it's a mailing, that 22 you gave us today. 23 **A**: Uh-huh. 24

I've got a few questions about that now.

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A:

Sure.

- Q: It talks about the percentage of income but doesn't have numbers there. What does that mean?
 - A: That's because when I was making the template, I forgot the -- I forgot the numbers.
 - ||Q: So this isn't what went out, then?

- A: Oh, no. This is a template of -- like I said, like I explained before, you use the -- when you do the targeted mailing, you'd use a template there.
- Q: I understand what a template is but this isn't the piece that went out? That was my question.
- A: No, this is a template of only one side that went out.

 The front isn't even on here yet. That's the -
 that's the only thing that I was able to find on that

 computer so far as I was looking through it.
- Q: Okay, because I've never seen a piece that went out, nor has the candidate, which I find interesting, and there's a typo in this, as well, which I also find (inaudible) --
- ¹⁹ $\|$ A: Well, that's why it's a work in progress.
 - Q: Can you tell me what the -- it says Vote Trundy Maine

 House, Support Local Agriculture November 2nd, then

 there's a line underneath that that I can't read. Can

 you tell me what that line says?
- 24 | A: I'm not sure where you're referring to now.
- $25 \parallel Q$: Exhibit 15.

- A: 15? This is the yard sign, I believe.
- 2 | 0: Yeah.

- 3 A: That would be the disclaimer. I don't know what it
- says, either, but I could give you a blown up version
- of it if you'd like to see what it says.
- 6 Q: Okay. So, you said you got a voter list for this
- 7 district; is that correct?
- $8 \parallel A$: I did, yeah.
- 9 $\|Q$: And what did you use that voter list for?
- 10 ||A: We used it for mailing.
- 11 ||Q: And how many pieces of mail did you send?
- 12 | A: Somewhere in the neighborhood of two to three thousand
- on that one -- in that one mailing at the end. We did
- an earlier mailing that was a targeted mail.
- 15 $\|Q$: Oh, so you did two mailings in this campaign?
- 16 A: That's right. Well, if you consider the one mailing
- that has four different targeted pieces one mailing,
- it was all mailed at one time.
- 19 ||Q|: That happened two different times?
- 20 | A: Uh-huh.
- 21 ||Q: When -- approximate dates on those?
- $22 \parallel A$: I have to look. I have to look to refresh my memory,
- 23 || but I can give you an approximation. The first issue
- advocacy piece, the 300 or whatever that went out, was
- 25 probably in the middle of September, that early.

Q: Okay.

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- A: Because we just got the names and they were (hot) and it was a time when we had some time to do some stuff, so we did it. And then of course the last mail piece I just always send out Saturday before the election.
- Q: And you talked -- you talked a little bit about that on that Saturday, you went to Jessica's house to address items; is that correct?
- 9 ||A: That's correct.
- 10 ||Q: And they were items for Sarah, for Julia, for both?
- 11 || A: Both.
- 12 ||Q|: They were for both?
- A: No, the mail that we were doing, we had the intent of
 mailing Julia's newsletter as well, but Julia chose
 not to -- I mean, she was there but then she left.

 She chose not to participate in that mailing and
 decided to go home and have -- and do it.
- 18 ||Q: So she didn't do a mailing the day -- that Saturday?
- A: Not that day, no. No. Not the newsletter, no. There was Sarah and other campaigns that were being done.
 - Q: Okay, so on that Saturday --
 - A: The district-wide mailing for Julia, because we knew the newsletter was going out the final weekend, that was the impact statement that you want to go out -- the district-wide mailing for Julia went out earlier.

Q:	Uh-huh. Okay. So on that Saturday, you, yourself,
	were addressing things for Sarah's campaign and you
	mailed the mailing for Sarah, and Julia did her own
	thing somewhere else that involved the newsletter. Is
	that correct?

A: That's correct.

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- Q: So let's switch over to talk about Julia a little bit,
 then. You said that you did -- you took the
 newsletter to the publisher on Thursday; is that
 right?
- 11 A: I believe so. I think it was.
 - Q: You said Thursday or Friday.
- 13 A: It might have been, actually, Friday and they printed 14 it overnight and we picked it up Saturday morning. To 15 be mailed on Saturday.
- Q: Doesn't that strike you as kind of late in the game to be doing that?
 - A: It's the day I always do everything -- it always goes out in the mail on Saturday.
 - Q: Well, I can see that it goes in the mail on Saturday but taking the newsletter to them on Thursday or Friday that still has to be addressed and --
 - ||A: When --
- $24 \parallel Q$: -- put in the mail.

- A: When the newspaper has room to run their presses, they tell you and you take it that day. You know, if they had said we had availability on Wednesday night or whatever, it would have been taken then. They print them overnight. They print newspapers every day.

 Running off that many newspapers is not an issue for them.
- 8 | Q: Okay, so --

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- 9 A: We had the mailroom set up on Saturday, so, I mean,
 10 that's the time to do it, so it was not -- it was not
 11 unusual, nor was it a problem for it to be printed up
 12 on Friday night and then to --
- Q: It still had to be addressed and brought to the post office?
- 15 | A: Stamped and addressed.
- 16 Q: And how many pieces was it?
- A: It was a lot. I don't know exactly how many but it was quite a few. It was district-wide.
- Q: And so were you involved in the pick up of that newsletter?
- 21 | A: The pick up? You mean from the Sun Journal?
- 22 || Q: Yes.

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A: I picked up one load. I mean, it's, like, two
carloads. I picked up one load and then later I think
Rob -- can't remember his last name --

- 1 ||Q:|| Campbell.
- 2 A: Thank you. Rob Campbell picked up the remainder of it.
- Q: So you just drove over to the Lewiston Sun and they -you went to the desk and picked them up, or --
- 6 A: They left them out on the loading dock. Picked them up, yeah.
- Q: And other things that you talked about was a palm card that you had done for Julia?
- 10 || A: Uh-huh.
- Q: And I'm curious about the card stock, the postage, the ink --
- 13 || A: Uh-huh.

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- 14 ||Q: -- any of those kinds of things.
 - A: You don't use postage for a palm card because you don't mail them. They're -- those are -- you've run before, you know you have the palm cards that you use when you go door to door that you put in people's doors or that you hand people or put on store (inaudible)?
 - Q: Okay, so the only mail postage you would have had was for the newsletter? That's the only mailing that you did in this case?
- A: No, the -- there was two mailings. There was the district-wide post -- the four-and-a-half by six

postcard, not the oversized, it was a four-and-a-half
by six, and then there was the newsletter that Julia
did. I don't know what she -- how she mailed, if she
mailed them, when she mailed them. I don't know
anything about that because she took them back to her

Q: Okay. All right, well I don't -- my point is I don't see the backup for that card stock, for that postage, for that ink for any of the mailings or palm cards.

A: The back up? You mean --

house.

Α:

- Q: I mean some receipts or something.
 - Uh-huh. I can provide you with some information that I have but, I mean, you know, these are -- I'm not required to keep these receipt. You're asking me a year later for receipts that, quite frankly, I didn't know it was necessary for me to keep. I'm not sure that any other vendors would -- when a democrat writes a check to (Arso Bichok) for \$15,000 with no explanation on their expense report, you know, I don't know, maybe they don't expect to be asked about it and don't keep receipts or do keep receipts, I don't know, but, I mean, you know, you've got Senate candidates who are giving considerable chunks of money to vendors --

Q: Uh-huh.

1	A:	and then with no explanation on those campaign
2		reports, like Bruce Bryant, for instance, Julia's
3		opponent, spent \$15,000 a \$15,000 check, just wrote
4		(Arso) on it, no explanation. I mean, we can all
5		assume it's (Arso Bichok) because we know they're a
6		mail house that does mailing for them but I don't know
7		if you've conducted this type of investigation with
8		him and asked and asked (Arso Bichok) to come here
9		and provide those receipts but when I do multiple
10		mailings for multiple candidates and multiple other
11		entities as well and I have inventory and I have
12		stock, I give an invoice, I give you the price and I
13		tell you that, and so I mean, even if I had
14		receipts, they're going to be commingled, which, you
15		know, you're not allowed to do for your candidate but
16		if you're a vendor, you certainly can. And to be able
17		to ascribe, you know, one expenditure to another could
18		be difficult to do, but I will do what I can.
19	Q:	(Great). I guess probably the concern I have in this
20		case is that I'm not seeing what I consider to be
21		conclusive evidence that those pieces existed. The
22		candidates, you know, apparently don't see them and I

A: Uh-huh.

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see an actual palm card here for me to look at --

see this that came off somebody's computer but I don't

1	Q:	and that concerns me, so that's why I'm looking for
2		other ways to verify their existence because when we
3		asked the candidates about them, they didn't know
4		about them.
5	A:	Okay.
6	Q:	So that concerns me. You talked about a hard drive
7		that was reformatted?
8	A:	Uh-huh.
9	Q:	And in the course of that, you said that you could
10		bring that person forward. Who's that person?
11	A:	His name is Michael Hiltz.
12	Q:	Is that H I L S E?
13	A:	HILTZ.
14	Q:	Okay.
15	A:	He's expressed a willingness to discuss this with you.
16		I asked him.
17	Q:	And who is he?
18	A:	He's a friend of mine.
19	Q:	Okay.
20	A:	Who is fixes computers. Sometimes he does it
21		better than other times.

23 | A:

Uh-huh.

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Seems to be the nature of computers.

Q: And you mentioned that your list that you used for Julia's campaign was better than either the republican or the democratic list.

A: Yeah. There might have been a little bit of bragging involved there.

O: Okay. So where did that list come from?

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That's -- over a long period of time, I've developed I've bought voter lists from towns. gotten information, you know, lists that people have given me. One thing I do a lot for a lot of people is I clean up their voter lists for them and -- so I use those to update my list when I get access to those, and I update my own list and I take it and I take the information and I use it to update my own list. And in places where I have holes, if I have a perceived need for that -- like, I'm not going to go buy the list of Wytopitlock but if I -- you know, if I get involved in a campaign and Hebron and Minot and Durham, I'm going to go get the Hebron and Minot, Durham voter lists, like I did with Julia. I got the Jay and the Hebron and the Buckfield and the Rumford voter lists. I update my voter lists to try to make them stronger.

Q: So how do you do that? You go to the town clerk, you buy the disk?

- $\|A$: You buy the disk.
- $2 \parallel 0$: And then what?

- 3 A: And then you go and you update your voter list and you
- 4 put them on a spreadsheet and you marry them together.
- 5 You match them and you purge out the old names that
- 6 aren't there anymore.
- 7 ||Q: Don't you think that's what the republicans and the
- 8 democrats do?
- 9 A: That's exactly what they do but these third candidates
- don't have the access to this information.
- 11 \mathbb{Q} : Right, but why did you say your list would be better
- than the republicans or the democrats?
- 13 A: Because I was bragging.
- 14 | Q: Okay. Fair enough. Okay.
- 15 A: As Stavros will tell you.
- 16 | Q: Okay. So have -- I think you covered this earlier.
- 17 Did you say you know Tom Mead?
- 18 | A: I've never met Tom Mead, to my knowledge?
- 19 | Q: You don't know Tom Mead, you've never been to his
- office, you don't know who he is?
- 21 | A: I don't know Tom Mead.
- 22 ||Q: Okay. And the photo that's on the palm card for
- Jessica; where'd that come from -- Julia -- I'm sorry,
- 24 | for Julia.
- 25 | A : I'm not entirely sure.

Q: Okay.

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A: I think Julia may have given it to us or Jessica may have taken it. One or the other, but I didn't have any role in taking that picture or -- it was given to me on disk or e-mailed to me or one of those. I don't recall how I got it but -- I wasn't involved in it.

Q: That's the end of my questions.

CHAIR: You've got a couple questions?

MR. KETTERER: I do.

EXAMINATION OF MR. ROGERS BY MR. KETTERER:

0: It occurs to me, Dan, that you have been on that seat for a number of hours, and I appreciate the fact that you're making good faith effort to answer our questions. I recognize that it's not easy to sit over there for that number of hours. I've got a couple of quick questions for you, and part of this relates to testimony that you didn't hear because it took place in October, when you weren't here, so -- but regarding the Julia St. James candidacy, I think there was testimony, and I'm relying on my recollection of her testimony, so it may or may not be correct, but -that on the first day when she got the check from the Commission, that there was a trip to the bank, they tried to cash the check and get money right away and weren't able to do that, and they -- the bank officer

said well, you can cash up to a hundred dollars worth.

And I was just curious if you remember this or not.

She -- the testimony, my recollection of the testimony, was that she gave you \$60 and kept 40 the first day. Do you remember that?

A: I don't have a recollection of that.

And then switching over to the Sarah Trundy campaign, and I think our Chair was spending some time kind of developing this after legal counsel had asked you a number of questions about it, but I'm just curious if you can see why, as Commission members, we could be concerned -- again, you weren't here for the testimony through no fault of your own but -- you know, we heard testimony from Sarah that she didn't see the brochure that went out, she didn't see the palm card, she didn't remember giving anybody a photograph of her, she didn't look at the final camera-ready copy --

A: Uh-huh.

Q: -- she didn't authorize anything to go out --

∥A: Uh-huh.

Q: -- her parents didn't get a copy of the mailing. She said she talked to a lot of people in the district, none of those people got the mailing, she didn't get the mailing, she didn't authorize the mailing. From

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1 the Commission point of view, can you see why that kind of testimony would concern us? 2 3 I don't know the extent to which you Α: Uh-huh. 4 interviewed people in the district but I know -- from 5 my experience, there's a lot of incomplete memory this 6 long away, a year ago. 7 0: I mean, it is true. It's -- a month later, it's one 8 thing, six months later, it's harder, a year later, 9 it's harder still. 10 I can't tell you if I got a mail from any of the 11 legislative candidates in the last election. 12 Q: Sure, but -- but I think the concern is that not one 13 single piece of the literature can be produced by 14 computer, by somebody having it in their visor or her 15 parents having it on their refrigerator -- hey, here's 16 our daughter's mail piece, et cetera, et cetera. 17 know -- and I think that's the type of thing that 18 concerns us and I can see from the answer to your 19 question that you can understand why that concerns us. 20 Thanks. 21 No further questions, Madam Chair. 22 Thank you. CHAIR: 23 EXAMINATION OF MR. ROGERS BY MS. GARDINER: 24 Just quickly, to clarify -- I think without violating 25 your desire not to reveal your clients, but you

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1 mentioned that you were working on four campaigns 2 during the same time period as the -- including the 3 St. James and the Trundy cases. We're aware that you were also involved in Ralph Nader's effort to get 5 access to the ballot. We're aware, because there are 6 Commission filings, that you were also involved in the 7 Chipman race for the House. Are those two of the 8 other four that you -- I mean, are those the other two 9 that you had in mind when you said you were involved 10 in four? 11 Well, you have identified them, so I guess yeah, that

- A: Well, you have identified them, so I guess yeah, that would be accurate. I was involved in all those efforts you mentioned.
- Q: Can you tell us approximately what percentage of your time you were spending working on those two campaigns,

 Chipman and Nader, relative to these two?
- A: My involvement in Abe Chipman's campaign was extremely limited. I helped him make a radio ad and I did a mailing for them, and I think that's about it, really. That's the extent of my recollection of what I did for Abe.
- Q: So would you say that was less than ten percent of your time on the -- during this --
- 24 | A: Yeah.

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||Q: -- spring to fall time period?

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A:	Uh-huh, yeah, and as I indicated before, I'd say that
	probably about 50 percent of my time was devoted
	toward St. James and Trundy, although towards election
	day, probably a considerable amount more when it comes
	when mail day comes, you know, you're putting a lot
	of time into those, but yeah, I'd say 50 percent of my
	time is an accurate

- So would the other 40 percent have been on the Nader campaign, or were there other things, not campaignrelated, that you were doing?
- A: No, I'd say that's accurate.
- MR. WAYNE: I just have one more question.
- 13 | EXAMINATION BY MR. WAYNE:
 - Q: When we -- I'd like to show you St. James Exhibit

 Number 14.
- 16 | A: Okay.

Q: When we asked Julia St. James where she got the mailing labels for the -- for that newspaper format newsletter, she described that her husband, Robert Campbell, and John Crowhurst had used the republican voter vault web site to get access to thousands of names and addresses, and that's what allowed her, on that Saturday night, to mail the thousands of newsletters on Monday, the day before the election.

And I've been trying to determine whether she -- where

1 she received that voter vault user name and password, 2 simply to find out whether those were among the 3 services that she purchased from you or whether someone had given her a contribution which she was not 5 permitted to accept as a Maine Clean Election Act 6 candidate, and that's the source of the questioning 7 about that -- that voter fault. We've heard testimony 8 from a number of sources, including Robert Campbell, 9 that says you called him and you gave him Tom Mead's 10 user name and password and that's what allowed the 11 campaign to get access to that web site. I just want 12 to ask again did you supply the user name and password 13 to the St. James campaign? 14 Well, I think as I said before, as I was advised to do **A**: 15 by counsel -- they said if you're not sure if you're 16 making any implication of some kind of criminal 17 activity, then you should be safe and plead the Fifth,

Amendment and choose not to answer that question.

Q: Just -- in terms of the value of that information as an experienced campaign professional, maybe you could help the Commission -- I believe we determined with Ms. St. James that about 10,000 of her newsletters were mailed out, and so say hypothetically she used 10,000 names and addresses that she obtained from that

so in this case, I'm going to invoke the Fifth

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voter vault. Did you -- could you inform the

Commission what you might estimate the value of those

would be?

Α: Well, since she had a district-wide voter list on her computer that I had given her -- given her when I printed up the walking list and it was sitting on her hard drive, you know, I -- I couldn't -- and, I mean, there's value in that service I provided to her there, I couldn't even begin to speculate what this information would be worth. I mean, you can go around and buy it from the towns and you could add up how much it would cost to buy it from the towns if you wanted to assign a value to it, but -- I really couldn't speculate how much that would cost to purchase such a service. But I also say that the democrats and republicans get this service free, and independent candidates are at an extreme disadvantage by having to purchase such services, so hiring a consultant who happens to have a voter list already is an extremely valuable service and one that I'm not sure the democrats and republicans fully appreciate.

Q: Thank you. That's all I have.

MR. BIGOS: Just a few more.

||EXAMINATION BY MR. BIGOS:

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	Q:	I also want to just take this opportunity, this will
2		probably be it, to just say thank you and we
3		appreciate your agreeing to come in here voluntarily
4		after being served with a subpoena and so forth. I
5		know it's not easy to sit in the chair and have all
6		these questions. I'm sure you understand, because of
7		the serious allegations that have been suggested
8		through testimony and specific communications to the
9		Ethics Commission, we're under an obligation to
10		thoroughly investigate this, so I know that you have
11		some frustration with regard to how perhaps you've
12		been treated working with third party candidates and -
13		- but I want to suggest that from our role, we would
14		not be treating anybody any different if they were
15		if a similar complaint were to be made about a
16		democrat or republican candidate as well. You
17		mentioned you had a chance to prepare with counsel
18		before you came in here today?

A: I had a consultation with counsel, but I chose not to retain counsel.

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- Q: Okay. And were you doing any other work, paid or unpaid, during the campaign season from roughly July 04 to November 04?
- A: Doing any other paid work, in addition to the two campaigns that are mentioned in the subpoena?

- Q: Were you doing -- you mentioned you worked on four political-related projects.
 - ||A: Uh-huh.

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- 4 || O: Correct?
- 5 | A: Correct.
- 6 | 0: Were there more?
- 7 | A: Not to my recollection.
 - Q: Were you doing any other work that took up your time beyond the four projects.
- 10 A: Not to my recollection that would have encompassed any
 11 work that was more that a day or something.
- 12 | Q: Okay.
- 13 A: If somebody asks you to do some particular service.

 14 Like, for instance, I said one thing I do a lot for

 15 people is I clean up their voter lists for them. If

 16 somebody called me up and had them -- cleaned their

 17 voter list for them and that takes a couple hours and

 18 then it's done. That kind of thing, maybe, but --
- Q: I want to -- primarily what I'm getting at is just to try to get a sense of how busy you were and so forth.
 - ||A: Uh-huh.
- Q: You didn't have, like, a full-time or part-time job in addition to the four political projects you were doing?
- 25 | A: No.

1	Q:	Okay. Thanks.
2	A:	Thank you, and thanks for your comments. I appreciate
3		it and I appreciate Commissioner Ketterer for making
4		the offer of recusal. Thank you. Are we done?
5		CHAIR: I think we're done, Mr. Rogers. Thank
6		you very much. Jonathan, do you want to take a five-
7		minute break and then we'll reconvene with
8		MR. WAYNE: Julia St. James was our next
9		scheduled witness. I'd like to suggest that Stavros
10		Mendros also be questioned. He would only take 15
11		minutes and he took half a day off of work.
12		CHAIR: Okay. Why don't we have a five-minute
13		break and then start with Stavros, is that what you're
14		saying, and then go on to Ms. St. James?
15		MR. WAYNE: Yeah. Okay.
16		CHAIR: Sounds good.
17		(Recess.)
18		MR. WAYNE: Mr. Mendros, I'm Jonathan Wayne. I'm
19		director of the Ethics Commission. Thank you for
20		being with us.
21		MR. MENDROS: Sure.
22		CHAIR: Jonathan, can I go ahead and swear in the
23		witness?
24		MR. WAYNE: Oh, yeah. I'm sorry.

1 CHAIR: Great. Stavros -- Mr. Mendros, do you 2 swear or affirm that the testimony you are about to 3 give will be the truth, the whole truth and nothing but the truth, so help you God? 5 MR. MENDROS: I do. 6 Are you having trouble hearing me? 7 MR. MENDROS: I'm, like, almost completely deaf 8 out of this ear, so --9 CHAIR: Okay. I'll speak up. 10 MR. MENDROS: I could hear you all right but it 11 was kind of on the lower end. 12 CHAIR: All right. Thank you for coming. 13 MR. MENDROS: Yep. 14 TESTIMONY OF STAVROS MENDROS, WHO WAS CALLED AS A 15 WITNESS, HAVING FIRST BEEN DULY SWORN, WAS EXAMINED 16 AND TESTIFIED AS FOLLOWS: 17 EXAMINATION STAVROS MENDROS BY MR. WAYNE: 18 Mr. Mendros, thank you for being here today, and I 19 expect this to be short. We hoped to have you here at 20 1:00 and to have you out the door within 20 minutes 21 because we were aware you'd took time off, and so --22 of work, so thank you very much. 23 **A**: Sure. 24 We've heard some conflicting testimony from Julia St. 25 James and from Dan Rogers and we thought you might be

able to shed some light on the differing points of view on some of these issues, and that's the reason you're here. On the issue of automated telephone calls, Julia St. James testified that she specifically believed she was going to be receiving them as a consulting service from Dan Rogers. Were you aware that Mr. Rogers was considering providing automated telephone calls to the Julia St. James campaign?

A: I don't know what he was considering doing. No.

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Did you have any conversations with Mr. Rogers about using automated telephone calls at all?

I may have, in general. I -- not necessarily for that campaign, but for mine, and I was trying to broker a deal, before I was -- even thought of running for automated phone calls from when I had run for congress. I contacted the same people that did my calls, and I tried to get a deal if I could buy, like, a hundred thousand calls and then sell them to candidates, mainly republican candidates, because the firm I worked with only sold to republican candidates, and they normally sold them for six cents. They were going to sell them to me for four for me to sell them for five to make a little money as a consultant before I decided to run for the Senate. But then I decided to run for the Senate and none of that went anywhere.

It all kind of fell through the grapevine. I may have mentioned that to Dan, that whole plan. And in talking to them, I had asked them if I had independent candidates -- I had asked that firm because that firm has a policy that they only work for republicans, if I had independent candidates that wanted to use if it would be okay, but I had never specifically even intended it to be for that campaign. I had another friend who was an independent that was running that was the one I was really planning to sell it to.

- Q: When you asked that question to the firm, did you have Julia St. James in mind at all?
- A: No. No, I didn't even know who she was. This was in January.
- Q: Were you aware that Julia St. James paid \$2,000 to Dan
 Rogers for automated telephone calls --
- 17 || A: No.

- Q: -- reportedly. Julia St. James also testified, and I don't mean to go into your personal business, and this will be very quick, that she had gone on a date with you and that Jessica Larlee had told her that this would facilitate the campaign receiving information about voters. Was that accurate?
- $24 \parallel A$: I have no idea what Jessica said to Julia?
- 25 ||Q: Did you go on a date with Ms. St. James?

BROWN & MEYERS 1-800-785-7505 A: Yeah.

- 2 | Q: Was it in return for giving the campaign voter information?
 - A: No. It was -- I was told that she wanted to meet me.

 I was -- I was told she wanted to meet me and go out,
 and (I) thought it would be a nice person to meet. I
 was going through a tough time personally at the time
 and as far as I thought, it was just a blind date.
 You know, meet someone who had similar interests, she
 was running for office.
 - Q: I just want to show you one exhibit that came out in last month's hearing. It's a web site. It's information from the republican voter vault web site. Have you ever made use of that -- of -- not -- of the voter vault web site in any of your campaigns?
- 16 A: Did I what?
- Q: Have you ever made use of the voter vault web site in any of your campaigns?
 - A: Probably, in my last Senate campaign. Yeah, I'm sure

 I went to it to pick up some phone numbers.
 - Q: I think I may have given you the wrong number of that exhibit. It's actually Number 15. I just want to clarify it for the record. Is that 15?
- 24 || A: 14.

- Q: Did you assist the St. James campaign at all in getting access to voters' names and addresses?
 - $\|A: No.$

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- 4 Q: Have you ever had Tom Mead's user name and password for the republican voter vault web site?
 - $\|A:$ No.
- 7 | Q: Do you know whether Dan Rogers ever had access to that?
- 9 | A: No.
- Q: At our last hearing, Jessica Larlee testified that two days before the hearing, on October 10th, she had received a phone call from you asking whether you could come and pick up her computers for Dan Rogers.

 Is that correct?
 - A: Dan asked me to pick up some information that was on the computer so that he could put together all the information that he, I'm assuming, gave you guys today because that was on his computer that was at Jessica's house. It was actually his daughter's computer that was at Jessica's house.
- 21 ||Q: And were you able to get those computers?
- 22 | A: Not at the time.
- Q: Did you get them later? Did you get the computers at a later time?
- 25 | A: I did.

- Q: How did you do that?
- A: Her father gave them to me.
- Q: And just to clarify your relationship with Dan Rogers, you worked on some petitions together; is that right?
- A: Yep. We worked on quite a few petition drives together.
- Q: Could you estimate how many?
- 8 A: Four or five.
- 9 Q: And is he a friend of yours?
- 10 | A: Yeah.

- Q: Okay. That actually is all of the information I
 wanted to get. We had made the inference, because of
 Julia St. James' testimony that I had at least, that
 that may have been how she got this information, and
 we really -- I felt that we needed to hear from you
 whether that was what happened.
 - If I -- and I apologize for not bringing it. I had -I checked my e-mail and I was going to bring that and
 I forgot it. I got an e-mail on October 14 of 2004
 with my -- I didn't have access to voter vault until
 that. That was when I got my name and password. I
 checked -- from Roy Leonardson, and that was only good
 for the City of Lewiston. The only other information
 as far as voter lists I have was the list I had put
 together when I ran for U.S. Congress, which Dan

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1		worked on that campaign for me and he had a better
2		list than mine and he merged what I got with what he
3		already had.
4	Q:	Thank you. I don't have any other questions.
5		MR. BIGOS: I have just a few, I guess.
6	EXAM	INATION OF MR. MENDROS BY MR. BIGOS:
7	Q:	When did you run for Congress?
8	A:	2002.
9	Q:	And were you a candidate for any political office in
10		2004?
11	A:	State Senate.
12	Q:	State Senate? And did Dan Rogers work on your
13		campaign in 2004?
14	A:	No.
15	Q:	As did he work with you as a volunteer in any
16		capacity whatsoever?
17	A:	I don't think so. I we talked but he was pretty
18		busy doing his Nader stuff and working on a bunch of
19		other campaigns. He may have looked over a brochure
20		that I had, you know, when I ran into him but he
21		didn't do anything, even as an official volunteer, you
22		know, status.
23	Q:	And do you know what campaigns or projects he was
24		working on in 2004?

1	A:	I know he spent three weeks trying to keep Ralph Nader
2		on the ballot. That kept him pretty busy. I know he
3		was working on Sarah Trundy's campaign because I
4		notarized her petitions. I know he was recruiting
5		candidates with Jessica to run as for the Green
6		Party. Obviously, I know he worked on Julia's
7		campaign.
8	Q:	Anything else?
9	A:	That he worked on?
10	Q:	Yes.
11	A:	I suppose if I really thought, I might be able to come
12		up with something else, but that's pretty much all I
13		can think of.
14	Q:	And have you had a chance have you discussed this
15		matter, this investigation, with Dan since September
16		of this year?
17	A:	Yeah.
18	Q:	Okay. And do you strike that. I don't have any
19		further questions.
20		CHAIR: Okay, all set. Mr. Mendros, thank you
21		very much for coming in. I'm sorry you had to wait so
22		long.
23		MR. MENDROS: I coached
24		CHAIR: You've seen government in action before,

right?

1 MR. MENDROS: I coach elementary kids basketball 2 and they're not going to be very happy. But I'm glad 3 I could help. Thank you very much. CHAIR: 5 MR. WAYNE: We'd like to bring Julia St. James as 6 a witness. 7 CHAIR: Okay. Ms. St. James? Swear her in 8 again? Yep. Do you swear or affirm to tell the whole 9 -- truth, the whole truth and nothing but the truth, 10 so help you God? 11 MS. ST. JAMES: I swear to tell the truth. 12 CHAIR: Thank you. 13 TESTIMONY OF JULIA ST. JAMES, WHO WAS CALLED AS A 14 WITNESS, HAVING FIRST BEEN DULY SWORN, WAS EXAMINED 15 AND TESTIFIED AS FOLLOWS: 16 EXAMINATION OF MS. ST. JAMES BY (MS. GARDINER): 17 Q: Thank you, Ms. St. James, for coming back and having 18 to wait some period of time here before you got a 19 chance to testify. We're switching gears here and I'm 20 going to start off asking you some questions. 21 Mr. Wayne may have a few follow ups as well. You've 22 obviously been here during the time that Dan Rogers 23 has been testifying, correct?

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A:

Correct.

- Q: I wanted to give you a chance to respond to some of
 the information that he provided the Commission.

 First of all, one of the things that he spoke about
 was a postcard mailing that was done district-wide for
 your senate district, and I'm wondering if you can
 recall that mailing and if you have -- well, just
 first if you can recall that mailing.
 - A: That mailing did not happen.
 - Q: And how do you know that it did not happen?
- 10 A: I never saw it, we never talked about it. We were in
 11 constant communication and it had happened, it
 12 wouldn't have been targeted towards Jay.
- Q: Well, let me separate out. He mentioned two separate things. There was a literature drop --
- 15 ||A|: That never happened.
- 16 ||Q: -- in Jay.
- 17 || A: That did not happen.
- 18 Q: Okay. Did you ever see a piece --
- 19 | A: Like this?
- 20 $\|Q: --$ excuse me, let me just ask -- finish the question.
- 21 | A: Sorry.
- 22 Q: I know you're anxious to tell us things but it would
 23 be a clearer record if I can finish the question.
- $^{24} \parallel A$: Right.

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1	Q:	He described an eight-and-a-half by eleven sheet,
2		printed front and back, that was made to look kind of
3		like the legislative update that senators who are in
4		office send to their districts, and indicated that
5		that was prepared not for a mailing but as a
6		literature drop, and that that's what was dropped in
7		Jay. Did you ever see that piece in finished form?
8	A:	I didn't see it because it never happened.
9	Q:	Did you ever were you ever aware of any workers for
10		your campaign, volunteer or otherwise, taking
11		literature to the town of Jay?
12	A:	Only when I took them there personally.
13	Q:	Which was
14	A:	At the very end
15	Q:	just before the election?
16	A:	yes.
17	Q:	Separate from that, he described a four-and-a-half by
18		six-inch postcard that he says he mailed to 16,000
19		district-wide to your whole district.
20	A:	Didn't happen. It didn't exist.
21	Q:	Didn't? You never saw that postcard?
22	A:	It didn't happen, it didn't exist. There would have

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there's none of it. That did not happen.

been printing and there would have been (postage) and

- Q: And he indicated that there was no separate bill for printing or postage because he did that as a vendor himself, so that you paid him -- I believe he testified the \$3,000 check and the \$2,000 check combined to have him design, print and mail that postcard.
- A: That's false.

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- Q: Okay. And how -- so are you confident that you saw all of the literature that was printed for your campaign?
- 11 | A: Yes, I am.
- Q: He spoke about the -- what he referred to as a push card or a --
- 14 | A: Palm card.
- Q: -- palm card, thank you. I was forgetting the term.

 And we have, I believe, as an exhibit -- he described having printing up, again on his printer,

 approximately 1,500 of these.
- 19 | A: Four hundred pages with four each, he said.
- Q: Thank you. No, that was -- yeah. That's right,

 1,600, he said with wastage it might have been 1,500.
- 22 | A: That's right.
- Q: Let me show you, which you probably couldn't see from the back of the room, what was marked as St. James

1		Exhibit 26, which I believe he described as a template
2		of that push card or palm card.
3	A:	Do you have one of the originals?
4	Q:	Well, we have what he provided us
5	A:	I provided you with the originals as well, on both
6		sides, but you don't have to get it. I already know
7		what it says.
8	Q:	Well, it may be helpful for the Commission
9	A:	Okay.
10	Q:	Do these documents, the one in front of you, 26, and
11		this one Exhibit 27
12	A:	Yeah, they would be roughly
13	Q:	are those
14	A:	Yes.
15	Q:	copies of the same
16	A:	Yes.
17	Q:	document?
18	A:	However
19	Q:	So this is what
20		MR. WAYNE: Mark it?
21		MS. (GARDINER): Just put an exhibit on this one.
22		MR. WAYNE: Yeah, sure.
23		(Inaudible comments regarding exhibit.)
24	Q:	What I'm handing you now, it's been labeled Exhibit
25		29 is a document on hard on the thick paper stock

and I think that's the document you provided to

Mr. Wayne at the --

A: No, I think I provided an actual two-sided trimmed copy of the palm card because I only had, like, one left.

Q: Is what you're holding in your hand, Exhibit 29 -it's not trimmed because it's on an eight-and-a-half
by eleven sheet --

A: Right.

Α:

 $\|Q$: -- but is it the text of the same card?

11 A: As far as I can remember, yes.

Q: And is the --

A: The picture --

Q: -- the picture was on the front of it?

llA: Yes.

 $\|Q$: Okay, and did you use that, taking it door to door?

As far as -- okay, first of all, as far as printing them, it was not printed on his computer. We bought a Lexmark computer with campaign funds which was roughly \$117 at Wal-Mart. He insisted on that because he had ink that he could refill it, and the ink is more expensive than the printer, pretty much. So we bought one of those and he printed nowhere near 400 sheets and I cut them apart and I used every one. The printer broke. We bought another one of the same

BROWN & MEYERS 1-800-785-7505 cheap brand and very little of that was ever used and what it was used for was mostly for faxing. As far as not doing doors, I deeply resent that. I did a lot of doors and I worked very hard and I used every single palm card and even my mom, my aunt, got one and I don't even have one left. So there was no 1,600 printed, they weren't printed on his machine, I used every one of them and needed more and couldn't get them.

- Q: Do you recall -- did you make a specific request to him to produce more?
- A: Repeatedly.

- Q: And if you had the printer -- let me -- strike that.

 Where was the printer --
 - A: Well, okay, it was in my house but I don't know how to use that. I admit that. That's not what I was running for. I wasn't running for computer tech.
 - Q: No implications to the contrary. Did you make a specific request for him to set up your printer so you could run more of them?
 - A: No. I requested him to set it up so he could run them because that's what I was paying him for.
- Q: Okay. And when do you recall requesting him to do that?
- $25 \parallel A$: On a daily basis, all summer.

BROWN & MEYERS 1-800-785-7505 Q: And what was his response?

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- A: Could be any number of things with Dan. He's always got a response for something.
 - Q: Do you recall any particular response that he gave you on any occasion?
 - A: Oh, I'll get to it. I'm out of ink. We have to get the new printer. Whatever, it was always something.

 The same reason why that paper only came out at the very end, and I --
 - Q: The newsletter?
 - I complained and complained and demanded and argued about it. There was no excuse for it being printed that late, and he did not -- he did not pick the things up on Saturday. My ex-husband picked them up on Saturday. It was not two carloads. It was an enormous amount of newspapers. And we went to Jessica's house. It was completely -- I mean, if that's an idea of a mailroom, I'd hate to see a bathroom. It was the filthiest place I've ever seen. There was no place to work, there was no work being done, and Dan and Jessica were not around at all. I took what was left and took it to my house, where I could at least get some live bodies to stick the things, the papers, the stamps and the labels on. There was no place to work at Jessica's house.

1 Do you recall Mr. Rogers providing to you early in the 0: 2 campaign a voter list for your district that you could 3 use for walking door to door? 4 Α: No, and the whole problem, even if that were true --5 my computer was broke at the very end of the campaign 6 and that's why we had to go get a new laptop, because 7 that laptop was totally dead. So if it was on there, 8 it was inaccessible, and I never had such mailing list 9 to begin with. 10 So how did -- what did you use when you were going 11 door to door? 12 I walked door to door and drove from house to house. 13 Went to people that I thought might like me, people 14 who looked like they might support decriminalization. And I went and I drove and I talked to people. 15 16 You had no list at all to work from? 17 It wouldn't have done me any good anyway. 18 Well, I'm just asking if you had one, not if --19 Α: No, I did not. I'm sorry. 20 0: Do you recall discussions with Dan Rogers about Okay. 21 doing a mailing, another mailing that he referred to today as a hit piece, something that would be very 22 23 strong, I guess, attack piece?

Jessica was making some cartoon about Bruce

Bryant and OUI and all of that stuff, and every nasty

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thing that they could think of. And I didn't feel really good about that, but I didn't feel good about the phony phone calls, either, and I was told that that's the way it's done. And I thought that's the way it's done if you're annoying, but okay. I was glad that the hit piece didn't go. I paid for the phone calls that didn't happen. That's what the \$2,000 check is for.

- Q: Now, Mr. Rogers indicated that he may have discussed the possibility of doing phone calls as one of many possibilities early in the campaign but that there was no plan to do phone calls.
- A: Well, that's amazing because I happen to have a recorded phone message from him saying that it's in the can, and I'm like how can that possibly be when I haven't recorded anything. So why would I write him a check for \$2,000 and why would he say that it was in the can, his words.
- Q: Do you have the date of that phone message?
- ||A: No, I don't.
- Q: Do you have a recollection of when you received that phone message?
- \parallel A: Let's see. My guess would be August or September.

Q: So you're saying that when -- the decision was made not to mail the hit piece, so called, the cartoon at some point during the summer? Do you know (when)?

A: I wouldn't even call it a decision. More like it just petered out, like a lot of their ideas, and never really --

Q: So you don't recall -- there was no discussion that you can recall where a conclusion was reached let's drop this idea?

A: No.

Α:

Q: Okay. And was there discussion about doing a postcard mailing to the district?

No, and the funny thing is that the timeframe that Dan mentioned doing that, he tied that in with he nail files, sending it to women. Well, the nail files only happened when I went out and had the signs printed, which is a -- way down the line from the time period that that mailing was allegedly supposed to have gone out. So that doesn't make any sense. It also doesn't make any sense to me -- if Sarah Trundy wasn't going to do anything on her campaign and I was how come I had to go and do all of the ordering of the signs, the magnets, the pens, whatever? What were they getting paid for? I mean, I paid them a lot more than Sarah did and I got nothing for it.

- 1 Did you ever do a mailing that involved using those --0: 2 the emery boards or the nail files? 3 I took them around in hair salons myself and gave Α: 4 them out -- gave them to Ralph Nader, even. Everybody 5 needs nail files. 6 Did you -- did you have a discussion with Dan Rogers 7 about doing a mailing to democratic women with that 8 nail file included? 9 A: Yes. 10 And can you tell us about that discussion? 11 Α: We had the discussion. Nothing happened. 12 Q: Well, was the discussion yes, we'll do it, no, we 13 won't, who would do it? 14 **A**: The discussion was this is a great idea. Way to go 15 ordering the nail files, Julia. We should mail these. 16 Did you follow up and ask if he was going to produce 17 that mailing? 18 Α: I followed up on that and every other thing that he 19 was supposed to produce? 20 0: And how did you follow up?
- 21 A: Dan, what's up with the mailing? What's up with the

nail files? What's up with the newspaper? What's up with the series of three newspapers? What's up with more palm cards? What's up with the signs?

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Q: How frequently did -- during your campaign, if you can go back to the beginning of your campaign, how frequently was Dan Rogers actually coming to your house?

He practically lived there. He and Jessica and Jessica's daughter practically lived there all summer, and I was only when Jessica and I had a bit of a problem, or one of us did, that that stopped. to the point where I would lock my house and they would come in the window. They would take my Jeep. would never know who was going to be sleeping at my There was never a time when I was not house. available for communication. Apparently, according to Dan's dates, I wasn't available for communication the day we got the first check, although somehow we managed to take it to the bank. I wasn't available for communication all these other times, but everyone else had no trouble communicating with me. The truth is Dan is the one that disappeared, and he does that often.

Q: I believe you testified in the previous hearing that the falling out that you had with Jessica was maybe at the end of July or early August. Is that -- do I recall that correctly?

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- A: That's probably about right. Could be a little later.

 Something around there, I don't know.
- Q: But that would be the end point of the time when they were coming frequently and staying at your house?
- A: No. Dan kept coming, and he asked me to not have

 Jessica there because it was painful for him because
 they were in a relationship, and I couldn't have her
 around, and then we kind of talked and worked things
 out and she continued to work on the campaign a little
 bit towards the end, and then they both disappeared.
- Q: You paid Dan Rogers, the campaign did, \$100 on two separate occasions for travel reimbursement. Was that for travel for him to get from Auburn to your house?

 Is that your understanding?
- $\|A$: Of course not. Of course not.
- 16 | 0: If not, what --
 - A: The first hundred dollars I paid him was the hundred dollars when we cashed the check, because he was broke and needed some money. After that, a hundred dollars here and there. If he said he needed a hundred dollars, I gave him a check for a hundred dollars.
 - Q: Well, there are two listings on your campaign finance reports.
- 24 | A: Okay.

- Q: One hundred dollar payments to him that I believe are listed as travel, but I'm --
- A: Well, why would they be exactly one hundred dollars?

 Just a question that strikes me. I don't know about your gas tank, but mine doesn't run that way.
- Q: Do you -- do you believe that you paid him any money for travel expenses?
- A: I don't know what he used the money for.
- Q: Well, if I could show you one of your campaign finance reports that's labeled as St. James Exhibit 4B, and it's the amended 42-day post-primary report that was amended and (inaudible), and there's a listing here of a hundred dollars to Dan, and then in the far right column, under remarks, it says travel expense.
- A: Well, I didn't fill out, Rob did, and I'm certain that he did it and asked Dan what to put in the -- as remarks. I had absolutely nothing to do with filing these reports.
- Q: Well, according to Mr. Campbell, the information that he had from which to prepare these reports came largely from you, whatever paperwork you gave him or clarification you gave him as to the purpose.
- A: Well, that would be -- consist of me saying here, do this.

Q: Bear with me just a moment. He indicated, I believe, earlier today that he came back and forth to your house maybe four days a week in the first month or so of the campaign and the later period, September, October, possibly part of August, I'm not totally clear, it was more like once a week. Does that sound accurate to you?

A: No.

Q: How would you describe --

A: I would say that --

 $\|Q: --$ the frequency.

A: -- early on, it was five days a week, sometimes seven days a week. It was all the time, and certainly not driving back and forth. There were overnights, weekends, kids, everybody everywhere, and as he got more involved towards the end with the Nader campaign, he just would disappear. Couldn't get a hold of him, couldn't get anything done.

Q: Let me show you what was marked this morning as

St. James Exhibit 25, invoices that Mr. Rogers

prepared, and he testified that these same invoices,

with somewhat less information on them, were provided

to you during the campaign, that he would hand an

invoice to you at some point after he provided a

1		particular service. Did you see do you recall
2		seeing any such invoices from him before?
3	A:	Of course not. If anyone tried to hand me an invoice,
4		I would run away. I would not accept this. I would
5		not take responsibility for this. I do not do
6		paperwork.
7	Q:	Why would you not accept an invoice well, let me
8		strike that. I believe you provided to the Commission
9		and invoice invoices from Rob Campbell for work
10		that he did preparing campaign finance reports and
11		putting up signs, and I believe you also provided to
12		the Commission an invoice from John Crowhurst.
13	A:	Uh-huh.
14	Q:	And I assume those invoices were presented to you by
15		those individuals, correct?
16	A:	Well, more or less, yeah, after you guys forced me to
17		have to deal with it, but I just told Rob to do it.
18	Q:	Did you tell him to create invoices?
19	A:	No. I told him to just do what was supposed to be
20		done, and he's a paperwork guy.
21	Q:	Would he have created the invoice from John Crowhurst?
22		Is that what you're suggesting?
23	A:	Oh, no. John e-mailed it.
24	Q:	Okay. So you accepted that invoice? If you were
25		if you hired strike that. I believe there's also

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1		an invoice that's been made an exhibit from Sun
2		Journal for the printing of the newsletters.
3	A:	Uh-huh.
4	Q:	You would have accepted that invoice, correct?
5	A:	I've never seen it. I've never touched it.
6	Q:	Well, we discussed it at the last
7	A:	I'm sure if
8	Q:	hearing and
9	A:	If you have it, I'm sure it's correct and I'm sure I
10		got someone else to take care of it for me.
11	Q:	Well, what does taking care of an invoice mean to you,
12		other than paying for the service described in the
13		invoice? What do you mean by take care of the
14		invoice?
15	A:	Whatever needs to be done so I don't have to do it.
16	Q:	Well
17	A:	What I'm not sure I understand your question.
18	Q:	Well, I'm trying to understand what you're telling us,
19		and I'll try to be clear with my questions. An
20		invoice is typically considered to be a bill. Someone
21		presents the invoice and expects payment for the
22		service described in the invoice. Newsletters went to
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Do they usually do that --

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- Q: I'm sorry. Newsletters went to the Sun Journal, they printed them, they presented your campaign with an invoice.
 - A: Okay, hold on. Can I just ask you do they usually do that before they get paid?
 - Q: Generally, but I'm sure it's not unheard of for people to provide an invoice if -- if someone is going to pay them, is willing to pay them without having an invoice, then the payment can be made without the invoice.
- 11 || A: Well --

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- Q: Did you ever have an invoice from Mr. Rogers before you wrote a check to him?
- 14 || A: No, never.
- Okay. Did you ever receive an invoice from Mr. Rogers
 even after you wrote a check to him?
- 17 | A: Just these today.
- Q: When did you first see these today that are labeled Exhibit 25?
- $20 \mid A$: When I got here today.
- 21 || O: Okay.
- A: If there's something else that looks -- I definitely
 have not see these. If there are any other invoices
 or paperwork that I've already given you, then that's
 a different story. I've never seen this before today.

- Q: Did you receive -- strike that. In the -- I believe it was July of 2005, this year, earlier this summer, you received a letter from the Commission Director,

 Mr. Wayne, asking questions about your campaign and asking for documentation, do you recall that you then communicated with Jessica Larlee and with Dan Rogers and asked them to produce documentation?
 - A: No. I think I told Rob to do it.
- Q: Do you remember that a letter was sent to Mr. Rogers and Ms. Larlee asking them to produce documentation?
 - A: I'm pretty sure it was, yes.
- Q: Okay. And do you recall receiving an e-mail from

 Mr. Rogers in response to that, given an invoice for

 his services?
- 15 | A: No, I don't.
- Q: Have you done anything to check for any e-mails you might have received on your computer this year from Mr. Rogers?
- 19 $\|A:$ Oh, yeah. I check my e-mail every day.
- Q: Have you received any e-mail communications from
 Mr. Rogers this calendar year, 2005?
- A: I think I may have received a very short one. I don't remember what it was. It was very innocuous and nothing of any real information.

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- 0: Do you recall receiving any response from Mr. Rogers as -- in follow up to the Commission's inquiry about your campaign?
- Α: He phoned me twice. Yes.

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- 5 0: And did you speak to him by phone?
- 6 I spoke to him one evening and I was just asleep, and he was hiding, and he gave me his cell phone number 8 and told me that we needed to talk to figure out how to deal with this, and I decided I didn't want to talk 10 to him.
 - And that was at what point this year?
 - Oh, probably -- oh, right before the last time we were here.
 - 0: Okay. Just before the October 12th hearing, but not at the time that you were answering the Commission's initial inquiries and having correspondence with Mr. Wayne last summer?
 - Α: No. I had spoken to Jessica a few times but she was typically too busy to come to the phone and was busy standing around in the yard or something, according to her daughter, and I couldn't get any answers from her. I ran into Dan at the closing ceremony of Hebron Academy last spring and he ducked out before I could speak to him, and then by the time all of this started happening, then I started getting phone calls from

1		Jessica, and by then I was already speaking to
2		Jonathan and I I said no. I'm just going to just -
3		- not.
4	Q:	So your testimony today is that you've never received,
5		either by e-mail or in paper form, any invoices from
6		Dan Rogers relating to your campaign?
7	A:	Yeah. That's what I'm saying.
8	Q:	You mentioned in your testimony at the prior hearing
9		that you have a greenhouse a business, correct?
10	A:	Yes.
11	Q:	Part-time business?
12	A:	Yes.
13	Q:	And that you were, indeed I think there was an
14		article in this newsletter that was produced for the
15		campaign that talked about your greenhouse?
16	A:	Yes.
17	Q:	You also mentioned, I think, that you were considering
18		opening a flower shop?
19	A:	Yes.
20	Q:	And that was in the sometime in the spring of 2004,
21		before you decided to run for office; is that correct?
22	A:	No, that's not correct.
23	Q:	When?
24	A:	Well, I've always had it in the back of my mind since
25		I owned one before, and I thought about opening a

- frame shop, too, but between the different things in
 my personal life and this, there just is not enough
 time and energy, or money.
 - Q: Well, my recollection is that you indicated you were seriously considering opening a flower shop, and then made a decision not to around the same time that you were --
- 8 | A: Yeah.

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- 9 Q: -- deciding to run.
- 10 A: I'm seriously considering opening a frame shop right
 11 now, too, but I'm not doing it.
- Q: But you found a possible location for the shop in (Wilton), didn't you?
- 14 || A: Uh-huh.
- Q: And -- a building owned by your neighbors, Nicky and Gerry Skinder?
- 17 | A: That's right.
- 18 ||Q: They live near you in Canton or Hartford?
- 19 | A: Is what?
- 20 | Q: The Skinders live near you in --
- 21 | A: No, they live in Massachusetts.
- 22 | Q: Right, but they have a second home near you, correct?
- 23 | A: Yeah, yeah.
- 24 | 0: In Hartford or Canton?
- 25 | A: Hartford.

- Q: And they're -- they own a building in Wilton that's in sort of a small mall, correct?
 - $\|A$: No. It's an old grange hall that they've redone.
- $4 \parallel Q$: And there's a storefront?
- 5 | A: Yes.

- Q: Do you recall that you paid them approximately \$600 in cash to rent that storefront?
- 8 | A: Yes.
- 9 \mathbb{Q} : And that was in the spring of 2004?
- 10 ||A: I don't think it was the spring.
- 11 ||Q: When do you think it was?
- 12 | A: Well, I don't know. Do you have a date?
- 13 Q: They indicated to the Commission staff that they recalled it bring in the spring of 2004.
- 15 | A: Well, that --
- 16 0: -- before the summer is --
- A: I don't think that's right. I don't think that's right. I'd have to --
- 19 ||Q: Why don't you think that's correct?
- A: Well, it doesn't make sense. It doesn't make sense

 because I obviously wasn't opening a flower shop while

 I was still under criminal charges, and the whole

 point was that because they were friends, they were

 giving me a really good deal on the rent and I could

 use it as a place for all my campaign stuff because my

house is very small, and even though it's technically out of the district, it's right on a very busy road leading into North Jay. So it was a great place to have my signs up in the windows, being able to store all the signs, the newspaper, and have a place to work on things, and all of that, and if it went that way, I would have the option of maybe doing a flower shop.

- that you had mentioned to him your desire or plan to open up a flower shop after your arrest on the marijuana charges, and he had advised you given the fact that you had that to deal with, he recommended against you opening a flower shop. Do you recall that?
- A: Okay. What is the question?
- Q: Do you recall -- I'm just --
 - A: Do I recall Rob being negative about anything and everything that I ever try to do? Yeah, I pretty much remember that.
 - Q: You mentioned a moment ago that you don't think you could have been thinking about opening a flower shop after you had been arrested on marijuana charges.
 - A: Oh, no, I would do it after that. I just would have not done it right then because I was still really

1		busy. No, if anything, that would probably help
2		business.
3	Q:	Well, that was the exact time when he recalls you
4	~	discussing with him your plans to open a flower shop,
5		and why he advised you not to do it, and that would
6		place it in the spring of 2004. That's what I'm
7		asking.
8	A:	Yeah, that doesn't sound right. I don't care what Rob
9		says in any any category.
10	Q:	Do you have do you consider the Skinders to be
11		have you known the Skinders for a long time?
12	A:	Yeah.
13	Q:	Do you consider them to be friends?
14	A:	Yeah.
15	Q:	Do you know any reason that they would be inaccurate
16		in their description to the Ethics Commission staff
17		about when you rented the space?
18	A:	Mistake, maybe. I mean, I really don't remember what
19		date it was.
20	Q:	Do you remember paying them \$600 in cash to secure the
21		as rent to secure the (inaudible)?
22	A:	I remember paying. I don't remember exactly how much.
23		I know I paid it to the guy who's their caretaker.
24		When it was, I don't know. It was sometime during

1 that time. It was -- I don't know, maybe it was spring, maybe it was summer. It wasn't very hot. 2 3 They have a clear recollection it was \$600 and that 0: 4 you were renting it as a flower shop. 5 Α: Yep. 6 0: Do you have any reason to question their recollection? 7 Well, there weren't any flowers. There wasn't any 8 sign. There wasn't any business, and it had all my 9 campaign stuff in it, including signs in the windows. 10 But you didn't have any signs printed until September 11 2004. 12 Yeah, I know. That's why it doesn't make sense to me 13 for that to have been in the spring because I had 14 signs in every window. I mean, it took a while to 15 clean the place up and move my stuff in. 16 So when do you content that you moved your stuff into 17 this space? 18 I don't contend. I don't know. 19 0: You know when you didn't do it but you don't recall 20 when you did? 21 No, I'm just kind of guessing. It seems like spring 22 is a bit early, considering that -- you know, getting 23 everything going, and getting the signatures to get on

the ballot and the five dollar contributions took a

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fair amount of time. If you have a date, you may be right.

The Skinders did not recall that you paid them any other rent after the initial \$600 in cash, and that

they gave you a key to the storefront.

 $6 \parallel A: Uh-huh.$

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- $7 \parallel Q$: Is that incorrect?
- 8 A: I think I paid another, but I could be wrong about
 9 that, too. I think I had three months but if you have
 10 better information than I do, that's fine.
- 11 ||Q: Did they give you a key?
- 12 | A: Of course.
- 13 | Q: And do you still have it?
- 14 || A: No.
- 15 | Q: Do you know when you returned it?
- 16 || A: After the election.
- Q: You were the only person authorized to write checks on your campaign account, right?
- 19 | A: Yes.

- Q: And when you -- on a number of checks -- I'm going to show you, so you can have it in front of you, Exhibit 8, which are the copies of the bank statements. (It should) also have copies of the cancelled check. I think you may recall looking at that exhibit before.
 - A: Probably not. Okay, and what is the question?

1	Q:	The a lot of the checks that are included in that
2		package, that are all written by you, correct?
3	A:	Uh-huh.
4	Q:	Many of them include a notation in the lower left
5		corner in your handwriting; is that correct?
6	A:	Uh-huh. Yep.
7	Q:	And those appear to be notations including the purpose
8		for writing the check.
9	A:	Yep.
10	Q:	Is that correct?
11	A:	Yep.
12	Q:	Okay. And presumably, you'd be writing down the
13		you're the one making the decision to spend the money,
14		you're the one writing the check. You would
15		presumably write down in that left corner if you
16		wrote anything, you would write down what you believed
17		you were spending that money for at that time?
18	A:	Well, I guess that kind of depends. If it's to Dan
19		Rogers' consulting fee, then it's Dan, what am I
20		supposed to write this for.
21	Q:	But you understood that you were hiring him to be the
22		consultant for your campaign?

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Yes, I did.

- Q: If you would turn to the sixth page of that exhibit,
 please. They're not numbered, so the only way I could
 do it was the count them.
- $4 \parallel A$: Okay. Yep.
- Q: At the top, in the middle of the page, there's a check dated August 23, 2004.
 - A: Uh-huh. Yep.
- 8 ||Q: Made out to cash for \$300?
- 9 | A: Yes.

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- Q: And the note says travel expense in the -- or travel

 E X P in the lower left column -- corner?
- 12 | A: Uh-huh.
- 13 Q: I guess I would assume -- does that mean reimbursement
 14 for gas or mileage for travel?
- 15 A: That's what I would guess, too, but, you know, again,
 16 \$300 is an even number. So I would guess it's
 17 probably a bunch of stuff.
 - Q: Well, why would you write just travel expense if it was for a bunch of stuff and not just travel expense?
- 20 A: Well, I don't know. Maybe travel expense made more sense.
- Q: Could you make any effort to put an accurate notation on the check when you were -- you were the --
- A: Well, I could have but I've got to tell you, this is the first time I've used a checkbook in 20 years. I

don't write checks. I'm not good at this. I don't balance them. I don't do checks. So yeah, I probably could do it better if I knew how and had any practice.

Well, how -- if you're going to write any note in the

lower left corner of the check when you're writing the check, is there any reason you would write a note that expressed something other than the purpose for which you were writing the check?

A: Well, no.

Q: If you were writing it for something else, is there any reason you would write travel expense?

A: No, of course not.

Q: Okay. Is it fair for us to assume, when we see notes on your checks, that those notes reflect what you thought you were writing the check for?

||A: Yeah.

Q: Okay. Well, if you'd flip two pages beyond that to the eighth page of the exhibit --

|A: Yep --

Q: I'm sorry, to the -- I think I mean the tenth page of the exhibit. There's a check at the top of the page, in the middle, that's dated November 18th. They're in chronological order, so that may help. Do you see that one?

 $\|A:$ No.

- 1 | Q: November 18th at the top -- I'm sorry, October 18th.
- $2 \parallel A$: Oh, okay. Yes.
- $3 \parallel Q$: 10/18, and it's made out to cash in the amount of
- 4 | \$600, correct?
- 5 | A: Uh-huh.
- 6 ||Q: And the note in the lower left corner says lit drop
- 7 | labor?
- 8 | A: Yep.
- $9 \parallel Q$: Do you know what -- and I assume lit is short for
- 10 | literature drop?
- 11 | A: That's what I'm assuming.
- 12 | Q: What literature drop labor would you have been paying
- 13 || for?
- 14 \parallel A: I have no idea. I don't even know what a lit drop is.
- 15 | Q: You seemed to know about it when we spoke a few
- minutes earlier, because you said it didn't happen in
- 17 | (Jay).
- 18 A: Well, I don't know what it is but I know that that
- thing wasn't printed, and presumably a literature drop
- 20 means you're dropping literature somewhere. Why --
- 21 ||Q: That's (inaudible) --
- 22 | A: Yeah. So obviously, Dan told me 600 bucks, write lit
- 23 drop labor.
- $24 \parallel Q$: Okay. So you think you put that down because he would
- 25 have told you to put that down?

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- A: Well, I wouldn't have known to make it up myself.
- Q: Okay. I would like to give you what's been marked as

 St. James Exhibit 7. The second, third and fourth

 pages are excerpts from your campaign finance reports.

 They're the typed spreadsheets that Rob Campbell

 (inaudible). Did he file reports for you?
 - ||A: Yes.

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- Q: Okay. If you would look at the third page of that
 exhibit, which is, I believe, but check me because
 you've got the original in your hand, the six-day pre
 general report --
- 12 | A: Uh-huh.
 - Q: -- for the campaign, it was filed in October, and on that page, about halfway down, there's an August 23rd entry for cash, \$300. Do you see that line?
- 16 | A: Yes.
 - Q: Okay. There's nothing in the remarks column on the right but it's listed under -- the \$300 is listed under the category of general operations, fundraising and travel.
 - A: Well, is it on these?
- 22 | Q: Just bear with me for a moment.
- 23 | A: Sorry.

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- Q: I just want you to look at Exhibit 7 at the moment.

 So you see the \$300 check there and it's listed under general operations, fundraising and travel?
- A: Yes, I see that.
- Q: And the \$300 check that you looked at in the bank account was the one that said travel expense?
 - A: Okay.

- Q: On August 23rd. If you flip the page in Exhibit 7 to the next campaign finance report sheet, which is the amended six-day pre-general --
- 11 | A: Okay.
 - Q: -- it's got the same expenses listed in the left-hand column, so you'll see the same check for \$300 cash.
- 14 | A: Uh-huh.
 - Q: And over in the right-hand column, and this report was filed on December 14, it says first month rent campaign headquarters.
 - A: So that doesn't seem like spring to me.
 - Q: But why -- no, you're correct. It's not spring. It says that for the 23rd of August. What I'm trying to understand is why you would write a check on the 23rd of August and write travel expense, file a campaign finance report in October that categorizes it under travel, have a campaign finance report in December that still categorizes it under travel or general

operations, and then puts the comment first month's rent.

- A: Okay. But the question of why I would do it doesn't work because I didn't do it. Why Rob would do it is anybody's guess. I've never been able to figure it out. Who knows? You'd have to ask him that.
- Q: We did ask Mr. Campbell how he prepared the reports, and he testified for us on October 12th that he prepared them from the information that he got from you and that if he didn't have a receipt, he would ask you what the purpose of the expense was.
- A: And he's asking me in December what the purpose of a check from some other time was?
- Q: He's probably asking you in October, which was when he first did reports for you.
- $\|A$: Well, I probably wasn't listening then, either.
 - Q: Let me turn you to the last page of Exhibit 7, I believe the one you have in your hand, which is the 42-day post-general report also filed in December, and you'll see a check for -- let me make sure I've got the right reference, the right page for you. Yes, the second line on that page, the 18th of October, cash, \$600.
- A: Uh-huh.

- Q: That would be the same check that we were looking at in the bank records, October 18th, \$300 -- \$600, under general operations, fundraising and travel, and in the far right column, October, November rent, campaign headquarters.
- A: August, September, October makes a lot more sense than spring to me.
- Q: What I'm having trouble understanding is if you're going to put on the report in December that this was for rent of the campaign headquarters, but yet when you wrote the \$600 check on the 18th of October, you wrote lit drop labor.
- A: Who knows? I mean, you're asking me this like I even know. I don't know.
- Q: I guess what presents a challenge to the Commission, then, is at this point in time, you were the treasurer of your campaign.
- ||A: No, I wasn't.

- Q: Well, I believe you signed the campaign finance reports, and I'll show you the four that we've been discussing, Exhibits 4B, 5A, 5B and 6, campaign finance reports. Tell me whether your signature is at the bottom (in two locations).
- A: Well, my signature is on the bottom and it's clearly not what is on the rest of this.

1	Q:	No, I didn't say that you prepared the report. I just
2		asked if that is not your signature on the line for
3	A:	That is my signature.
4	Q:	candidate, and also on the line for treasurer,
5		correct?
6	A:	Well, somebody tricked me on that one because that's
7		not right.
8	Q:	Is that your signature?
9	A:	It's my signature.
10	Q:	And the printed text under the line for your signature
11		says
12	A:	It says treasurer's signature. Well, let me just
13		point out this was prepared by someone who is really
14		good at paperwork and has tricked me on numerous,
15		numerous occasions and that's the least of it.
16	Q:	You asked Mr. Campbell to help you with these reports
17		because Jessica Larlee was no longer serving as your
18		treasurer, correct?
19	A:	Correct.
20	Q:	And he told you that he would not he would prepare
21		the reports but he would not actually serve as
22		treasurer because he didn't feel he had enough
23		involvement or knowledge of campaign expenditures to -

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- (inaudible) --

- A: Well, that's what -- that is what he told you, correct.
- Q: So you knew he wasn't serving as treasurer and he
 wasn't signing reports as treasurer and Jessica Larlee
 wasn't, so was there somebody else you thought was
 serving as treasurer of your campaign at that point?
- A: You know, I have no opinion about this.
- Q: Okay. Well, the challenge for the Commission is that we -- the purpose of having reports is to have something that one could rely on that would actually reflect what actually happened.
- A: Uh-huh.

- Q: And now we have bank records that are inconsistent with reports. The checks were written, and the bank records reflect the notes you made at the time you wrote the check, and the reports indicate information that was added two or three or four months later, and they're in conflict. And I'm not -- if I'm understanding your testimony correctly, I'm not understanding that you're giving us any basis to believe either one.
- A: Is there a question?
- Q: My question is what can you tell us that would -- can you tell the Commission that would indicate to the Commission whether they should believe the statements

1 made in the campaign finance reports or the bank records or neither as to these two -- just take these 2 3 two expenditures we've been discussing, the 4 (inaudible) --5 The question again, so that I'm answering a 6 specific question, is what? 7 Okay. Let me give you -- restate the problem which is 0: 8 the biggest (inaudible) question. 9 **A**: No, I understand the problem. 10 0: Okay. 11 Α: I do. 12 Q: The problem, then -- the question, then, is given that 13 problem, inconsistent information on a check that you 14 wrote, that the candidate was the only person with the 15 checkbook, and a report that was done on your behalf 16 and that you signed, and the information is in 17 conflict on those two documents, which should the 18 Commission believe and why should the Commission believe one or the other. 19 20 I don't have an answer for that. Α: 21 0: Okay. Did you have any -- you were not involved in a 22 income-generating business during the same time period

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money in the greenhouse but I was trying to be.

Well, I was trying to be. I wasn't actually making any

of the campaign; is that correct?

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- Q: But you weren't actually -- the greenhouse operation wasn't contributing any funds to your living expenses at the time?
- $\|A:$ No.

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- Q: And I believe Mr. Campbell was paying certain bills related to your house, the property taxes, insurance, phone, that type of thing during that period of time, the period of time that you were campaigning; is that correct?
- 10 ||A: That is correct.
- Q: But he was not paying you anything for food or gas or clothes or any other --
- 13 | A: Not if he could help it.
- Q: And medical bills, he wouldn't have been paying that wasn't covered by insurance; is that correct?
- 16 A: We're still debating that.
- 17 ||Q|: At the time, was he contributing to that?
- 18 ||A|: I don't know.
 - Q: Do you recall Mr. Campbell returning any funds to you that were paid to him from the campaign account? Let me step back. Do you recall that he was paid -- that you wrote some checks to him for putting up signs --
- 23 | A: Uh-huh.
- Q: -- in the campaign and also for preparing these campaign finance report, correct?

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- 1 **A**: Correct. 2 And you've got the checks -- some of the checks were 3 in that bank statement that you got there? Do you 4 recall him actually returning to you some of that 5 cash? Well, that wouldn't really be necessary because he 6 7 makes a fair amount of money doing what he does --8 I'm just asking what --9 A: -- and -- excuse me? 10 I'm sorry. I'm not asking you to speculate why he 11 might have done something. I'm just asking do you 12 recall --13 Α: Okay. 14 -- him returning any cash to you? Any money that he gave to me would have been marital 15 16 assets. What he did with his money, I have never been 17 able to figure out. The little bit of money I paid 18 him is not going to make any difference to him or to 19 my life. 20 So you do not recall him returning anything that you 21
 - considered to be campaign funds?
- 22 Α: No, of course not.
- 23 0: Okay.
- 24 I've just got a few questions, if MR. WAYNE: 25 that's all right.

	EXAMINATION	\bigcirc F	MC	СТ	PAMAT.	RV	MR	MAVNE:
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- Q: Mr. Rogers testified that the three newsletters -- the second and third newsletter never developed because you didn't supply the copy for those newsletters. Is that true?
- 6 | A: No.

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- Q: Was there ever -- what was your understanding about who was going to write the second and third newsletter?
- A: They were. I would give them ideas and we would brainstorm. We did a lot of talking, lot of drinking, lot of playing guitar on the front porch but I didn't see a lot of action coming out of it.
- Q: It seems to me that the Commission has a (sharp dispute) on the issue of the 16,000 postcards that Mr. Rogers says --
- 17 | A: Now, wait a minute, 16,000? I think you mean 1,600.
- Q: Nope. The -- I'm referring to the postcard mailing that he said happened --
- $20 \parallel A$: Of 16,000 postcards?
- Q: -- 16,000 postcards, and that the text on the postcard
 was a variant on the palm card that you know about.
 - A: Where did the postage come from?
- $24 \parallel Q$: He -- I believe he testified that he paid for it.

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- A: Out of all those stamps that he has lying around from all of the campaigns he runs, and he just kicked those in and didn't charge me for it? What a guy. I have a hard time believing that. And he provided the card stock, too, did he, and the printing?
- Q: Do you have any -- there's kind of a sharp he said, she said, and I want to know whether you have any facts that occur to you to persuade the Commission members that that mailing never happened.
- A: Yeah. No checks for the stamps. No postage.
- 11 ||Q: I believe his testimony was that that was --
- ||A: Stamps that he had lying around --
- 13 || O: Yeah.

- 14 | A: -- 16,000 of them?
- Q: And that this was covered by the -- the \$3,000 payment and the \$2,000 payment that you paid --
 - A: No, that's not true. That is not true. The \$2,000 was for the mystery phone calls that didn't happen.
 - Q: His testimony was that there was some initial discussion about the telephone calls that might happen but that there was never really a firm agreement that they would happen, and I know your view is that you were expecting them, that was your testimony last time. And the Commission again may have to decide which witness to believe. Do you have any other facts

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1		or any other details that would help the Commission
2		make a decision on that issue?
3	A:	About the phone calls?
4	Q:	Yeah, and whether there was an agreement for the
5		for Mr. Rogers to supply them to you.
6	A:	Of course there was, the \$2,000 check. I said who do
7		I make it to. He said make it to me, you can't very
8		well make it to the Republican Party. He phoned me
9		up. I asked and asked and asked what's going on with
10		this. As much as I thought it was rude, I did think
11		it would probably be effective, and I said what is
12		going on. He actually said it's in the can. And I
13		said how can that be when I haven't made the
14		recording.
15	Q:	Did you say you have a phone message of him saying
16		(that message)?
17	A:	I think I have it on my old phone, yeah. I think I
18		might have a lot of interesting phone messages on my
19		old phone.
20	Q:	The Commission would be very interested in receiving
21		any that recording.
22	A:	I know you would.
23	Q:	Because that would assist the Commission in finding

I'll try and find the phone.

out whether or not, in fact, there was an agreement.

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1	Q:	Thank you. Did you overhear my discussion with Dan
2		Rogers about a possible statement that he made to you
3		about the Ethics Commission and whether it would
4		enforce the campaign the Maine Clean Election Act?
5	A:	Yeah, I did hear that.
6	Q:	Do you recall him talking to you about the Ethics
7		Commission and whether it would enforce the Maine
8		Clean Election Act?
9	A:	No, I really don't remember that, but I think I would
10		remember a conversation like that.
11	Q:	Just very quickly, Jessica Larlee's testimony was I
12		believe he, Dan, told Julia that they would not,
13		meaning the Ethics Commission would not, make any
14		gestures of enforcement because they did not want to
15		make the Clean Election thing look like it was being
16		taken advantage of in case the voters decided they
17		didn't want to do it anymore. Do you have any
18		recollection whether Dan said that to you?
19	A:	No, he didn't say that.
20	Q:	I you have no recollection or you believe he didn't
21		say that?
22	A:	I know he didn't say that.
23	lo:	I think you testified a few minutes ago that Dan

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Rogers called you at a time when he was hiding.

24

25

A:

Yeah.

- Q: Could you elaborate on that description that he was hiding?
- A: Well, yeah. The last time I was here, when we all got subpoenaed, he was hiding. I was out in front when Jessica drew the map to show you where he was hiding. He called and gave me his cell phone and wanted to get together and I didn't trust the whole thing because I know he wasn't going to do me any favors, and the code was call and hang up three times and he'll know it's me and he'll take the call.
 - Q: Did you hear my exchange with him about whether he supplied your campaign with voters -- voter information from the republican voter vault web site?
- $\|A:$ Yeah, I heard that discussion.
 - Q: We received testimony from Robert Campbell that Dan did give him the user name and the password.
- $\|A$: I was there at Rob's house when it happened.
- Q: When what happened?

A: When Dan was on the phone, Rob was on the computer,
John Crowhurst was here from England and we were over
at Rob's house, desperately trying to get the voter
list so that we could print it off, and I was there
while Dan was on the phone, back and forth, the whole
exchange, the way Rob described it, was exactly the
way it happened.

O: I'm sorry, Dan was present at Rob's house?

A: No. He was at presumably Jessica's house and Dan -Rob called him and they had computer conversations
back and forth about how to transfer the list. I was
there and saw him go on the web site, and it was going
to be a lot of money to buy the list, and so he called
back and Dan said let me call you back. And he called
back with the password and ID. I mean, I was there
and watched this.

Q: Was John Crowhurst there?

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A: Yeah, I think he was, actually. If not, he was probably at my house and he certainly spoke to Rob over the phone and -- dealing with the computer stuff.

Q: I guess my last question is very general. Dan made a lot of statements about your campaign and so forth.

Is there anything that you want to respond to other than what you've already told the Commission?

A: Well, he said a lot of things that weren't true. He said some things that were true. Some things I think he handled very well. He said a lot of things that were not true, a lot of things that impugned the character of my campaign, although not totally. I don't appreciate being lied about in the sense that I was not available or out of communication because that was never the case. I rarely leave home unless forced

to, and the only time I was out was when I was working on the campaign, and I was absolutely available all the time. They had no problem finding me when they wanted to find me, and if they wanted to communicate, they would. And towards the end, they disappeared and let the whole thing fall apart. The idea of a mailing going out at the end is, in theory, good if there had been other mailings, which were promised, but he took the printing to the Sun Journal on Friday, it was picked up late Saturday evening and the earliest we could possibly get everything done was at my house at Halloween last year, and there were about 20 people coming and going with stamps and labels and writing and on and on all night. The best we could hope for was to get them sorted by town and physically take them to the post offices of the town first thing in the morning and try and get them mailed that day, and even then a lot of them went out late. So even -even then, even with all of that work, most of it was worthless because a lot of them went out after the election. And that not withstanding, myself and a fleet of local town guys, we went out and we blanketed Jay, Rumford, Mexico, Dixfield, Canton, Hartford, Hebron, and Albany Township we went -- we went everywhere. We knocked on doors. If people weren't

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home, we put them in their doors. We put them on the outside of mailboxes, we put them on cars in parking lots. We worked hard. We worked hard right down to the wire. So I don't care to have it trivialized.

Q: Thank you. Anything else?

A: No, that's all.

EXAMINATION OF MS. ST. JAMES BY MR. BIGOS:

- Q: Did you have an agreement with Rogers that he would be paid more if matching funds were triggered?
- than fair for two people, split over the course of the campaign, and I certainly didn't expect that to include printing or whatever supplies. I thought that was for their labor and their consulting. And I absolutely always considered him to be the campaign manager and referred to him as that even to this day. Whether he filled out the paperwork or denied it or whatever, he was the campaign manager.

19 | EXAMINATION BY (MR. KETTERER):

You just commented that you thought that \$5,000 was a fair amount but it appears that, if you look at the summary that actually was -- you paid about \$11,000. You know, if you agreed to five and thought five was fair, I mean, tell us why you would pay 11?

- A: Well, let's see. Two of it was for the phone calls that didn't happen, three of it was apparently for the -- whatever mailing didn't happen. So there's five -- there's ten right there. The other thousand --
- Q: Yeah, I guess that's the question, though. If you agreed on five, why would you pay 11. You're saying well, two of it was this and three -- that doesn't tell me why you would write checks for 11,000 when you agreed to five.
- A: I didn't write a check for 11,000. I wrote a check for 5,000 and then I wrote a check for three for something that didn't happen, and I wrote a check for two for something that didn't happen.
- Q: Right, but when you add those up, it's very close to \$11,000.
- ||A: Yes.

- Q: I guess I'm -- why would you do that if the agreement was for five?
- ||A: Okay, let me try this again.
- 20 || O: Okay.
 - A: Five thousand was for their labor for the duration of the campaign. Two thousand was for mystery phone calls that didn't happen, that was very close to the end of the campaign. The 3,000 was apparently for the other thing that he claimed that he did, the 16,000

mailing that didn't happen. I had no idea that it didn't happen. I didn't know anything about. Okay, that one, maybe I should have known more about. Who knows? I don't remember what he told me when he tells me to write a check. I don't remember. I definitely remember the 2,000 for the phone calls because his exact words were you can't make it to the Republican Party, can you? So it's not like I'm just handing over money after money. I paid him what was a fair amount. I paid for a service that I didn't get, and towards the end, I didn't know I wasn't getting it until it was too late.

- Q: But during the summer, when he was either being evasive or saying well, I'll get to it later, basically putting you off, it's like, well there's plenty of time, I'll do it later, don't bother me -- that's how I took your testimony to be -- why didn't you just get a different consultant?
- A: I didn't know there were any. I've never done this before.
 - Q: You thought it was either Dan or nobody?
 - A: It didn't occur to me to change it. I figured I was already in, I wanted to see it through, and, I mean, you saw him. He's a smart guy. I believed him, I trusted him, I liked him. I still like him. I don't

trust him but I do like him still. I mean, he did do a lot of things. He did get me the speaking engagement with Ralph Nader, I did come here to Augusta with him for the Nader hearings, I did meet other political figures. He does know a lot of stuff, and when we would have brainstorming sessions, he was informed. He knows a lot about politics. So, I mean, it's not like he was just some clown out of nowhere. He's a smart guy and apparently, he has been good at politics at various times in his life. I don't feel like I got what I was supposed to, but I wasn't being stupid. I was being honest.

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And I guess the last comment or question is this. You know, throughout, and I recognize you sat here for a number of hours before you got to testify and this is the second day you've been here, and we appreciate that fact, but -- you know, when you were asked various questions, sometimes your response was either I don't do checks or I don't do paperwork, I told Rob to do it, et cetera. But I just -- from the point of view of the Commission, when we're disposing of public funds, can you see how that creates a problem for us when someone can simply come in afterwards during an investigation and say don't ask me, that is my signature but don't ask me, I don't do checks and oh,

there's a fully complete defense. I don't do either of the things you're asking me about. I was the candidate, I worked hard, I didn't get enough votes, I didn't win, but don't ask me about anything that has to do with finances or paperwork or -- can you see how that creates a problem for the Commission if we're trying to treat people fairly and look at this and see -- trace the money and see what happened to us? Can you see how that could be difficult for us?

- A: I can see that.
 - Q: Okay. Great. Thank you. No further questions.
- CHAIR: I just have a couple questions.
- 14 EXAMINATION OF MS. ST. JAMES BY COMMISSION CHAIR:
 - Q: You said you don't do checks, and so I'm just curious.

 One of the things you made it a point of telling us

 last time is that you didn't allow anybody else to

 have access to your checkbook.
 - $\|A: That's true.$
 - Q: And I'm just wondering why there wasn't someone else I mean, if you don't do checks, you wouldn't find
 somebody -- I mean, you had a husband at the time who
 was a CPA or something?
 - A: Oh, yeah, but he's crazy.

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1	Q:	Okay, or you must have known somebody who was, you
2		know, good at math or paperwork or something along
3		those lines?
4	A:	No, not really.
5	Q:	It seems odd to me that someone who's saying I haven't
6		written a check for 20 years would now suddenly decide
7		to take over a checkbook and be writing these checks
8		for something that they don't really understand.
9	A:	I know. It was really scary. I hated it. I hate
10		writing checks.
11	Q:	So why did you do it?
12	A:	Well, somebody had to and I didn't trust anyone else.
13	Q:	Okay.
14		CHAIR: Anybody else have questions? Thank you
15		very much for coming back. I know it's been a long
16		day. I appreciate your candor.
17		MS. LARLEE: I have a question. Do I have the
18		right to cross-examine my accuser?
19		CHAIR: Nope. Sorry.
20		MS. LARLEE: No? Do I have any rights? I would
21		like to ask (inaudible) and I believe (inaudible) that
22		says I have the right to cross-examine my accuser.
23		CHAIR: You have a right to ask questions when
24		it's your turn, but it's not your turn just yet.

it's your turn, but it's not your turn just yet.

1 MS. LARLEE: So she's leaving, so that means I won't get a turn to ask her a question, so relevant 2 3 information may not come out in my defense. CHAIR: Ms. St. James, could you wait for just a 5 moment while I check the rules? 6 MS. GARDINER: This is an investigative hearing. 7 It's not a hearing between two parties. 8 hearing -- an opportunity for the Commission to get 9 information. If there's anything that -- Ms. Larlee, 10 you've heard Ms. St. James or Mr. Rogers testify to 11 today that you want an opportunity to respond to with 12 contrary information that you believe to be true --13 MS. LARLEE: Yeah, but (inaudible) --14 MS. GARDINER: -- excuse me, can I just finish my 15 explanation -- then you'd be free, when you come back 16 to testify, to clarify that. But it's not --17 MS. LARLEE: So can you check the rules to see if 18 I have the right to cross-examine? I was told that I 19 did. 20 MS. GARDINER: I don't know who told you that you 21 did but this is not an adjudicative (inaudible) --22 CHAIR: You haven't been accused of anything so 23 there's no cross-examination. 24 MS. LARLEE: Okay.

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MS. GARDINER: And you have the right -- you have an opportunity to tell the Commission whatever you think is relevant to this inquiry from your point of view, and if you think the Commission should ask other questions of other witnesses --

MS. LARLEE: Does the Commission suspect me of illegal things, like abandoning Julia and not doing the work that I told Julia I would do, because if I'm suspected of those things, I mean, by the time the Commission rules, they're just going to rule either I did it or I didn't and then I won't have the right to cross-examine anymore because it will all be done, and I won't have the right to defend myself.

CHAIR: Ms. Gardiner?

MS. GARDINER: Maybe one other point of clarification will help. After the hearing is concluded and all of the testimony and evidence is in, the Commission will then be having an opportunity, probably not today, probably at the next month's meeting to discuss what comes of all this evidence, and if you're going to be -- if anybody is going to be charged with any violations, civil or otherwise, you'd have an opportunity to respond. Anyone would if they were --

1	MS. LARLEE: (Then I doubt) I would have the
2	right to face my accuser.
3	MS. GARDINER: You'd have the opportunity to
4	respond directly to the Commission, not to call other
5	witnesses but to make your points directed to the
6	Commission.
7	MS. LARLEE: So if I was being accused it says
8	in the Constitution of Maine that rights of persons
9	accused to be confronted by the witnesses against the
10	accused, to have compulsory process for obtaining
11	witnesses in favor of the accused.
12	MS. GARDINER: In a criminal context. This is
13	not a criminal this Ethics Commission does not have
14	any authority
15	MS. LARLEE: But they can charge me with
16	something.
17	MS. GARDINER: There are certain civil
18	MS. LARLEE: Whether it be criminal
19	MS. GARDINER: There are certain civil violations
20	this Commission has the jurisdiction to decide.
21	MS. LARLEE: I have one question. Julia, will
22	you answer one question.
23	MS. ST. JAMES: (Inaudible)
24	(Inaudible comments.)
25	MS. ST. JAMES: Am I done?

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1	CHAIR: You're free to go.
2	FEMALE: (I'm on TV and I'd like to see it).
3	(Inaudible comments.)
4	CHAIR: Okay. Good-bye. Thank you.
5	(Inaudible comments.)
6	MR. WAYNE: The two remaining witnesses for today
7	are Jessica Larlee and Sarah Trundy, so I'd like to go
8	on with those.
9	CHAIR: Okay, and your choice.
10	MR. WAYNE: We'll star with Ms. Larlee.
11	CHAIR: Ms. Larlee, you're up.
12	(Comments off the record.)
13	CHAIR: Ms. Larlee, do you swear or affirm to
14	tell the truth, the whole truth, and nothing but the
15	truth, so help you God?
16	MS. LARLEE: Yes, I do.
17	CHAIR: Thank you.
18	MS. LARLEE: I raise my right hand under Jesus.
19	Okay, but I have
20	CHAIR: Excuse me.
21	MS. LARLEE: Oh, okay.
22	CHAIR: Jonathan, do you have some questions?
23	MR. WAYNE: Ms. Gardiner is going to
24	CHAIR: Okay. Ms. Gardiner is going to start.
25	EXAMINATION OF JESSICA LARLEE BY MS. GARDINER:

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Q:	Thank you. Again, I'd like to ask you a few questions
	and if that doesn't give you an opportunity to
	communicate something else, you may have a chance at
	the end. First of all, I just want to verify since
	the hearing the Commission held on October 12, have
	you had any discussions with anybody else involved in
	this the matters that the Commission is
	investigating?

- A: I talked to -- I think that -- that might be -- I don't know if that was the same guy. I can't tell.

 Yeah, that's the same one. I talked to that guy.
- Q: Okay. To a reporter but not to anyone else involved -- not to anyone else who has testified?
- A: No. I did talk to Dan a couple times. We didn't discuss that. I asked him if he could give me the money for the parking tickets from the City of Portland that he owes me.
- Q: Do you remember what period of time in 2004 did Dan Rogers actually live at 307 Center Minot Road, Minot (inaudible)?
- 21 | A: Dan has never lived with me.
- 22 | Q: Okay.

A: Dan has come in and hung around for too long and, you know, tried to live with me. He's never lived, lived with me.

- Q: So at the time of the general election in 2004 --
- A: He had his own apartment in the Auburn Mall Apartments and he was there every day. He had to be there to feed his mother, who was handicapped, and he took care of her.
- ||O: And that was at the time of the election in 2004?
 - A: Oh, yeah. Julia was there once or twice. I baby-sat her kid at his house.
 - Q: Would it surprise you to learn that Dan Rogers was registered to vote in Minot on the first of November 2004 at 207 Center Hill Road?
 - A: No. I know that he registered to vote at my house. I told him not to. I explained to him that he can't just do that because I'm on Section 8 housing and that, you know, it would look like he, you know, lived there or something if he registered to vote there, and I told him he couldn't do it and so as far as I know, he unregistered to vote at my house, or I told him to anyway.
 - Q: And at that time, you understood that he still had his apartment in Auburn Mall Apartments?
- A: I don't know. I don't remember when that ended but it was when his mom went to the nursing home, and I don't remember exactly when that was. It's like, I don't

know. Like, there are no events surrounding it to mark that time in my head.

Q: Okay.

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- 4 | A: Like, you know --
 - Q: Regarding how it came to be that Julia St. James was running for office, the Commission has heard somewhat conflicting accounts of how the decision was made for her to run for the State Senate. I believe you testified on October 12th that she asked you in a phone conversation --
 - Α: Yeah, that is correct. I have witness statements that I did not bring with me. I was doing, like, paperwork with my dad last night and these receipts were in that envelope with my witness statements. I was going to bring it all and it -- the envelope is still on my dad's desk. I forgot it. But I have witness statements that people wrote that were present at the time when Julia asked me if she -- if I could help her run for office. She overheard a conversation on the I was talking to my cousin about people phone. running for the Green Party and, you know, I know she knows, like, you know, college liberals and stuff like that. And Julia overheard it and she was, like, I want to do it, I want to do it, I want to do it. you know, I have witness statements from, you know, my

cousin and my Aunt Cathy. I was on the phone at my house and Julia got on the phone. She took the phone from Jenna, my cousin, and she, you know -- they heard the whole conversation. She stated, according to my Aunt Cathy, I found out she stated that she had often thought of running for office, so had Rob, her husband, and that, you know, she wanted to do it.

Q: So I take it it would surprise you to learn that the

- Q: So I take it it would surprise you to learn that the candidate indicated that you suggested that she might run?
- A: Yeah. See, I have, like -- oh, I had this thing, I was going to ask questions which could prove some of the things she's saying are false, but I guess I can't do that.
- Q: Well, you would have an opportunity and if I don't -again, if I don't cover this in questions, if there's
 something that you need to tell the Commission that
 you feel -- in response to what you've heard today, I
 think you'll probably have an opportunity to do that.
- A: Okay.
- Q: So if it relates to the question of how she decided to run, please feel free to mention it now. If not, I'm going to move on to other things.
- A: Nope. Not related to that question.

- Q: Okay. So the witness statements you have are from Cathy Lelansky and Jenna --
- A: Jenna Lelansky, yes.
- Q: Okay. And would you be willing to provide those?
- A: Yeah, I would love to. I can fax them, like, in the morning. I don't know if Kinko's will be open when I get back --
 - Q: We can talk to you at the end of the proceeding today about what would be best.
 - ||A: Okay.

- Q: And I think you mentioned at the last hearing that you worked on the campaign -- on Julia's campaign at your house and sometimes also at Julia's house?
- 14 | A: Yes.
 - Q: Did you work on her campaign in an office -- you know, inside work? I'm not talking about door to door. Did you do any office type work on the campaign anywhere else besides her house.
 - A: No. I already told you, it was all at her house, in her greenhouse and her driveway. Signs were painted in her driveway. I made, like, boards out of -- mat boards -- she had mat board from being a framer. I made some of those in her greenhouse. I helped her write letters, response letters, like after you go visit someone and they sign your petition or

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1		something. Those were done in the greenhouse. I sat
2		on her couch with my laptop and wrote things with her
3		input. It was all at her house.
4	Q:	The were you here earlier today when Dan Rogers was
5		testifying about a postcard mailing that he says he
6		did for the campaign?
7	A:	I was here for some of it.
8	Q:	Okay. Do you recall anything about a four-and-a-half
9		by six inch postcard, and I'll show you
10	A:	If you show me it
11	Q:	we don't have the actual postcard, but we have I
12		think that this, Exhibit 26, which is a document that
13		Dan Rogers brought with him this morning.
14	A:	Yep, I've seen this. I put some in the Buckfield
15		Mall. They were little.
16	Q:	Now and what you're describing as having put in the
17		Buckfield Mall was a door a card that you would
18		hang on the door?
19	A:	It was this tax thing and I don't know, I saw some
20		that were this size. See this orange square? There
21		were some that were just that size that I put in the
22		Buckfield Mall, in the town of Buckfield.
23	Q:	And so was it

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doesn't look -- it looks crooked.

And the bigger stuff, I don't get it. I don't -- it

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	Ιδ.	He described that document, if I understand it
2		correctly, and hopefully Mr. Wayne will straighten me
3		out if I have a wrong understanding, that that what
4		you're seeing in front of you there is a template, not
5		the exact version, but it was a template that he used
6		for both a palm card that could be left at people's
7		doors when you're going door to door, and it was also
8		a template that, with some adaptation, was used as a
9		postcard for mailing. Do you know whether the version
10		that you saw could have been mailed as a postcard?

- A: The version I had didn't have -- no -- was, like, just, you know, you drop it places and --
- 13 | O: It was more like --
- A: -- like, hand them out. Because -- well, the version

 I saw was just this little piece right here.
- 16 Q: Okay. Did you ever --
- A: But I've seen this, like, stuff before. If I'm

 elected with your help, I will (oppose) any new taxes.

 I remember reading that.
 - Q: And do you recall this that's been marked as St. James
 Exhibit 27, four copies of the same text on one page?
- A: Yes. Julia had piles of them on her chest, a wooden hope chest thing.
- $24 \parallel Q$: Do you recall what was on the back of that?

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1	A:	On the back was something. It might have been this.
2		I don't know.
3	Q:	Was it was what you saw at her house something that
4		would be used as a palm card or a door card or was it
5		a postcard that you could mail?
6	A:	I saw some of these. I I don't know. This was
7		smaller than this, so this couldn't have been on the
8		back of this because these were just small like this.
9		These
10	Q:	Okay. What you're pointing to is Exhibit 26?
11	A:	Right, but only the orange square.
12	Q:	Yes, okay, was smaller than what you see on the other
13		Exhibit (inaudible)?
14	A:	Right, which is smaller than these ones, so I know
15		that these are two separate things.
16	Q:	Do you know whether there was anything printed on the
17		back of the document with her picture and St. James
18		(inaudible)
19	A:	Yeah, they were double sided. I don't remember what
20		was on the back.
21	Q:	Do you know whether there was any postcard do you
22		recall any postcard mailing to households I her
23		district during the campaign?

print. I don't know.

If there were, I didn't put the stamps on, I didn't

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1	Q:	And do you recall Dan Rogers talking about doing a
2		postcard mailing?
3	A:	Yeah. I think he said that the cartoon I made was not
4		suitable to go out, and so he was going to send
5		something else.
6	Q:	Did you ever talk with him about what he was going to
7		send out instead of the cartoon?
8	A:	I do know at one point there was a legislative update
9		which I helped design. I don't really remember all of
10		what was in it right now.
11	Q:	Do you know what was that on a eight-and-a-half by
12		eleven sheet?
13	A:	I think it was bigger. I think it was made to look
14		just like the legislative updates that other people
15		got in the mail. We were making fun of them, kind of.
16		Sort of.
17	Q:	He brought with him today what we've marked as Exhibit
18		28, which is described as an earlier draft of the
19		legislative update, not the final version. Does that
20		does any of that look familiar as a draft of what
21		you're describing?
22	A:	Well, it's all scrambled. He wouldn't have send this
23		out looking like that.

- Q: No, he did not claim that he sent it out. He said that was the only version of it that he could pull off the computer.
- 4 A: I don't know but I do know that I wrote some stuff for this.
- 6 Q: Okay. You remember writing stuff for the legislative update?
- 8 A: Right.

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- 9 Q: Do you know what -- did you -- do you remember seeing
 10 the legislative update in final form?
- 11 | A: No.
- 12 ||Q|: Do you know what was done with the legislative update?
- 13 || A: No.
- Q: Did you have anything to do with a literature drop in the town of Jay during the campaign?
- 16 || A: No.
- 17 | Q: Did you ever hear about a literature drop in Jay?
- 18 A: Yeah, I heard of it. I heard of it.
- 19 Q: When did you hear about it?
- 20 A: Dan asked me if I wanted to do lit dropping and I said
 21 no. I was doing typing, I was doing writing, that's
- what I was paid to do.
- Q: Do you remember when he asked if you'd be willing to help with that?
- 25 | A: I don't.

1	Q:	If you mentioned, I think, when you were here on
2		October 12th for the latter part of the campaign, you
3		were not really working closely with Dan or Julia, you
4		were working on the newsletter at your own house. Do
5		I remember that correctly? Sort of in the September,
5		October timeframe?
7	A:	Yeah. There was a while I didn't go up to Julia's
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- anymore.
- Do you know whether -- if a postcard mailing had gone out a couple of weeks before the election, some time in, say mid-October --
- There were periods of time when I didn't even talk to Dan, you know? There were periods of time when I didn't want to talk to either of them. I just was, like, you know, I'll write the articles for the newsletter and, you know, leave me alone.
- Q: So is it possible that you would not have known about a postcard mailing going on in October?
- Α: Yes, it's possible. There were periods of times when I did not talk to Dan.
 - The newsletter that you were helping to write; what was your understanding about when you were going to plan to send it out? What was the plan that you and Dan had talked about?
- **A**: The what?

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- Q: You and Dan worked --
- $2 \parallel A$: Oh, that?

- Q: You and Dan worked together on the newsletter that's been labeled Exhibit 13.
 - A: Yeah. That was late.
 - Q: But was the -- did you and Dan discuss earlier in the campaign -- when you decided to do a newsletter, did you discuss when would be the appropriate time to mail out that newsletter?
- 10 A: I don't know when. I just remember I wrote the thing
- 12 | Q: Did you have any understanding --
- 13 | A: (Inaudible) --
 - Q: I'm sorry. Did you have any understanding while you were writing the articles for the newsletter what your deadline was, when it had to get done?
 - A: I know it was going to be towards the end, but, I

 mean, I figured -- usually, Dan does things, like, in

 the last week of the election, he'll send out mail

 and, you know, I don't know, I figured it would be,

 like, towards the end. It was a little later than I

 expected. Like, parts of it, like -- I think Dan

 waited until the last minute because parts of it -- on

 the back, there are typos in there and, like, on the -
 if you open it once and look to the left -- like,

right here. My Microsoft word, for some reason, was 1 incapable -- it wouldn't do bold and italic and 2 3 underline and all that stuff. So, like, where it's written, like an outline, you know, I -- he was 5 supposed to make all these things bold and bigger. 6 couldn't change the font size, I couldn't do any of 7 I could only type that -- two of them got that stuff. 8 underlined, the last one didn't. He -- yeah, he did 9 this in the last minute and it was later than I 10 expected. 11 Do you recall when you gave him all of your material

- Q: Do you recall when you gave him all of your material for the newsletter?
- 13 | A: No.

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- Q: How far ahead of the election you might have given it to him?
- A: There were some -- I mean, I had some of this stuff written forever.
- Q: No, I'm talking about when -- when would have been the last material that you did on the newsletter which you gave to him?
- ||A: The last material I did?
- Q: The latest that you did on the newsletter. Do you know when that was?

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- A: I think it was -- the last thing I did was I made a -I don't remember when, though, but I remember what it
 was.
- Q: That's all right. I was just curious about the when.

 On the Saturday just before the election, were you involved -- were you working with Dan on that Saturday?
- A: The day the newspapers got picked up from the Sun Journal?
- 10 Q: I believe people have said those were picked up on that day.
 - | A: Yes, that day.

- Q: And what were you going to be -- what were you planning to be doing with Dan Rogers that day?
- A: The plan was was that Julia was to go buy blank mailing labels, me and Dan would go pick up -- or Dan was going to go pick up the newspapers and Julia sent me out with the guy from England because he was bugging her. And I remember the news -- and then somehow I ended up in the car with Dan to go to the Sun Journal and pick them up, and they said they'd be ready at, like, noon or something, and Julia was ready at my house with a bunch of people at noon. And then we got to the Sun Journal and it was -- nobody was there. They said they were going to leave the

newspapers on the loading dock. Nobody was there, the building was all locked, it was, like a -- it was a weekend or something. So he called, he got someone inside or somehow --

O: He being Dan?

- A: Yeah. Dan called on his cell phone and he managed to get a hold of the editor, who sent somebody over to unlock the door so that we could pick up some papers, and this took about -- and it -- so they were supposed to be done at noon and, you know, we were sitting there waiting around until, like one o'clock, one-thirty, at which point Julia was, like, you know, I'm leaving, I'm doing it myself, whatever.
- Q: And so did Dan bring the newsletters back to your house?
- 16 A: Yes, and I remember --
- Q: Were you set up to put the labels on them and mail them from your house?
 - A: Yes. I had a big old table. I had lots of chairs.

 And yeah, my house may have had, like, toys and stuff,
 you know. I have a child and stuff. It's probably
 not the filthiest thing Julia's ever seen or whatever
 but, I mean, we had done Trundy's mail in my kitchen.
 I had a big table, like, bigger than this one that was
 made by the man who used to live there. It was like -

- it came with the apartment. It was humongous. were all set up to do everything. The computers were There was, like, voter lists and stuff on the computers at my house, which -- you know, and Julia was sent to get the mailing labels so we could start printing off, you know, the mailing labels and have, like, you know, a bunch of people, you know, labels and stamps and all that stuff. Julia went and got labels and postage, and we were going to go get the actual things. And I remember, like, it was very crucial. I remember that it was crucial that on that day that as many pieces as we possibly could had to get in the mailbox by 9:00 p.m., and that's the latest mail pickup, it's in Portland at 9:00 p.m. And I remember -- Julia was like well, I'm going to go do it at my house, right, and I remember I called her and I was, like, you do understand that if -- like, she called -- she was at my house and I called there and my brother answered and he said Julia's leaving and I asked to talk -- I talked to Julia and I said Julia, if you leave my house, you're going to go all the way back to Hartford, you're, you know, not going to have the proper, you know, computer stuff to be printing off, voter lists and stuff like that, and I told her that, you know, if you go all the way back to

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1 Hartford, you are never going to make it to the 2 Portland post office at nine o'clock. And she was, 3 like, whatever, I'll do it myself, and she didn't care and, you know, I was kind of upset because I felt 5 like, you know, I spent a lot of time writing this 6 newsletter and that, you know, not a lot of people 7 were going to get to read it because Julia left. 8 And you were -- when you had that phone conversation 9 with her, she was at your house and you were with Dan 10 at the Sun Journal? 11 Yes. We piled a bunch of them in the car. Not nearly 12 all of them fit in the car. And then Julia left and 13 then so Dan left and went to Julia -- Julia was all, 14 like, you know, freaking out or whatever. 15 So did he take the newsletters to her house? 0: 16 A: Yes. 17 Q: And did you do other mailings from your house on that 18 day unrelated to the (inaudible)? 19 Α: I don't think the day that -- I don't think that's the 20 same day that we did Trundy's mail. I don't. 21 0: Did you do a mailing for Trundy just before the 22 election? 23 Α: Yeah. It was sometime in the last week and -- but I 24

don't think it was that same day.

- Q: You mentioned -- I asked you about one check that was
 written to you when you were here on the 12th that you
 didn't recall, and I just want to give you an
 opportunity to -- this is marked as Exhibit 23 and
 it's a slightly enlarged Xerox of the check written
 from the campaign account by Julia St. James to you
 for \$272.51.
- 8 | A: Uh-huh.
- 9 ||Q: July 17.
- 10 | A: Yep.
- Q: And on the bottom half of the page is the back -- is a photocopy of the back side of the check.
- 13 | A: Well, what's it say it's for, labor?
- 14 ||Q: It says labor.
- 15 || A: Then it's for labor.
- 16 0: On the back side --
- 17 | A: I don't know.
- Q: Okay. I haven't asked you a question yet. I'm just
 trying to orient you to the document. On the back
 side of the check, the signature at the top. Is that
 your signature?
- 22 | A: Yes.
- 23 $\|Q$: And below that, it says pay to Brett Larlee?
- A: Yeah, I must -- I think I probably lost my ID and had
 my brother cash it.

1 0: Okay, but you think you kept the cash, not -- you 2 didn't -- you weren't making a payment to him? 3 That looks like lost my ID stuff. To Brett? No. **A**: 4 So does this bring back any recollection of what labor 5 this was for or why it was for \$272.51, which is sort 6 of an odd number. 7 I don't know. I think Dan had receipts of some sort 8 that he gave Julia and told Julia to write me a check 9 for this, and I don't know. It could have been gas. 10 I know that Dan was using my car at the time. 11 I want to ask you a few questions about the 12 Sarah Trundy campaign but before I do that, is there 13 anything specific that you want to explain to the 14 Commission about your activities or your activities of 15 the St. James campaign? 16 We're going to move off St. James totally? 17 Q: Well, in my questions, but I'm sure there will be 18 questions from others that may relate to St. James but 19 I was going to move on to asking a few followup 20 questions on the Trundy campaign. 21 Okay, well I just, you know, I have this, like --22 okay, Julia has made claims that I abandoned her, 23 okay? That is absolutely not true. I went up, I

through my clothes, I can find signs with her paint on

I bet if I look

painted a whole bunch of signs.

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it, okay? And I know her paint was a different color than Trundy's Trundy's was -- I forget, I'd know it if I looked at it at Home Depot. It was, like, the prettiest blue in the world. Julia's was a little bit more navy blue. It might have been navy. But, I mean, you know, I painted signs for her. Like, she could -- she would probably sit here and be, like, you know, Jessica didn't paint any signs and stuff. have a statement from my brother stating that he went up to volunteer to paint signs. Julia told us to leave because she didn't like his friend, who had piercings in his face. They were volunteers, you know? They're not Harvard graduates but, you know, they can paint signs. The day -- I drew -- I drew a little map, right here, of the day that I painted I bet if I ask Julia right now if I painted signs, she'd say no, you never painted signs, and if I asked her if Arthur painted signs, she could draw this same map of the day Arthur painted signs. I painted them with Arthur. So, I mean, if you ask her -- you know, she watches Young and the Restless every day. I bet right now if you asked her, you know, who was Phyllis married to during the campaign, she wouldn't be able to tell you. That's because she wakes up in the morning and puts vodka in

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her wine and passes out by 12:30, watching Young and the Restless. And she will do this for three or four days and then she'll sober up and be normal, and then she'll go back onto her problem. So, I mean, you know, there's just, like, things that she's accused me of. Nobody in this hearing ever asked me if they were true. Last time, she accused me of a bunch of stuff. Nobody asked me if they were true. Nobody asked me Jessica, did you abandon Julia, you know? I would be able to clarify some of those things.

Q: Well, I think we're -- you know, some things that come up we don't ask you about because we're not necessarily thinking that they're particularly relevant to what the Commission is trying to determine. We're trying to make sure we ask you about everything --

A: Like all the gossipy things she says about me?

Q: (Inaudible). Well, let me go on to Trundy and if something else comes up, we can come back to that.

A: Okay.

Q: The -- since you were the treasurer of the Trundy campaign and were the, as I understand it, the only person authorized to write checks on the Trundy bank account, I have just a few questions to clarify about those campaign finance reports. First, I'm going to

1 show you what was marked as Trundy Exhibit 1, which is the candidate registration form, and you were involved 2 3 in putting some of this together, is that correct, 4 preparing some of this for the candidate? 5 Α: Yes. 6 How much of the first page did you fill out, or is 7 there anything that you filled out on the first page? 8 Looks like I did all of it. 9 0: Okay. And the second page, there's a -- sort of a 10 printed version of Sarah Trundy's name, date, April 1, 11 04, and then Sarah Trundy, a signature next to 12 signature candidate. 13 Α: Right. 14 0: Do you know who wrote that signature? 15 **A**: Sarah, I would assume. 16 0: Do you remember presenting this document to her to 17 sign? 18 I don't know, but this doesn't look like the same 19 document that was submitted to Ethics. Well, it was -20 - may have been but there was another one. 21 to have been another one, too. 22 Well, there's also -- you may be remembering what was 23 Trundy Exhibit 2, which was a separate (inaudible) 24 request for certification (inaudible) --

No, was there another one of these?

- Q: Of Exhibit 1? Not to my knowledge.
- A: But there is. I know that one existed.
- Q: What do you think was different about it? What doesn't look right to you about Exhibit 1 (inaudible)

| | -

- A: Because -- now, is this all the forms?
- Q: No. I'm just them to you one at a time so we don't get lost in the stack. You're looking at the only candidate registration form that we have for Sarah Trundy on file with the Commission, which has been labeled Trundy 1.
- A: Now, what other forms --
- Q: What you also have in your hand is the request for certification of the Clean Election Act candidate, which has been labeled Trundy 2.
- 16 | A: Okay.
 - Q: And besides that, we have a seed money report and campaign finance report. We'll get to those in a moment. But I just -- and if you'd like -- Jonathan has kindly produced the originals, or has the originals in (inaudible) --
 - A: All of them?
 - MR. WAYNE: No. What we do have is we had a version of that that was faxed to our office and we stamped it in on April 5th and it --

MS. GARDINER: Of the candidate registration form.

MR. WAYNE: Of the candidate registration form. It appears that we don't have a signature page, we just have the front page, and then on April 20th, we received an original candidate registration form, and we always need originals.

MS. GARDINER: And what you're looking at is, that we marked as an exhibit, is actually a copy of this original. You're welcome to look at this original, salmon-colored filing if you'd like to compare.

No, I mean, if it looks the same, that's okay, but just, like, the way I remember it was Andrew Helman saw that my mail -- my address was listed as the mailing address and he made me change it, and that's why the media was never able to contact me and that's why she didn't have a candidate profile in the Sun Journal because she thought I was going to be handling and it I was unable to be contacted by the Sun Journal because he made me change the address. This one doesn't have a change of address.

Q: Yeah. And the one we're looking at in the file, the original file, has -- the only thing -- I'm going -- okay, I'm going to show you the original file. We're

1		not going to make this as an exhibit, but this is the
2		faxed version which does have a different address for
3		Sarah Trundy.
4	A:	No, it was scribbled out and changed in front of
5		Andrew Helman, so if there are any where
6	Q:	But was the address under Sarah Trundy's name that was
7		scribbled out and changed or the address under
8		because Sarah Trundy's name on this faxed form is
9		and her address is 1136 East Oxford Road, Minot, and
10		on the original form, it came in at 307 Center Minot
11		Hill Road, which is your address, under her name.
12	A:	I don't there's a piece of paper that is scribbled
13		and changed. Andrew Helman made me change it. And I
14		remember I was kind of mad because it made it to that
15		the Sun Journal was not able to contact
16		MR. WAYNE: Our Chair pointed out that there was
17		something similar done on the Julia St. James
18		registration, not the Trundy registration.
19		MS. LARLEE: No, it was Trundy's.
20	Q:	Okay, well St. James Exhibit 1 does have a scratch out
21		under the candidate's name. Scratched out your
22		address of 307 Minot Hill Road. That's not what
23		you're remembering?

- A: No, it was Trundy's because that's why the Sun Journal didn't contact me, because my address was -- I was told to scratch it out.
- Q: Okay.

- 5 | A: And I told them well, I'm the press contact.
 - Q: Well, my question for you was not actually related to the address, but thank you for clarifying that for us.

 I'm curious -- I was asking you about her signature on the second page. Does the second page of that look as you remember?
 - ||A: Yeah.
- Q: And you don't remember whether you presented this form to Sarah Trundy to sign or not?
 - A: I brought all of the forms to Sarah to sign, except for one I think Dan did because I was -- I don't know, had my daughter or something. I don't remember why.
 - Q: Okay. All right. I'm going to show you what's been marked as Trundy Exhibit 7, which is one of the campaign finance reports (inaudible) 42-day post general. I believe you signed, but you may not have prepared. Is that the one that you recall Dan Rogers prepared?
 - A: Yes, that is -- Dan makes fours like that.
- Q: Okay. The last entry in this report -- I'm sorry, the last entry on the schedule that lists -- it's not the

last entry -- I apologize. It's been a long day and I'm not being as clear as I should. The latest entry, going by the dates, in this report is 11/18/04 for AOL service for Internet.

A: Uh-huh.

Q: And that looks like the last expense (inaudible) --

I do not know there was one month that -- there was one month that I did not pay -- that the campaign did not pay for AOL. I paid for it myself because I did not use AOL for that month and I couldn't just cancel the AOL completely because they have a \$50 charge to cancel -- early cancellation fee or whatever, and it had already gone through, and so I -- I think that I paid the AOL myself, and I think I put -- I didn't know, like, how to do it because it had already been, you know, debited and I think I put money in -- like, I don't remember. It was like 27 bucks I put in to cover the AOL and that was, like, one month that it wasn't used for the campaign and the other months it was.

Q: What did you use the -- for what campaign purpose did you use the AOL?

A: You guys already asked me all these questions.

Q: I don't recall asking you about the AOL (inaudible) --

1	A:	It was for research. I researched stuff about dairy
2		farms, I researched stuff about politicians. I
3		remember one of the things I retrieved off the
4		Internet was a quite from Governor Baldacci. He was
5		asked it was an article. It said that there were,
6		you know, like, only 400 dairy farms left in Maine and
7		that it was predicted that the dairy farms would
8		become more and more and more extinct, and Baldacci
9		was asked what do you think would be a, you know, way
10		to fix this and his response I mean, in don't know
11		the exact response but I do know I retrieved it off
12		the Internet, is his response was that these people
13		should just switch to a different kind of farming,
14		which, you know, I think for somebody like Sarah's
15		father is, you know, kind of insulting. Oh, you know,
16		I'm making your dairy farm go out of business so why
17		don't you just, you know, raise cattle for meat
18		instead, you know? Stop what you've been doing for
19		the past, you know, 300 years of the family business.
20	Q:	Mr. Rogers described earlier today that you did a
21		postcard mailing for the Trundy campaign that was
22		target to a couple different constituencies?
23	A:	Yes. (Dan did the target).

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One was the youth vote and one was the marijuana vote

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and --

- A: And there were farmers.
- Q: Okay. Farmers. He could not recall who pulled together the materials for that mailing in terms of the content, the actual text of the (messages).
 - A: That would be Scott, Kyle and Justin.
- Q: And you provided to us in the documents that you pulled off of your computer and provided to Commission staff since you were here and testified last, you provided a copy of an article by Kyle Corcoran, and we assume, but wanted to verify with you, it's Exhibit 12, this is the one for which you paid \$40?
- 12 | A: Yes.

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- 13 || Q: Is that correct?
- 14 | A: Yes.
- 15 ||Q: It's entitled (voter vacancy?)
- 16 | A: Yep.

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- Q: I read that and I didn't see any reference to Sarah

 Trundy as a candidate, to -- well, St. James wouldn't

 be relevant but I -- there's no reference to any

 candidate in this article. What was the campaign

 purpose for which you paid him to write this?
 - A: Well, I asked him to write a political article, you know, he worked for the MPA, knew about politics, knew about issues. I asked him to pick an issue and, you know, an issue that is of concern to, like, you know,

1 people his age, and he is, like 20 -- 19, 20 or 2 something. And this is what he wrote and, you know, I 3 figured well, it is still, you know, extremely value 4 as, you know, a resource to, you know, learn about, 5 you know, youth voting and stuff like that and, so --6 0: Did you use any of this material in the mailing that 7 was targeted for youth voters? 8 Oh, no, I gave it all to Dan. Α: 9 0: And Scott -- Lesler --10 **A**: It wasn't about dairy farms. 11 0: The individual --12 Α: Scott wrote about medical marijuana. 13 Okay, and did you -- what did you use that material 0: 14 for? 15 **A**: The marijuana mail. I gave that one to Dan as well. 16 0: Okay, and you paid him for that article. 17 It was a personal experience story. 18 Okay. Let me turn you back, if I can -- or not you 19 turn you back, I don't think I've given you this 20 exhibit yet. The bank records that we obtained after 21 the last hearing, been labeled as Trundy Exhibit 9. 22 **A**: Uh-huh. 23 Bank records for the campaign account (inaudible). 24 And as I mentioned earlier, it looks as if the last

payment that was reported on a campaign finance report

- for the campaign was the November 18, 2004 payment to the -- for the AOL service.
- A: I probably didn't put that on the campaign finance report because --
 - Q: No, you did. There's a payment --
- 6 ||A: -- because it's after the election.
- Q: It was after the election but if you look at the

 November -- if you look at Exhibit 7, which is on your

 table there, campaign finance report, the post
 election campaign finance report --
- 11 | A: Well, 1, 2, 9 -- would it have been 9?
- 12 ||Q:|| Nope. It would have been 7.
- A: I don't have 7. This is all St. James, this is all Trundy.
- 15 $\|Q$: Okay. I probably --

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- 16 $\|A$: Well, if it was a --
 - Q: That's okay. Let me just get clear -- I probably took it back. Anyway, we were just looking at it and I asked you if that was, in fact, the last payment.

 I'll show you a Xerox of that same exhibit. Sorry,

 I'm trying to speed up here but it's -- I'll show you
- 22 a Xerox, Exhibit 7, 42-day post general, and what I

 23 was looking at is this looked like the latest date on

 24 the report, 11/18 --
- 25 | A: 11/18, AOL.

1	Q:	So it doesn't look like (inaudible) wrote any campaign
2		expenditures (inaudible)
3	A:	Well, I'll have to file and amendment for that because
4		that was not a campaign expenditure. That was, like,
5		my own personal
6	Q:	You think that's the one that you intended to pay
7		yourself (inaudible)
8	A:	No, no. There was one month during the campaign when
9		I paid AOL myself because I (didn't) use it. It was
10		before I started doing the research and stuff, okay?
11		And you guys sent me a thing saying that there was a
12		expenditure to AOL in September, or 10 maybe
13		October, month 10, that was not on the campaign
14		finance report. That one should have been on the
15		campaign finance report and this one should not have.
16		So they got mixed up. I can file an amendment or, you
17		know, have someone help me do it.
18	Q:	Well, let me turn you to the bank statements that you
19		have in front of you,
20		(Male comment off the record.)
21	Q:	and I think I put a Post-It note. There's a page -
22		_
23	A:	There's three

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- Q: -- that at the top, it says statement period October
 25 to November 24, 2004. Not the checks, I'm asking
 you about the statement, the printed statement.
- A: This page. When is it?
- \parallel Q: The page that looks like this and has --
- 6 | A: What month is it?

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- Q: -- October 25 to November 24, 2004. That's the

 printing at the top of the page. (Inaudible) yellow

 Post-It. Nope? Okay. I'm sorry --
- A: Well, I get it -- that is after the campaign. That should not have been on the reports anywhere. Dan messed up.
- Q: Well, okay. The balance -- the daily balance -- the balance indicates a balance of \$3.68 on November 18th.
- 15 | A: What's it indicate on Election Day?
- Q: On Election Day, a balance of \$32.58. On November 1, that was the day before Election Day.
- $18 \parallel A$: On Election Day it changed.
 - Q: Okay. Well, the bank only writes down what the bank has for -- when withdrawals are made and when they do their balance, and the bank statement that you have in front of you lists a balance of \$32.58 on November 1st, \$3.68 on November 18th, and the campaign finance report, the last campaign finance report that you filed with the Commission for this campaign indicates

1 that you zeroed out at 96 cents on or about the 18th. 2 So I'm assuming that was the last campaign expenses, 3 there were no more campaign expenses, there were no 4 more campaign funds. 5 Α: Right. 6 0: Am I correct in that assumption? 7 Withdrawals, checks by serial numbers -- see, I can't 8 even make sense of this. It hurts. Sorry. 9 0: Did the campaign use all of the public funds that were 10 provided to it? 11 My dad figured it all out for me and he made me 12 this little thing and the bank statements and the 13 receipts all add up to exactly \$4,032, which is the 14 general election money, okay, and -- but I figured out there's a discrepancy of four dollars, I think. 15 16 Okay. Okay. Now, if you look at the bank statement, 17 you actually didn't close this bank account at the end 18 of the campaign, is that correct? 19 No, but I made sure that it was at zero or very close 20 to zero on election day. 21 0: That is pretty well represented by these bank 22 statements. 23 **A**: Okay.

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continued to use it for non-campaign purposes, put

You didn't close the bank account, though, and

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- other funds in and to take other funds out for personal expenses; is that correct?
 - A: Well, it's under my Social Security number. I can do that, right?
 - Q: I'm just asking you --
- 6 A: Yeah, I did.

- Q: Okay. In fact, the bank statement -- at the top of
 every page of the bank statement, it says Jessica

 Larlee, D B A Sarah Trundy, Vote Trundy, 307 Center

 Minot Hill Road?
- 11 A: Right. Yep.
 - Q: And you're saying you gave the bank your Social Security number on the account?
 - A: Yes. I made sure the funds went to zero and then after all the Clean Election funds were gone and then I used it to pay, like, you know, my power bill and stuff because I didn't have any other account and I didn't like the girl an Androscoggin Bank and I didn't want to go open an account there again and it was convenience, it's really close to my house, so I just kept it. I figured, you know, it's under my Social Security number, all of their funds are gone, you know, to empty --
 - Q: So -- and all the checks say Vote Trundy on them.

- A: Right. Well, I don't use those checks. I haven't used those checks. There's -- well, maybe I might have used one or two but usually I just pay my bills over the phone. I give them my account number and routing number and --
- Q: Well, there's several checks in this bank statement that are (inaudible) --
- A: Well, I may have.

- Q: Okay. But you didn't think that there was anything -you know, at this point, the campaign is over, you're
 not the campaign treasurer anymore, it's closed out.
 You didn't think there was anything inappropriate
 about continuing to use this bank account?
- A: No, I didn't. It was under my Social Security number and all you guys' money was gone.
- Q: Do you remember there were three checks to Dan Rogers, and I think that's what I put the yellow Post-Its on, there were three checks to Dan Rogers that you wrote, and I'm just wondering if you have any recollection now of what they were for. The first one was the thousand dollars, which I think we've had testimony about before. The second one on October 4 for \$600 --
- ||A: Right.
- Q: Do you have any recollection about what you gave him that (inaudible) --

1	A:	Yes. I believe Dan was supposed to do, like, big
2		signs and then he didn't have time. I ended up having
3		Justin York did signs and, you know, my brother
4		volunteered some to do them. They were out at the
5		barn, cranking them out, rolling and painting and
6		stuff, and then I told him to use that money for mail
7		instead, and for postage.
8	Q:	So
9	A:	And I'm pretty sure he used it for the \$740
10		expenditure to the post office.

- expenditure to the post office.
- Okay. And that was for the postcard mailing?
- Yes, which -- you know what? I probably can find a copy. If you want a hard copy, I'm sure I could find one somewhere.
- Q: The postcard that was send out in the Trundy campaign?
- Yes, and I have witnesses, people who helped me put the stamps on them and, you know, helped me do them. My brother and Kyle, they sat there -- you know, we all sat there and putting stamps and all that.
- Well, the Commission can let you know whether that would be helpful. Thank you for that.
 - CHAIR: Yes, we would like to see that.
- Α: And you know, it's like I know I don't have --
- 24 That would be helpful.

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A: -- the receipts for the post office but, you know, I know that there were stamps, okay? I put them on myself. I know that when you, you know, put the stamps on, it makes, like, one corner of the pile, like bigger than the other, so you have to, you know, like, pound on it to make sure the stamps are on good and you, like, turn it and, you know, like, do it like this so that the whole pile won't get crooked and file because, you know, the stamps make one end taller. You know, we all sat there and we did the mail.

Q: Okay. That would be very helpful if we could have an actual example of the postcard.

I don't think I have one. I looked at my house. But I bet there's one in Dan's storage because Dan has a whole bunch of junk in his storage and he can't find the key. But the key might be somewhere in my house. I think I remember, like, it being in my house and I was mad at him, I wanted to throw it away, and then I was, like, no, that would be really mean of me, and so I didn't and I don't know where I put it. But I know they're little and gold.

Q: Well, thank you.

A: I might be able to find them.

Q: I'm going to let Mr. Wayne ask any followup questions if he has any because I think those were the only questions I had.

MR. WAYNE: Thanks, I just have a few.

EXAMINATION OF JESSICA LARLEE BY MR. WAYNE:

I just wanted to get a little bit of a clarification on one statement you made at our last hearing.

Mr. Bigos, Commission Bigos, had asked you did

Mr. Rogers ever make any statements regarding whether the Ethics Commission would make any gestures of enforcement regarding the spending of finances of the St. James campaign, and your answer was I believe he told Julia that they would not because they didn't want to make the Clean Election thing look like it was being taken advantage of in case the voters decided they didn't want it anymore. How did you know he made that statement?

- A: Yeah, I told you that.
- ||0: How did you know he made that statement?
- 20 | A: Because I think I was there.
 - Q: How sure are you that you were there?
 - A: Well, you asked me if Dan made any statements

 regarding them, and I was able to iterate it back to

 you. I remember when he said that. I was, like, oh,

 my God, he just gave Julia a license to go nuts with

this checkbook and she's going to do it, and I told
her -- I was, like, Julia, don't believe what Dan
said. I told her that. I said you better have
receipts and stuff, and she was, like, I know, blah,
blah, blah, you know? And, I don't know. She never
listens to me anyway.

- Q: Do you believe that Mr. Rogers actually believed that at the time he said that?
- 9 A: I don't know.

- 10 Q: Did he ever say anything else that would confirm that
 11 that was (inaudible) --
 - A: I think he told her that to make her feel better that I quit being her treasurer because she was -- I don't know.
 - Q: If you could just repeat, to the best of your recollection, what he said to her that day.
 - A: I think he said that they won't get, like, too investigate -- or they won't, like, harass you too much because they're scared that -- you know, they're scared that it will give the opponents of Clean Elections, like, a reason to end Clean Elections or whatever. So, like, he told her this would never happen.
 - Q: Did he make those statements about the staff -- the staffing of the Commission?

A: I don't think so.

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- $2 \parallel Q$: Do you remember writing a letter --
- A: I think he just said they. I don't know who he was referring to.
- Q: Do you remember writing a letter to the Commission
 about what you had done for the campaign in response
 to a request that we sent you?
- A: I remember writing a letter telling you that I didn't

 have any of Julia's receipts and that the ones I did

 have, I gave back to her when I quit, and I told you

 why I quit and when I quit and -- yeah, I remember

 something, I sent it.
- 13 | Q: I just want to show you Exhibit Number 12 --
- A: You called me the day I sent it because I was all, like, late with it, I think.
- 16 | Q: Is that the letter you're referring to?
- 17 | A: Yep.
- 18 ||Q|: Did you write that?
- 19 | A: Yes.
- 20 ||Q: Did Dan assist you in writing it?
- 21 | A: I don't think so.
- Q: There's a statement there that you kept a list of supporters of Julia St. James?

Uh-huh.

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A:

- Q: So far, we haven't received that from you. Do you still have that list.
 - A: I don't have any of that stuff. My laptop got pirated and dead, you know? I mean, I could tell you who they were, some of them.
- Q: Any idea -- I don't want to belabor it but just any idea how many people were on that list?
 - A: I think that -- I don't know, it was probably, like, everybody who gave her a five dollar contribution and everybody who signed her petitions. Those were, like, on it. And then, like, you know, people that we would meet in the district and stuff. Like people -- I remember some kids in Rumford. They were, like, my age --
- 15 | Q: Thanks. I don't mean to cut you off, but --
- 16 | A: Okay.

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- 17 Q: I wanted to ask you about the newspaper format

 18 newsletter sent out by the St. James campaign that's

 19 sitting there on the desk.
 - A: This one?
- Q: Yes. It's Number 13. Do you remember how many of
 those newsletters the campaign was planning on sending
 out?
- 24 | A: I don't know. How many people are in the district?

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- 1 0: No, I mean how many issues of the -- how many 2 newsletters, different products, the campaign was 3 going to send out. 4 **A**: I think originally we discussed doing a whole bunch of 5 them and then I think Dan said it was way too 6 difficult, and so he just starting making regular 7 mail. 8 Who was going to be doing the writing for the 9 newsletter? 10 Α: I was. 11 0: Was there ever any consideration that Julia St. James 12 would be doing --13 Well, yeah, she'd do them, too. I think we were all 14 going to contribute, you know? But, I mean, that was 15 -- the main thing I was to do was write. 16 You mentioned that you had done --17 Α: I mean, Julia wrote this here thing about Stevia -- I 18 don't know anything about Stevia or what -- you know, 19 she did some, too. 20 You had mentioned that you did some research on
- 20 Q: You had mentioned that you did some research on agriculture issues on AOL, research --
- 22 | A: Yes.
- Q: -- on AOL. Did any of that agriculture -- well, were
 any of those agricultural issues used on any postcards
 that were sent out?

- A: Yeah. There were definitely agricultural issues. I think on the postcards, it said, like, you know, there are only 400 dairy farms in the state of Maine and potato farmers and whatever farmers, and I think it was -- statistics about lots of different kinds of farming.
 - Q: Did you actually see a postcard with information like that on that?
- A: Yes. Yes, I did.

- Q: Because when we were talking to Dan about the different kinds of postcards, he mentioned the youth vote and the democratic and republican postcard, but he didn't mentioned the farm.
- A: Well, I think the farm stuff was on, like, all of it.

 I mean, that was, like, a big part of it was, you
 know, farmers. Sarah was supported by, like, all the
 local farmers. It said support local agriculture on
 her signs. It was all over everything, stuff about
 farms.
- Q: We just haven't seen anything yet that I can recall -I mean, anything about agriculture --
- $22 \parallel A$: Do you have the Trundy postcard?
 - Q: Well, there's a sentence here on Exhibit Number 14

 that says I also want to represent the interests of

 small farmers and other small businesspeople who need

help in Augusta (inaudible) big corporations. But just looking at that postcard, you think that evidences any research from AOL.

A: This is not the whole postcard.

Q: Oh.

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Α: This is not the whole postcard, and you can go ask all the people that were at the Halloween party in the town of Minot what I talked about the whole time I was there catering it. There were, like, a hundred people there and I was going on and on about farming and I was Mrs. Educated and I knew everything and I was talking to the farmers. I went up and I talked to Blackie Labbe, who runs a farm stand. I went and I was able to talk educatedly with Mr. (Bucher), who is a dairy farmer, about dairy farmer issues when -- you know, at the beginning of the campaign, I didn't know anything. I educated myself. Part of my job was to do, like, you know word of mouth and, you know, go around and talk to everyone and be, like, you guys should vote for Sarah, blah, blah, you know? I educated myself on issues relevant to the district. What I'm getting at is that the Commission might need 0:

A: You're trying to say that --

to decide whether the AOL --

0: -- service was, let me finish, was actually a campaign-related expense, and what value did it really have for the campaign, and we don't see anything in writing that suggests that research was used in the campaign, and I'm just -- I understand you're saying you educated yourself on issues but that (inaudible) -

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- I went and I talked with farmers, okay, about, like, Maine Dairy Pact and stuff like that, things I didn't know about before the campaign, okay? I can recall off the top of my head quotes from Governor Baldacci related to the issue. I mean, obviously I did research on the issue. I know that there's 400 dairy farms in Maine, right? Obviously, I did research on it.
- 16 Is there any possibility that you used the AOL account 17 for any personal use?
 - Α: Probably, a little bit.
- 19 0: Could --
- 20 I may have gone on, like, some chat rooms every now 21 and then.
- 22 0: On the payment --
- 23 But -- but if I had gone on chat rooms on this AOL 24 account and done research for Trundy and I didn't put 25 it on the campaign expenditure report, you guys would

1		be accusing me of not reporting something. So it goes
2		both ways, you know? I mean, it's the Internet. You
3		need the Internet to run a campaign, you know? We had
4		e-mail names of supporters and stuff. Vote Trundy at
5		AOL dot com.
6	Q:	So you used the AOL account as an e-mail account for
7		the campaign?
8	A:	Yes, I did.
9	Q:	On the payment to Aaron Fuda, I just want to clarify
10		again what he provided to the campaign other than the
11		mailing list.
12	A:	He let us distribute literature at his house and
13		stuff.
14	Q:	About how many times do you suppose the campaign
15		distributed literature at his house?
16	A:	Probably twice.
17	Q:	So twice you were at his house distributing
18		literature? What else did he provide to the campaign
19		other than the mailing list?
20	A:	Education on marijuana issues.
21	Q:	He educated you on marijuana issues?
22	A:	Yes.
23	Q:	Can you be a little bit more specific?
24	A:	Like current things happening. Like the medical
25		marijuana law in Maine. He, you know, educated me on

1 different groups of, you know, people that were in 2 support of it and stuff. I don't know their names 3 offhand. I think one of them may have been (Wendy 4 Chumkiss). She works for a college. She's a big 5 medical marijuana supporter. 6 0: What value did that have to the campaign? 7 Did what have to the campaign? Α: 8 Any education that he provided you on these issues. 0: 9 **A**: I went around and I talked to all the marijuana 10 supports about it. I went down roads in Hebron that 11 you can't get to with a car and I talked to, you know, 12 people. People on the list. 13 And about how many names were on the mailing list that 14 he supplied you? 15 **A**: There were a lot. There was, like 7,000 or something 16 crazy like that. 17 Q: And did you provide those to Dan Rogers? 18 Yes. They were on originals. They were all messy. 19 believe he went through and he picked out all the ones 20 in the district, and then I think he checked them with 21 his voter list to make sure they were, like, still 22 valid. I think some of them were no longer valid but 23 the majority of them were.

And was the mailing list in paper format or

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electronic?

- A: Paper, and it was all, like, different pieces of paper.
 - Q: And then what did Dan --
- 4 | A: Like (inaudible) kinds --
- $5 \parallel \mathsf{Q}$: -- what did Dan Rogers do with the mailing --
- 6 | A: -- gathered --
- $7 \parallel Q$: -- list?

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- 8 A: They gave them back to me.
- $9 \parallel Q$: And then what did you do with the mailing list.
 - A: I had them and then when I started to get subpoenaed and stuff, I destroyed them because I thought where you guys were trying to subpoena all this information and stuff and I just -- you know, those people signed those lists in confidence, you know. They're like, okay, well I'll sign this list saying I support marijuana but, you know, the government better not get a hold of this list because then, you know, I can be called -- you know, under the Patriot Act, I can be called a terrorist and, you know, I got rid of them. I won't allow those lists to be compromised.
 - ||O: On the --
 - A: That was, you know, part of the agreement when I was given the list is that it is not be given to anyone else. Sorry if I destroyed evidence, but --

- Q: Could you explain to the Commission what's the basis for the \$750 amount?
- A: I don't know. That just seemed about right.

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- Q: Did Sarah Trundy ever ask you if she could withdraw from the campaign?
- No, and I do remember, like, something about the last Α: There, was, like, the last -- I don't know, it was, like, the last day for something, this office. I don't remember. But I remember it was, like, the last day -- like, Sarah, if you are -- if you want to change your mind, now is the time to do it is what I told her. And at first, I couldn't get a hold of her, and I went to someone's house on Cook Street -- I don't remember -- Lisa, I think I went to Lisa's house. Lisa wasn't there. Lisa's babysitter was there, and I left a message with her, her name was Tricia, and I left a message with Tricia to have Sarah call me, and Sarah was, like, dude, what is your problem, you're, like, hunting me down all over the universe. What's so important that you're hunting me down at Lisa's house? And I told her that if she, you know, wanted to change her mind, now would be the time to do it. And she said, no, it's okay.
- Q: Okay. Thank you. I just need to confer with my colleagues. I'm sorry, I was looking at the wrong --

1 we have so many exhibits here, I was looking at the 2 wrong -- I just want to show you Trundy Exhibit Number 3 4, in the area where it says candidate's signature. 4 A: Uh-huh. 5 0: Do you know who signed that report? A: 6 Sarah. 7 Do you think it looks like her signature? 0: 8 Α: Yeah. 9 0: Did you sign the report? 10 Α: I signed it here. 11 0: No, on the other -- did you sign Sarah's name to the 12 report? 13 I would not do that. No, I did not. 14 0: Do you have any reason to believe anybody other than 15 Sarah signed that? 16 The date right here looks like Dan's handwriting. 17 Q: Do you have any reason to think that Dan might have --18 Because of the four. 19 0: Do you have any reason to think that Dan might have 20 signed that (inaudible) --21 I highly doubt Dan would do something like that. 22 0: How come?

Dan is usually pretty careful about stuff because, you

know, every time he does anything, it gets a whole big

hearing surrounding it, and it has been like that for

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1 I mean, just since I've known him, like, 2 everything he's ever worked on has been subpoenaed and 3 gone to court and in the newspaper and all this stuff, and I just don't think Dan would do it. I don't know 5 if he's left-handed you can check. 6 I don't have any other questions for Ms. Larlee. 7 Do you have questions? I just have a CHAIR: 8 couple. 9 EXAMINATION OF MS. LARLEE BY COMMISSION CHAIR: 10 0: Have you spoken to Sarah Trundy about this case? 11 Why, was I not supposed to? 12 0: Well, I think we asked you earlier if you had spoken 13 to anybody else, any of the other witnesses, and you 14 testified that you had not. 15 Yeah, I've spoken to Sarah. I don't -- I mean, I 16 don't know if it's been about anything like -- I know 17 I didn't talk to her about any math and stuff but -- I 18 mean, when I found receipts I was, like, dude, I found 19 receipts, you know? I called her up and I told her so 20 that she would relax. 21 Okay. You've answered the question. And the reason 22 that you kept the checking account? 23 Α: Because I had, like, this thing a long time ago. 24 Somebody wrote me checks that bounced and that made me 25 write checks that bounced. So then I have, like, this

1		like wemething on my wordit werent makes it band
		like something on my credit report makes it hard
2		for me to open an account and
3	Q:	Okay.
4	A:	Like, People's Bank, they told me that I could open
5		this account but I would be, like, on probation or
6		something. And I was worried that if I went to
7		another bank, they wouldn't let me open an account.
8	Q:	Okay. All my questions.
9		CHAIR: We will stand in recess until 6:35.
10		Oh, you have another questions.
11		MS. GARDINER: I just wanted to ask Ms. Larlee
12		has referred a couple of times to having brought
13		additional receipts with her. She was only able to
14		produce about three receipts before.
15		MS. LARLEE: I have three now.
16		CHAIR: Three more?
17		MS. GARDINER: You have three now.
18		MS. LARLEE: Yeah.
19		MS. GARDINER: It would be helpful to add those
20		to the
21		MS. LARLEE: It's, like, Home Depot, Wal-Mart and
22		Wal-Mart. I don't know I will tell you reading
23		stuff like this hurts my brain, numbers and stuff like
24		that. I mean, I can, you know, add the same pile of

numbers 17 times and get a different answer every

1 time. But my dad did this and I think it's all 2 orderly and logical except for the stuff I wrote in 3 pencil. MS. GARDINER: Are you willing to leave that with 5 us today? 6 MS. LARLEE: Oh, yeah, I brought this for you. 7 MS. GARDINER: Okay. And if you would like a 8 copy, we can arrange to get a copy. 9 FEMALE: Yes. 10 MS. LARLEE: And I swear that there were stamps, 11 okay? Even though I don't have post office receipts, 12 I know that there were stamps and I know that this 13 thing -- Wal-Mart for 412.23, I know that that was 14 really the amount and stuff and I remembered making 15 that expenditure, and I remember having a receipt for 16 I know it, for real. 17 Further questions? CHAIR: 18 MR. WAYNE: No, thanks. 19 MS. LARLEE: I have something to say. I know you 20 guys are, like, thinking that Sarah Trundy somehow 21 wasn't a real candidate, and I don't know why you guys 22 would think that Sarah Trundy's campaign was not real

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this district and, you know, I object to it being BROWN & MEYERS

in any way. It was completely real. I think the

election results show that she could win, even, in

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called not real, okay? It wasn't a sham or anything and, you know, I asked her numerous times before I put 2 3 all the effort into this, I said Sarah, you will serve and you'll go to Augusta, and she said yes. And I was 5 -- you know, I was, like, you know, she might be able to even win, maybe not this time around but it could set her up for another time, you know. And so --8 CHAIR: Great. Thank you very much. We'll stand in recess until 6:35, at which time we'll interview 10 Ms. Trundy. (Recess.)

> Thank you for your patience. You've been CHAIR: extraordinarily patient today. Do you swear or affirm to tell the whole truth -- the truth, the whole truth and nothing but the truth, so help you God?

MS. TRUNDY: Yes.

TESTIMONY OF SARAH TRUNDY, WHO WAS CALLED AS A WITNESS, HAVING FIRST BEEN DULY SWORN, WAS EXAMINED AND TESTIFIED AS FOLLOWS:

EXAMINATION OF SARAH TRUNDY BY MR. WAYNE:

0: Ms. Trundy, I wanted to ask you whether you ever asked Dan Rogers whether you could withdraw from the campaign?

A: Yes, I did.

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- Q: Do you remember -- could you speak up just a little bit?
- 3 A: Yes, I did.
- $4 \parallel Q$: Do you remember when that was?
- 5 A: I don't know exactly when. I think I asked more than once.
- $7 \parallel Q$: And what did Mr. Rogers say to you?
- 8 | A: I was told that I couldn't. It was too late.
- 9 Q: Why did you ask him if you could withdraw from the campaign?
- 11 A: I didn't have the time to put into it at that point.
 12 That was pretty much it.
- Q: Would you say your question whether you could withdraw was at least four weeks before the general election?
- 15 | A: (Yep).
- Q: Were there a lot of expenditures in that last four weeks of the election -- the campaign?
- 18 || A: I'm not sure.
- Q: My question is going to -- is -- could the campaign
 have saved a lot of money if you had withdrawn as a
 candidate?
- 22 | A: I'm not sure.
- 23 ||Q: If you don't have a response --
- $24 \parallel A$: Yeah, I'm not sure.

1 I wanted to show you two documents, Trundy Number 1 0: 2 and Trundy Number 4. Trundy Number 1 is a 3 registration form that has two pages, and Trundy Number 4 is the pre-primary campaign finance report. 5 We've gone over with you your signatures on a lot of 6 these documents, and I wanted to direct you to the 7 signature for the candidate on both of those 8 documents.

A: Uh-huh.

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- 10 Q: And ask you whether you believe you signed those two documents.
- 12 A: They don't look like mine, no. I'm not a hundred percent but they don't look like my signature.
 - Q: Do you have any idea who did sign them?
- 15 | A: I'm not sure.
- Q: Can you read the numbers of those again for us one more time, please?
- 18 | A: The exhibit number?
- 19 || Q: Yeah.
- 20 | A: 1 and 4.
- Q: Okay. I might have misspoke. Do you recognize the handwriting on -- above the signature line on those documents?
- A: These look like (papers) Jessica filled out (in this part).

1	Q:	Do you have any recollection whether Jessica signed
2		that?
3	A:	I'm not sure. I don't recall if I'd seen them or not,
4		but it's been a while.
5	Q:	Were you aware that Jessica Larlee was using the Vote
6		Trundy bank account after the end of the campaign for
7		her personal expenditures?
8	A:	(Inaudible I know inaudible)
9	Q:	Are you alarmed that she was using it for her personal
10		expenses?
11	A:	Even afterwards? Yeah, I was kind of surprised.
12	Q:	Do you remember any postcards that the campaign sent
13		out?
14	A:	Not that I had physically seen, no.
15	Q:	Just let me restate the question. Did you ever see
16		any postcards at all that the campaign mailed out?
17	A:	I haven't, no.
18	Q:	Actually, let me take advantage of the opportunity
19		because you might not have it again. Did you ever do
20		any campaigning on Aaron Fuda's property?
21	A:	Me personally? No. Whether they did or not, I'm not
22		sure, but I did not, no.
23	Q:	Do you know whether the campaign did any?
I	1	

24 || A:

Not sure.

1	Q:	Sarah Trundy that she received education on marijuana
2		issues from Mr. Fuda. Can you testify whether that
3		had any value for the campaign?
4	A:	I can't see where it would, no.
5	Q:	Did you see Jessica Larlee using the AOL service for
6		any thing that was unrelated to the campaign?
7	A:	No.
8		MS. GARDINER: I'm just going to follow up with a
9		couple of questions.
10		MS. TRUNDY: Okay.
11	EXAM	INATION OF SARAH TRUNDY BY MS. GARDINER:
12	Q:	Are you are that you were a member of the Green
13		Party and you were running as a Green Party candidate,
14		correct?
15	A:	Uh-huh.
16	Q:	Were you aware that there's a certain time period
17		within which a party candidate can withdraw and the
18		party can replace that candidate with another, in this
19		case, Green Party member?
20	A:	Was I aware of that?
21	Q:	Yeah.
22	A:	(No.)
23	Q:	Okay. When you said you were told you could not
24		withdraw, that the time had passed, if I heard you
25		right, did you were you did anyone explain or

1 were you told that the time had passed for another 2 Green Party candidate to be able to be -- to take your 3 place should you (inaudible) --4 **A**: That may have been what the situation was. I don't 5 know if there is a time limit in which you can be 6 replaced. 7 Do you recall Jessica Larlee trying to track you down 0: 8 on one day to get in touch with you to find out if you 9 wanted to continue to run or not? 10 I remember -- if you're referring to the situation at 11 my friend's house, she did, but I don't remember why 12 she exactly came, tracked me down. 13 0: But you --14 I don't think (inaudible) --**A**: 15 -- was the testimony she gave a few minutes ago 16 accurate as -- consistent with your recollection that 17 on a certain day she was trying to track you down and 18 ask you do you still want to be a candidate, do you 19 still want to run? 20 I remember her tracking me down. I don't remember at 21 this point what the reason was but if I had been given 22 the option, I'd probably would have withdrawn. 23 So you don't remember whether she ever asked you --24 whether Jessica ever asked you whether you wanted to 25 continue as a candidate or not?

- A: I don't recall that, no.
- Q: Were you aware of Jessica going to -- going out on your behalf (door to door) or to events such as the Halloween event that she mentioned and speaking on your behalf as a candidate? Were you aware of any of

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- 7 A: I'm not sure. I wasn't -- as you know, really highly involved, but I'm not sure about that.
- 9 Q: So she might have been but you wouldn't have known one way or the other?
- 11 | A: Right.
- 12 ||Q|: I think that's all I have. Thank you.
- 13 CHAIR: Any questions? Questions?
- 14 MS. TRUNDY: I'm no fun, am I?
- 15 CHAIR: I have a question.
- 16 EXAMINATION OF MS. TRUNDY BY COMMISSION CHAIR:
- Q: Do you think there was the mailer sent out on your behalf?
- A: I feel like there was, like I trust Jessica enough that there was.
- 21 ||Q: Okay. So you never saw it?
- 22 | A: I didn't.
- Q: Your mother never saw it, your neighbor, your firstgrade teacher, your babysitter?
- 25 | A: Not sure.

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1	Q:	Nobody in your whole life? You grew up in this town,
2		right?
3	A:	Yeah.
4	Q:	So you know everybody in town?
5	A:	Pretty much.
6	Q:	And you never saw it on anybody's refrigerator, you
7		never saw on anybody's coffee table, nobody said wow,
8		love the picture of you, nothing like that?
9	A:	No.
10	Q:	Okay. Thanks.
11		CHAIR: Okay, Jonathan, where are we at?
12		MR. WAYNE: We don't have any more
13		MS. GARDINER: I'm just wondering if we could
14		just show her the two things that Mr. Rogers brought
15		with him today and we've labeled Exhibit 14 and 15.
16	EXAM	INATION OF MS. TRUNDY BY MS. GARDINER:
17	Q:	Did you ever see either of those before?
18	A:	I hadn't, no.
19	Q:	You had or had not?
20	A:	I had not.
21	Q:	Does the document in your right hand, which is Exhibit
22		15, is that a picture of your signs?
23	A:	You mean the smaller ones? Yeah. I brought a picture

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of the larger ones.

1	Q:	The smaller ones being the ones that were printed (as
2		a picture)?
3	A:	Right.
4	Q:	So that does look like (the small sign)?
5	A:	Yep.
6	Q:	But you never saw the document on the left?
7	A:	This? No.
8	Q:	Mr. Rogers testified it was the template
9	A:	Right.
10	Q:	(Inaudible poster).
11	A:	No, that I hadn't seen.
12		CHAIR: All set? Thank you, Ms. Trundy.
13		MS. TRUNDY: You're welcome.
14		(Inaudible comments.)
15		MS. GARDINER: So this is if we can mark this
16		as an exhibit, it's a photograph that you took of the
17		hand painted signs?
18		MS. TRUNDY: Yeah, this one I still have. Those
19		are the, yeah, the big, big ones they made.
20		MS. GARDINER: And they're made of wood.
21		MS. TRUNDY: Yeah. Well, it's not like I
22		don't know exactly, but, yeah, some form of wood.
23		MS. GARDINER: And you were involved in making
24		some of those signs, correct?
25		MS. TRUNDY: Yeah, and helped put them out.

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1	MS. GARDINER: And were Dan Rogers or Jessica
2	involved in making those signs?
3	MS. TRUNDY: Yep.
4	MS. GARDINER: Both of them?
5	MS. TRUNDY: Yep.
6	MS. GARDINER: I'll make that Exhibit 16.
7	Anything else that you want the Commission to have?
8	MS. TRUNDY: That's what I had.
9	MS. GARDINER: Thank you. You've had a long day.
10	We appreciate you hanging in there with us.
11	CHAIR: I think we're all set with you. Thanks.
12	Okay.
13	MR. WAYNE: What I would like some guidance on is
14	the approach that we suggested, the staff would review
15	the evidence that we'd gathered at the October meeting
16	and today and decide what we make of it and make any
17	recommendations for you at the December meeting. Does
18	that sound satisfactory to you?
19	CHAIR: (Inaudible).
20	MS. GARDINER: Is there anything that you heard
21	today that leads you to want the staff to pursue any
22	leads or talk to any individuals who were referred to
23	today?

CHAIR: I don't think so.

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Chair. I mean, I think -- you know, we've had ten hours of testimony -- a little under ten hours of testimony today plus the testimony we had back in October, and I suppose if you said, you know, did you follow every conceivable lead, we didn't, but we followed every reasonable lead this far. Some of today's testimony referred to other people that might know something but I think we're getting pretty far out on the fringes of what the principal thrust ought to be for the Commission, so I would be inclined to -- one of the witnesses said -- maybe we hold the record open for it -- there was -- was that somebody was going to send us additional materials or something?

MR. KETTERER: I don't, either. I agree with the

MR. WAYNE: Yeah, both Ms. Larlee and Mr. Rogers are going to send us additional --

MS. GARDINER: Yes.

MR. KETTERER: Okay, but, I mean, other than that, I would think, you know, we could just close the record with it being left open for those items that were described, and then have staff do exactly that and line up what, you know — be in a position to perhaps make some recommendations. You know, we heard it but somebody's going to actually look at some transcripts and stuff like that and say, you know,

this lines up with this, this doesn't, or inconsistencies that you think are significant on relevant issues and things like that I think would be helpful to Commission members. And, you know, it's a lot of work to line that up.

MS. GARDINER: We should formally make sure that we've introduced all of the exhibits.

MALE: Always a good idea before that record closes.

MS. GARDINER: In the case of St. James, I think we started — the new exhibits that we started with were 18 and went up through 28, I believe. We didn't hear witnesses testify about each one. In one example, we made an exhibit that shows you the map of their — of the district, the candidates' district, Exhibit 24, but we think they're all germane and most of them have been discussed with the candidates. So that would complete the exhibits for St. James, if we could introduce those, and for Trundy, I think that we already had in the record Exhibits 1 through 8, so today we would like to introduce 9 through 16, which I think were all discussed with the witness today.

CHAIR: Was Mr. Rogers going to give you a phone number?

MS. GARDINER: He did.

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CHAIR: He did give you a phone number?

MS. GARDINER: Yes. He gave them to me in the break.

(Inaudible comments.)

MR. KETTERER: Earlier in the day, Dan Rogers asked that I recuse myself from consideration on his belief that my ability to be fair and impartial could reasonably be questions. As a matter of record, I disagree with him in the strongest possible terms but having said that, I think it's important for people to feel like they're getting fair and equitable I think that the other Commission members treatment. and myself are able to do that, notwithstanding the fact that we think that some people may have talked too long or given us information that wasn't relevant or refused to give us information that we thought was relevant but they wouldn't give it to us anyway, and I think we can sort of sift through that and I think we've had a pattern of doing that, literally, for months and years, whether it's this inquiry or others. But I think it's important, from the person who feels like they're under the microscope, sitting in that chair for three or four or five hours is not a lot of fun, and being asked a lot of questions, and so I indicated to Mr. Rogers that in light of his request,

I would just grant his request and I would not vote on any matter that related to his conduct, and I'm confident that my colleagues and our Chair can, you know, interpret things and do what they think is warranted, based on whatever the staff recommendation is, and I think I can be fair and impartial but I think in his mind, my ability to do that was questioned, and I think it simply makes it easier to say well, I'll just honor your request and I won't vote, and he seemed to think that that was very fair, so that's what I'm going to do.

CHAIR: Thank you, Commission, I think that (speaks well of you inaudible).

MS. GARDINER: I'm sorry, I neglected to mention that the additional receipts that Jessica Larlee brought us today for the Sarah Trundy campaign and the summary of the expenditures that her father helped her prepare we have labeled as Trundy Exhibit 17 and would like that included in the record as well.

CHAIR: Good.

MS. GARDINER: Sorry not to mention that earlier.

CHAIR: Are we all set?

MR. KETTERER: Can I make one comment?

CHAIR: Yes.

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MR. KETTERER: You know, frequently, or perhaps not frequently enough, we thank staff but when I think of the duties that Phyllis already has as an assistant attorney general in other categories other than giving us legal advice and Jonathan's administrative responsibilities and general oversight over staff, just sort of have to play a trial lawyer for a period of about a month and develop everything and coordinate your efforts and the like, I think you both did an extraordinary job of developing the issues and, you know, you have the thanks of the Commission for work that clearly is above and beyond what we could expect from staff, not to mention the fact that it's seven o'clock at night. Very professional and very well done.

MR. WAYNE: Thanks very much. I just want to mention that although we developed the presenting here, the entire staff of the Ethics Commission has really contributed to this and followed up leads and taken on tasks and projects and typed up transcripts.

MR. KETTERER: We could tell that from the Somebody other than two of the people questions. asking the questions were doing the heavy lifting.

Well, I also think that it really speaks to the fact that other people who maybe would be

considering trying to game the system will know that they will be caught, and so it's not a good idea, and you're doing an excellent job going through all of these forms and making sure that things look right, so I congratulate and commend you for your hard work. I know it's not been an easy time for you. Ms. Larlee, one minute.

MS. LARLEE: I would just like to say that I know that I didn't keep all the receipts and that I was a bit unorganized and I probably should not have been.

There was a hearing once that Rich Pelletier Simpson was asked to provide information regarding an \$8,000

bit unorganized and I probably should not have been. There was a hearing once that Rich Pelletier Simpson was asked to provide information regarding an \$8,000 expenditure to campaign workers, and he didn't have all the information, and the Commission ruled that it had been a long, long, time and stuff, and I just hope that I'm granted the same considerations.

CHAIR: Thank you for your patience.

MS. LARLEE: Thank you.

CHAIR: Motion for adjournment.

MALE: We're adjourned.

HEARING ENDED (November 9, 2005, 6:55 P.M.)

CERTIFICATE

I hereby certify that this is a true and accurate transcript of the proceedings which have been electronically recorded in this matter on the aforementioned hearing date.

Sara Defode

Sara DeRoche, Transcriber